

PARTICLE LOADED
MEMBRANE CHROMATOGRAPHY

Cover

Het kleurenpalet dat je krijgt wanneer een zwarte oplosbare inkt uitvloeit op filtreerpapier. Dit proces heet chromatografie (= schrijven met kleuren).

De tekeningen zijn membraanonderzoek/membraanprocessen, zoals gezien door Elske, Joost en Merlijn.

Zandrie Borneman

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PARTICLE LOADED
MEMBRANE CHROMATOGRAPHY

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Voor Ans
Merlijn, Joost en Elske

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INTRODUCTION AND BACKGROUND

Life sciences and biotechnology are widely recognized as the next wave of knowledge-based industrial sectors creating new opportunities for our societies and economies. During the past years, the biopharmaceutical market has steadily increased to 45 billion USD in 2005 with an compound annual growth rate of 20% [1].

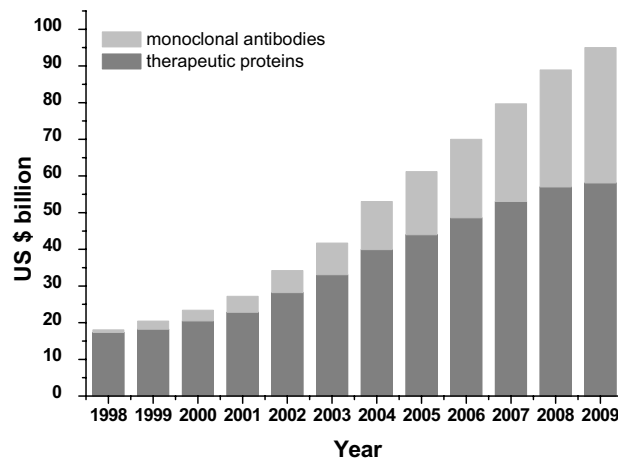


Figure 1.1 Compound annual growth of biopharmaceutical sales as reported by Wood Mackenzie in April 2005.

Large scale separation and purification processes must be efficient as the costs of protein recovery dominate the total product manufacturing costs. In a typical protein production process from fermentation to final product, the recovery and separation steps accounts for 50 to 90 % of the total bio process costs [2].

Unfortunately, the current manufacturing concepts in this field are far from optimum with respect to economy and use of resources. The analysis of the market situation shows that the optimization of downstream processing for pharmaceutical products becomes more and more interesting mainly for the following reasons:

- The production costs of biologics are for a significant share related to downstream processing costs,

- about 50% of product losses occurs during downstream processing among others because of non-optimized parameters,
- the total production costs for promising new drugs can only be decreased to a reasonable and economic level if the costs for downstream processing are low enough.

For protein harvesting many different routes can be distinguished. The most traditional one is precipitation followed by centrifugation and filtration. The main disadvantages of these techniques are that they only concentrate the proteins but not purify them, while in a lot of applications protein purification is essential. Only by applying chromatography techniques based on specific affinity it is possible to both concentrate and purify the end product. The need for fast separation processes to fulfill market requirements has driven the evolution of packed bed fillings during the last decades. The developments have focused on the restricted mass transfer in the stagnant mobile phase residing within the pores of the packing material. This causes a too long diffusional path for the sample molecules into and out of the pores. The most straightforward solution of reducing the diffusive path length is of course by reducing the particle size. This is suitable in analytical applications but not for large scale processes as further downscaling of porous particles requests operational pressures above the practical limits.

Another route improving the chromatographic performance is increasing the particle pore diameter in order to minimize exclusion effects and to facilitate the diffusional processes. This strategy has been pursued for the separation of big molecules with low diffusion coefficients like proteins and DNA and this resulted in the commercialization of perfusion chromatography.

Applying non-porous particles is a complete different approach for elimination of slow diffusional processes in chromatographic systems with the disadvantage that non-porous particles have a greatly diminished surface area. Sufficient adsorption capacity per unit of column volume can only be reached by applying very small particles, which brings up again the pressure drop problem.

Another solution to solve the slow diffusional problems is the introduction of monoliths (also called continuous beds), which have emerged as an alternative to traditional packed bed columns since the late 80's. Instead of the packed bed with porous particles, the monolithic column contains a continuous interconnected skeleton with through-pores for

convective transport of liquids and solutes. Monoliths often have a bimodal pore size distribution that gives them a high internal surface area. Although the macro pores are of great importance for a high throughput they do not significantly contribute to the specific surface area. This mainly results from the pores smaller than 10nm, which are however not accessible for bigger proteins and DNA [3, 4].

Membrane chromatography (also referred to as membrane adsorbers) is another important development of the late 80's. In membrane based processes the transport of solute molecules to the binding sites takes mainly place by convection. Since a typical membrane bed (including stacked flat sheet membranes as well as solid or hollow fibers) has a much larger cross-sectional area relative to the bed height, compared with packed bed columns, the pressure drop is drastically reduced. This results in higher flow rates and thus higher productivities. Short diffusional distances allow for an optimal utilization of the immobilized ligand situated on the pore walls. The disadvantage of these chemically activated microfiltration membranes, is their low BET area, and thus their low adsorption capacity.

In this thesis we studied the development and performances of the particle loaded membranes (PLM's) as an alternative to state of the art chromatographic techniques. Particle loaded membrane chromatography aims to combine the advantages of both small beads and convective media without bringing along the disadvantages of a high pressure drop or low capacity.

History

In the pharmaceutical and biotechnological industry, the downstream processing of fermentation broths normally involves numerous steps for biomass removal and product purification (Figure 1.2). In the early days of biomolecule purification, the only practical method used for protein separation from complex mixtures was based on protein precipitation by water miscible organic solvents [5]. Alteration of the solvent properties by addition of neutral salts and/or organic solvents leads to the precipitation of the macromolecule due to differences in solubility. Alternative processes including adsorption techniques, gel filtration, liquid phase partitioning, electrophoretic methods and membrane technologies have lately been developed for protein purification. The adsorption techniques often result in purification steps with the greatest increase in protein purity.

Therefore, they became widely employed especially when adopted in combination with chromatographic and membrane processes.

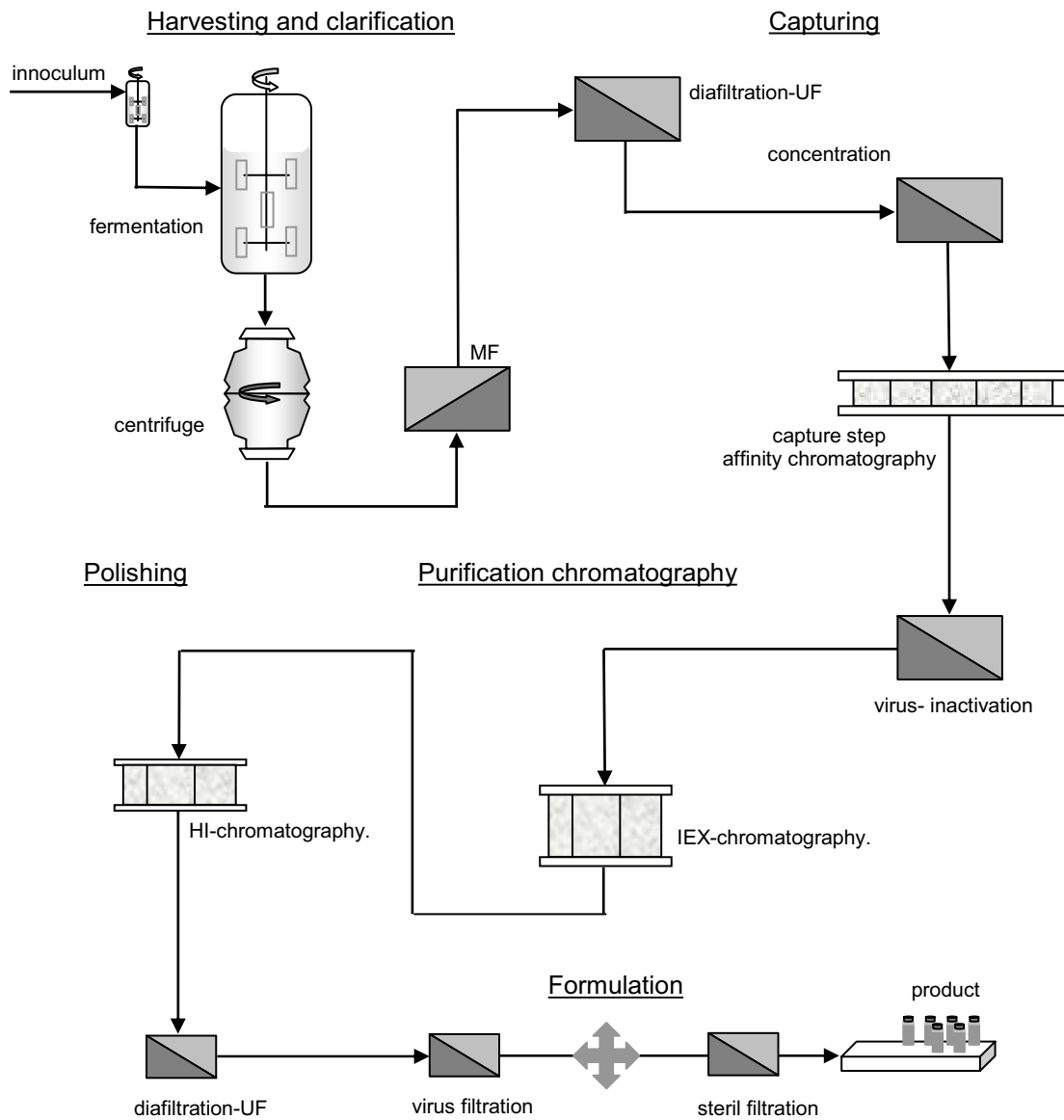


Figure 1.2 Schematic representation of a traditional packed bed chromatographic based process for the isolation and purification of monoclonal antibodies. Particle loaded membrane chromatography can replace the equivalent packed bed steps.

Column chromatography

Column chromatography is a well developed method applied in both capturing and polishing of biomolecules out of crude mixtures. Despite their large static adsorption capacity, the conventional stationary phases involved in chromatographic separations are generally not suitable for operating at high linear velocities of the mobile phase. The first chromatographic columns were realized in packed beds with approximately 100 μm beads. Their main drawback is the compression and compaction of the chromatographic bed at high velocities [6]. The pressure drop over the column is high, even for low flow rates and increases during the process time due to bed consolidation and plugging. For a given pressure drop across the bed, the throughput is inversely proportional to the bed height. Therefore other column configurations ranging from stacked (a number of short beds connected in series-parallel combinations) to radial flow arrangements (a short, wide bed curled up end-to-end upon itself) were investigated. For an efficient use of the adsorptive sites inside the chromatographic support a certain residence time, dependent on the particle, pore size and porosity is required. Shorter diffusion distances lead to faster allowable flows of the feed solution. This results in the development of chromatographic columns with smaller sized particles, with the drawback that smaller particles possess a higher flow resistance thereby creating a higher-pressure drop over the column using the same flow rate. The pressure drop in the conventional chromatographic columns using particles with a diameter of 2 μm is usually high, up to 25 MPa [7, 8]. The use of non-porous, rigid particles as chromatographic media can partially solve this problem. Furthermore, for non-porous supports, the ligands are located at the particle surface. Thus, the solute diffusion into the porous particles is no longer a limiting factor allowing for a much faster mass transfer [9]. Unfortunately, the use of non-porous supports usually causes lower protein binding capacities (due to the low binding surface), low reproducibility and high process costs [10, 11]. Micropellicular stationary phases, prepared by coating of a very thin sorbent layer onto the non-porous particle surface, also showed improved chromatographic characteristics [12].

In the last few decades, new types of stationary phases including perfusive and super-porous beads were also investigated. The particles for perfusion chromatography have large, interconnected channels allowing the solute molecules to pass faster through the support at low backpressure. Moreover, the binding capacity for perfusive media is higher than for non-porous supports leading to a more efficient separation process [10, 13]

Furthermore, since neither binding capacity nor flow rate is the limiting factor, the scaling up of a perfusive chromatographic column is relatively easy.

A different approach of lowering the pressure drop present in classical packed beds is the development of chromatographic shallow adsorption columns with large cross-section. In fact, the ideal chromatographic column has an infinitely short bed height in order to minimize the operating pressure and maximize the throughput and an infinite width so that the ligand loading and the binding capacity are maximized. In recent years, hollow fiber membranes as affinity substrates in chromatographic separation processes have been proposed as an attractive alternative to porous beads (Figure 1.3).

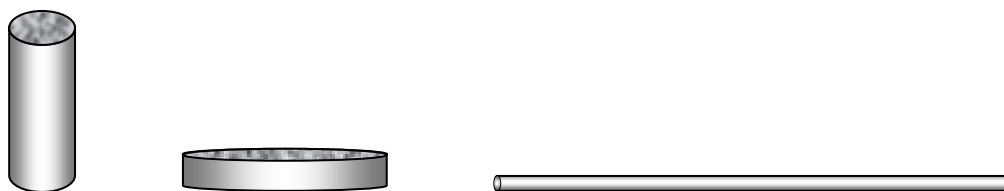


Figure 1.3 Evolution of affinity column bed geometry: 1) Packed bed ($100\ \mu\text{m}$ beads); 2) Short “fat” bed ($1\ \mu\text{m}$ beads) and 3) Macroporous hollow fiber (pore size $> 1\ \mu\text{m}$)

Ideal characteristics of a medium for protein chromatography are: (i) high selectivity, (ii) high binding capacity, (iii) high mass transfer, (iv) low unspecific adsorption, (v) incompressibility, (vi) chemically stable immobilization of ligands, (vii) non-toxic leachables, (viii) high number of cycles (reusability), (ix) sanitation by alkaline conditions (only necessary for production of therapeutic proteins), and (x) inexpensive (cost effective). [14]. In this thesis, the latest developments in stationary phases based on particle loaded membrane adsorbers will be discussed.

Membrane chromatography

In chromatographic separations based on membranes, compact porous disks, tubes or rods, the ligate-ligand interaction mainly takes place in the support through-pores rather than in the stagnant fluid inside the dead-end pores of the adsorbent particle as in the case of packed-bed chromatography. In membrane-based processes the transport of solute molecules to the corresponding binding sites takes place predominantly by convection, while diffusion is usually involved in the mass transport within the dead-end and small pores of the membrane. This minimizes some of the common limitations of classical

chromatographic beds such as process time, channeling and intra-bed diffusion. Short diffusional distances allow optimal utilization of the immobilized ligand situated at the pore walls. Furthermore, ligand and product are, compared to packed columns, only for a short time exposed to the harsh elution conditions that occur during product off loading, which decreases possible denaturation. The adsorber membranes also offer the possibility to operate sterile, in good conditions of reproducibility [6, 15]. An additional advantage of membrane chromatography is their relative low production cost. This allows the development of disposable membrane adsorbers, which can be replaced when the desirable properties (mainly binding capacity, selectivity, permeability or cleaning costs) go below the efficiency value.

Membrane chromatography proved to be a successful tool especially for separation of macromolecules. This is because the large size proteins cannot enter the small pores of the particles in packed bed columns, while in membrane-based processes they can freely flow through the macro pores of the membranes to the active sites located at the pore walls.

Another major advantage of membrane chromatography is their relative easy packing and scale-up, which unfortunately has not been extensively investigated until now.

One problem may nevertheless appear for membranes with large pore size distributions. A variance in porosity creates channeling in the membrane, which cause a preferential flow of the solute molecules through the larger pores. This preferential flow will quickly saturate the adsorptive-sites located on large diameter pores. Subsequent flow through the adsorptive saturated large pores does not result in any further capturing of solute. Meanwhile, the residual capacity of the adsorber located in small pores, which exhibit high resistance to flow, is not complete utilized causing a lower dynamic binding capacity. Suen [16] reported that a variation of $\pm 12\%$ in porosity can be responsible for a loss of 50 % in adsorption capacity at 10 % breakthrough point. For variations in the membrane thickness a three times less sensitive behavior was found.

New types of membrane chromatography supports

Solid-phase extraction using particle-loaded porous sheets and particle-embedded porous fibers (mixed matrix membrane adsorbers) became a widely used laboratory technique to isolate and concentrate selected analytes prior to chromatographic processes [17, 18]. The incorporation into a porous polymeric membrane of functional particles such as silica and

its derivatives (containing for example aliphatic functional groups), styrene/divinylbenzene based ion exchange resins or fibrous cellulose derivatives, results in adsorptive structures, which can be applied to isolate among others peptides and proteins from complex mixtures [19-21]. Most suitable particles display, in combination with the porous matrix morphology, rapid adsorption kinetics, a capacity and selectivity commensurate with the specific application and allow for fast desorption of the targeted molecule. The affinity of suitable adsorptive particles for specific molecules can be defined in terms of hydrophobic, hydrophilic, charged functionalities, molecular (imprinted) recognition, or other specific interactions. However, these materials differ from the classical affinity membranes since the binding process takes place at surface of small particles embedded in the porous matrix and not at the pore wall itself. Nevertheless they show hydrodynamic advantages similar to those of the adsorptive chromatographic membranes.

Monolithic stationary phases have emerged as an alternative to traditional packed bed columns since the late 1980's, due to their easy preparation with good reproducibility, versatile surface chemistry, low backpressure and fast mass transport. They have similar advantages with membrane chromatography but differ from the classical membrane media in terms of material, preparation and morphology [22-24]. The monoliths are mainly prepared by in situ polymerization of organic precursors or silicon alkoxides and consolidation inside the column. Fusion of the porous packing material inside the column tubing by a sintering process has also been reported. The bed macroporous structure can be adjusted as a result of the polymerization conditions (ratio and concentration of monomer and cross-linker, temperature and presence of porogenic solvents). If necessary surface modification of the obtained porous matrix may be performed in order to improve the chromatographic binding selectivity and capacity.

The monoliths usually possess a bimodal pore size distribution consisting of large micrometer-size through-pores (which allow the liquid to pass at high flow rates through the matrix under low pressure) and much smaller pores in the 10 nm range that contribute significantly to the overall surface area [25]. The continuous beds have a longitudinal dimension usually exceeding their lateral dimension. This brings the monoliths closer to the packed bed columns than to membrane chromatography. Nevertheless, they were recently successfully used for high-speed separation in reverse-phase, ion exchange, hydrophobic interaction or affinity modes especially for separation of biomolecules.

Adsorptive membrane geometries

Membrane chromatography can be performed in devices of various geometries. Macroporous adsorptive membranes and related systems such as single or stacked flat-sheet membranes, hollow-fiber, spiral-wound and cassette devices are already commercially available. Ghosh [26] reported in a 2002 review that 75 % of the articles on membrane chromatography systems were based on flat sheets, 20 % on hollow fibers and 5 % on other configurations.

The most popular commercial systems like Mustang from Pall and Sartobind from Sartorius make use of functionalized macroporous flat sheet membranes. The fibrils reinforced porous membranes are pleated (Pall) or layered (Sartorius) around a porous core. The feed is forced to permeate through the membranes in radial direction (perpendicular on the porous core). This approach results in high area to volume ratio.

The membranes used by 3M and Mosaic Systems are different. Instead of functionalization of a porous support they make use of already-functionalized beads embedded in a porous matrix. In this concept the beads are responsible for the adsorption capacity and selectivity while the porous matrix controls the hydrodynamics. The 3M modules consist of stacked flat sheet or pleated membranes where as Mosaic Systems makes use of porous fibers in which the active particles are embedded.

When thin flat sheet membranes are applied, the axial diffusion becomes more dominant and requires a lower linear flow rate through the matrix. Beside this the inhomogeneities in porosity and thickness negatively affect the performance of the system. To overcome this non-uniform flow behavior stacks of at least 30 membrane sheets are often used to average out the membrane heterogeneities [27].

By use of hollow fiber membranes the ideal module comprises short fibers with a wide bore to avoid a high pressure drop in the flow direction which would disturb the uniform radial flow pattern and creating channeling [28]. The membranes should also possess thick porous walls combining a narrow pore size distribution and a high ligand density. The hollow fiber modules can be operated in cross flow mode, which makes them especially suitable in the treatment of solutions containing particulate material.

Adsorptive modes

The operating interactions within the macroporous media involved in chromatographic separation processes are identical to those in the packed columns including affinity, ion-exchange, reverse phase and hydrophobic interactions (Table 1.1).

Table 1.1 Different types of interactions involved in chromatographic separation processes.

Type of chromatography	Separation mode/Interaction type
Affinity chromatography	Molecular structure / Bio-specific adsorption
(Metal-) chelate chromatography	Metals complex formation / Coordination complex
Ion-exchange chromatography	Surface charge / Ionic binding
Normal / Reversed-phase chromatography	Hydrophobicity / Hydrophobic complex formation
Size-exclusion chromatography	Molecular size and shape / Size exclusion

Which type of functional group is immobilized on the porous membrane surface depends on the target compound and application. Several detailed reviews of the various applications of membranes in chromatographic separations have been published recently [15, 29-33].

Affinity membrane chromatography

The affinity techniques are based on reversible bio-specific interactions between the protein and a specific ligand that result in a change of protein properties such that they can be separated from complex bio-molecules containing mixtures. The ligand molecules are immobilized on the porous surface of the embedded particles and the mixture containing the protein of interest is passed through the affinity membrane (Figure 1.4). A specific interaction takes place between ligand and ligate and retains the desired protein within the matrix support, while the other feed components pass freely through the adsorber. Affinity chromatography allows for purification of biopolymers based on biological functions rather than individual physical or the chemical properties. Isolation of a protein or a group of proteins such as γ -globulin fractions, human serum albumin, and various clotting factors from body fluids was successfully achieved using affinity membrane chromatography. Immunoaffinity techniques were widely employed for the analyses and purification of proteins [34, 35]. Immobilized antibodies were used for example in industrial scale

production of human interferon- α 2a, interleukin-2 and interleukin-2 receptor while protein A and protein G were successfully employed in therapeutic applications including purification of human immunoglobulin G from plasma and serum [36, 37].

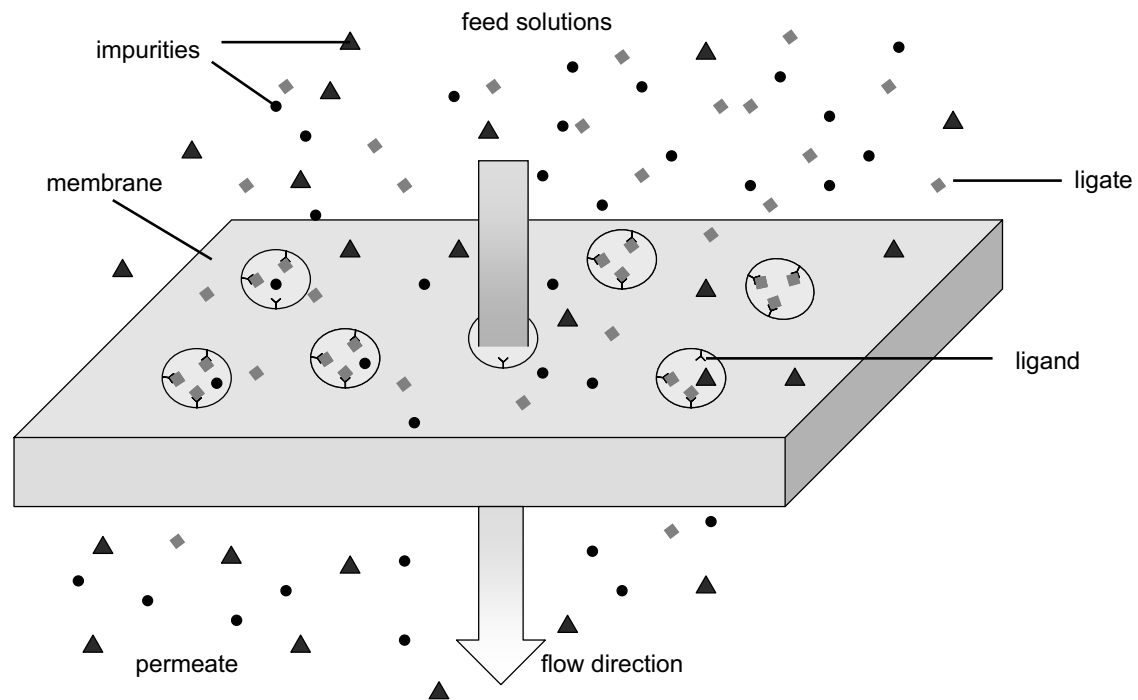


Figure 1.4 Principle of affinity membrane chromatography.

Pseudoaffinity ligands such as dyes, lysine and histidine are nowadays well established in membrane chromatography. Cibacron Blue F3-GA dye membranes (having a specific binding for nicotinamide adenine dinucleotide dependent enzymes) were successfully employed in purification of several enzymes and human serum albumins from blood plasma [38, 39]. Kasper et al. [40] proposed an affinity-chromatographic method for a fast, semi-preparative isolation of recombinant Protein G from *Escherichia coli*. Rigid, macroporous affinity discs based on a GMA-co-EDMA polymer were used as chromatographic supports. Human immunoglobulin G was immobilized by a single-step reaction. The globular affinity ligands were located directly on the pore wall surface and were therefore freely accessible to the target molecules (Protein G) passing with the mobile phase through the pores.

Examples of bioaffinity separations using monolithic stationary phases based on antigen-antibody, enzyme-substrate, enzyme-inhibitor, receptor-ligand interactions were recently reviewed [41].

Metal chelate membrane chromatography

Novel immobilized metal chelate membrane adsorbers (IMA-MA) were studied for potential use as stationary phases for protein separation. Protein adsorption on IMA-MA loaded with Cu(II), Ni(II), Zn(II) and Co(II) ions was compared as a function of the flow-rate and the ionic strength of the elution buffer. An unique application for metal chelate purification is the separation of proteins differing by a single histidine molecule in their sequence using immobilized metal affinity chromatography [42].

Ion exchange chromatography

Ion exchange is probably the most widely used chromatographic method for protein separation. Ion exchange materials were already used since biblical times to remove poisons and salts from water. The first scientific observation of the phenomenon was reported by John Thomas Way, an English agriculturist who described in 1850 the filtration of “liquid manure” through loamy soil [43]. By this treatment the manure was “deprived of color and smell by cation exchange with the calcium ions present in the soils.

The principle of protein separation by ion exchange is the electrostatic interaction between the charges of the macromolecule and the adsorber surface. The protein must displace the counter ion of the exchanger and becomes attached on the sorbent’s surface. The amount of macromolecule bound per unit volume of adsorptive membrane can be very high. However, the binding capacity depends on the molecular size of the protein and the adsorption conditions (pH, ionic strength, protein concentration). Ion exchange membranes can be produced either by modification of commercially available microfiltration membranes or by embedding of IEX-resins into a polymeric porous matrix. Ion exchange membranes can be classified as anion or cation exchanger and both classes contain weak and strong varieties (Table 1.2). An ion exchange resin is an insoluble matrix normally in the form of small beads (1-2 mm diameter), fabricated from an organic polymer substrate. The surface of the resin possesses sites that easily trap and release ions.

Table 1.2 Examples of functional groups for ion exchange resins.

Type of exchanger	Strongly acidic / basic	Weak acidic / basic
cation (acidic)	sulfonic acid groups $\begin{array}{c} \text{O} \\ \parallel \\ \text{R}-\text{S}-\text{OH} \\ \parallel \\ \text{O} \end{array}$	carboxylic acid groups $\begin{array}{c} \text{O} \\ \parallel \\ \text{R}-\text{C}-\text{OH} \end{array}$
anion (basic)	trimethylammonium groups $\begin{array}{c} \text{R}_1 \\ \\ \text{R}_3-\text{N}^+-\text{R}_4 \\ \\ \text{R}_2 \end{array}$	amino groups $\begin{array}{c} \text{R}_1 \\ \\ \text{R}_3-\text{N}: \\ \\ \text{R}_2 \end{array}$

Modern ion exchange materials are prepared from synthetic polymers such as styrene-divinylbenzene copolymers, which have been sulfonated to form strongly acidic cation exchangers or aminated to form strongly basic anion exchangers. Weakly basic anion exchangers are similar to the strong base except for the choice of amines. Weakly acidic cation exchangers are usually prepared from cross-linked acrylic copolymers. Non-cross-linked polymers are used only rarely because of their tendency to change dimensions depending on the ions bonded.

Anion exchange membrane chromatography bearing mainly quaternary amino groups or diethylaminoethyl (DEAE) groups as ligands has been used for the separation of serum proteins, microbial proteins and enzymes, membrane proteins, cytokines or nucleic acids [38, 44-48]. BSA and HSA, α -chymotrypsinogen, lysozyme, trypsin inhibitor, cytochrom c, ovalbumin, α -lactoalbumin, conalbumin, ferritin, myoglobin, chymotrypsin, are just a few of the compounds isolated by anion exchange membrane chromatography. The implementation of a monolith-based convective interactive media with DEAE functionality into a large-scale plasmid DNA purification process was recently performed [4].

Cation exchange membranes are not as widely investigated as the anion exchange membranes they allow recovery of human recombinant antithrombin from cell culture supernatants, purification of monoclonal and polyclonal antibodies and isolation of immunofusion proteins produced extracellularly by *Escherichia coli* [49, 50]. The separation of similar size proteins such as serum albumin and hemoglobin using adsorber membranes loaded both with cation or anion exchange resins was recently reported [51]. Li et al. [52] have used a cation exchange monolith (prepared directly in a fused-silica tube by polymerization of an aqueous solution of monomers including the desired ligand), as

chromatographic supports for separation of four standard proteins (cytochrom c, lysozyme, myoglobine from horse and whale). Saiful et al. [53] embedded ion-exchange resins in EVAL membranes for enzyme recovery. By use of these mixed matrix materials they obtained high adsorption capacities (63 mg lysozyme/ml membrane) while maintaining the biological activity of the lysozyme.

Hydrophobic interaction membrane chromatography

Chromatographic protein separations based on hydrophobic interactions and reversed phase make use of the interaction between the aliphatic chains on the adsorbent and corresponding hydrophobic regions on the protein surface. Typical hydrophobic adsorbents commercially available include C4, C6, C8, or C10 linear aliphatic chains, possibly with a terminal amino group. The main problems in hydrophobic interaction chromatography are the slow association-dissociation processes and protein-protein interactions. Similar proteins can interact with each other as well as with the adsorbent leading to a large degree of overlapping between the elution components.

Hydrophobic chromatography has not been used as frequent as ion exchange or affinity chromatography in protein separation since sharp separations are not achieved. Nevertheless, Ghosh [54] was able to fractionate human plasma proteins (HSA and HIgG) by hydrophobic interactions chromatography using macroporous polyvinylidene fluoride (PVDF) membranes having a 0.1 μ m pore size. At 1.5 M ammonium sulphate concentration only HIgG binds to the membrane while HSA did not show any interaction with the support.

Chromatographic units with butyl ligands were used for the purification of the recombinant tumor necrosis factor [48]. Tennikova et al. [6] reported the separation of a protein mixture containing myoglobin, ovalbumin, lysozyme and chymotrypsinogen on monolithic columns with C4 or C8 ligands. Particle loaded membranes with embedded C8 hydrophobic adsorbents were intensively investigated for several drug separations. Tricyclic antidepressants, antiarrhythmic drugs, amiodarone and its metabolite desethylamiodarone, mexiletine and flecainide were extracted from serum using a 11 mm C8 membrane adsorber with recoveries ranging from 82 to 98% [17, 55]. Carbon-based PLM extraction disks were used for the removal and concentration of highly polar pesticides from water [56].

Size exclusion membrane chromatography

Until now, membrane materials have exclusively been used for interactive chromatographic modes and never for size exclusion chromatography, the only non-interactive mode of chromatography. Nevertheless stacked ultrafiltration membranes have been used to perform multistage ultrafiltration, which is analogous to size exclusion chromatography [57]. The development of rolled stationary phase modules from textile materials [58] constitutes the single interesting development of an alternative stationary phase for large-scale SEC of proteins. The module looks similar to the Mosaic Systems coiled fiber bed concept. The largest porous volumes are located both in between the yarns (inter yarn) and the fibers that are bundled in the yarns (inter fiber) allowing a substantial inter fiber flow. It has also been hypothesized before that stacks of ultrafiltration membranes with large pores to overcome hydrodynamic drawbacks and smaller diffusive pores – ‘perfusion membranes’ – can perform ‘normal’ SEC [59].

Membrane chromatography applications

Table 1.3 represents a selection of membrane chromatographic applications out the different disciplines.

Table 1.3 Different applications in membrane chromatography

Ligand	Target protein	Geometry	Reference
Immunoaffinity ligand based membrane chromatography			
Human IgG	Protein G	Flat sheet	[40]
Monoclonal antibodies	Interferon α 2a, interleukin-2 and interleukin-2 receptor	Hollow fiber	[34]
Protein A/G affinity based membrane chromatography			
Protein A	Human IgG	Flat sheet	[35]
Protein G	Human IgG	Flat sheet	[60]
Low molecular weight – dye based membrane chromatography			
Cibacron Blue F3-GA	BSA	Flat sheet	[38]
Tryptophan	IgG	Hollow fiber	[61]
Immobilized metal ions and polymeric ligands membrane chromatography			
Cu ²⁺ immobilized metal ion	Cytochrom c, lysozyme	Flat sheet	[42]
Trypsin	Soybean trypsin inhibitor	Flat sheet	[62]
Ion exchanged membrane chromatography			
Strong cation (sulphonic)	Hemoglobin, lysozyme	Radial flow	[63]
Weak cation (carboxylic)	BSA	Flat sheet	[64]
Strong anion (quaternary ammonium)	Recombinant human monoclonal antibodies	Flat sheet	[65]
Weak anion (DEA)	BSA	Hollow fiber	[66]
Hydrophobic interaction membrane chromatography			
Polyvinylidene fluoride PVDF	HSA - HIgG fractionating	Flat sheet	[54]
Carbon	Pesticides	Flat sheet	[56]
Size exclusion membrane chromatography			
	BSA – NaCl desalting	Structured fabrics	[58]

RESEARCH AIM AND OUTLINE

The increasing demand for biologics requires extension of the current production facilities or more efficient processing. In particular separation and purification processes require better process concepts. Almost all large scale process configurations are based on packed bed chromatographic systems. The separation and purification processes are dictated by the hydrodynamics of these systems. In fact the minimal size of particles is dictated by the allowable hydrodynamic conditions. Current development programs are focusing on the restricted mass transfer in the stagnant mobile phase residing within the pores of the packing material. This causes a too long diffusional path for the sample molecules into and out the pores. That makes that the dynamic adsorption capacity of these packed bed systems strongly depends on the residence time (linear velocity) in the column. Adsorptive beads are in general bigger than 100 microns. For process intensification, i.e. much larger functionality in similar column or module volume one desires to use much smaller particles.

This thesis describes a new technology allowing the application of smaller particles, without bringing along a high pressure drop and sensitivity for fouling and plugging as the disadvantages. The particles are immobilized in a porous matrix formed into different geometries such as solid and hollow fibers. The particles are responsible for the selectivity and adsorption capacity whereas the porous matrix controls the hydrodynamics of the system. We call these structures mixed matrix materials. The different geometries allow for organizing the particles into 3D superstructures. The superstructures can be adjusted for direct treatment of complex fermentation broths.

Chapter 2 describes the membrane forming process in the presence of particles. In particular the influence of particles on viscosity and on the polymer dope composition will be clarified. In **Chapter 3** we demonstrate that there are two routes to improve the adsorption capacity of the particle loaded membrane chromatographic systems (a) grinding of non-porous particles and (b) increasing of the particle load. The gain in grinding, for the target components, entirely accessible porous particles is a lower diffusional resistance, which benefits the process adsorption kinetics. In **Chapter 4** we describe the coiled fiber module, a new type of membrane adsorber. We prove the flexibility of this concept by tailoring the module performance towards a high throughput or a high recovery by varying the layout spacing and winding tension. Moreover we try to predict the module

performance based on single fiber incubation experiments. In **Chapter 5** we present a new type of membrane adsorber: the particle loaded hollow fiber membrane adsorber. We prove that despite of all the criticisms reported in literature on hollow fiber membrane chromatography this is a viable concept. This is because the hollow fiber module has the highest frontal area per adsorber volume, is fully convective controlled and can be cleaned by back and forward flushing. Potential liquid flow maldistribution is compensated by connecting a minimum of 3 hollow fibers in series, which results in an optimal ligand utilization without product loss. The hollow fiber concept is positively validated on the isolation and concentration of lysozyme from crude fresh egg white solutions.

In **Chapter 6** a new route is described for the stabilization of apple juice. To avoid filtering aids ultrafiltration has gained importance in clarification of fruit juices. However fruit juices processed by ultrafiltration are not always stable during storage. Polyphenols, relatively small molecules have been found to be responsible. By combination of filtration and adsorption we were able to prepare stable apple juices. **Chapter 7** finally describes the development of two new types of size exclusion stationary phases, for process scale desalting of proteins. It was found that the resolution of the newly developed stationary phases is somewhat lower, however the allowable throughput is higher than that of the commercial packed bed systems. The fiber concept is therefore of interest for large scale applications that only need partial removal or purification e.g. adjustment of ionic strength before an ion-exchange step or protein refolding.

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PREPARATION OF PARTICLE LOADED ADSORBER MEMBRANES

ABSTRACT

This study presents the influence of particle dispersion in a polymer dope on the membrane forming process. Dispersion of ion-exchange particles, 50 % by weight, into a polyethersulfone polymer solution increases the viscosity. The higher the viscosity of the polymer solution, the more restricted is the non-solvent inflow into the polymer solution during the membrane forming process. A high viscosity of the polymer rich phase, also limits the growth of the polymer lean domains. Together with the higher mass flow resistance it results in a denser surface layer covering a porous sub layer containing a lot of small pores. The increase in viscosity changes the membrane morphology from an open structure with “fingerlike” cavities into a macroporous structure containing fine pores.

The viscosity of the polymer solution containing dispersed glass flakes, with a very different width to height ratio, was much more shear thinning than that of more spherical particles. This can be explained by a more pronounced disentanglement of the polymer chains.

The viscosity increase of a polymer solution by dispersion of ion-exchange particles can not only be contributed to the increase in solids but also to particle swelling thereby withdrawing solvent and non-solvents from the polymer dope. In this work we prove that the swelling is caused by selective non-solvent uptake thereby changing the composition ratio (solvent – non-solvent) in the dope solution. This change in composition stabilizes the polymer solution. Consequently the membrane forming process slows down resulting in excessive shrinkage of the future membrane and strengthening of the formation of macrovoids, which is unfavorable in membrane chromatography systems.

Introduction

Recently a lot of research is carried out to optimize the isolation and purification processes for the production of biologics. One of the most promising techniques is the membrane chromatography technology for which the target components are not separated and isolated by slow diffusion controlled processes but by fast convective flows [1].

Up to now, most of the reported work focuses on modification of existing macroporous membranes mainly by chemical modification and radiation grafting methods [2, 3]. Several articles regarding the preparation of membrane adsorbers by physical methods such as blending, mixing, and coating were recently published [4-6]. Particle-loaded membrane adsorbers (PLM's) are used in membrane-extraction, a new development in the field of solid phase extraction (SPE) [4, 5, 7, 8]. The particle-loaded membrane adsorbers are mainly prepared by sintering of particles with substrates such as polytetrafluoroethylene, glass fibers, poly(vinyl chloride) or chelating membrane-based phases. The types of sorbents applied are silica, styrene and divinylbenzene copolymer, carbon and ion-exchange materials. The particles are preferably small in order to increase their active surface area and to improve their kinetics. An uniform packing allows high flow-rates without channeling problems.

Another type of membrane chromatography, the resin-mixed membrane adsorber, is prepared by a phase inversion method. The functionality is incorporated by dispersion of active particles in a porous polymeric matrix. The polymer used should not interfere with the activity of the particles. Such membrane adsorbers can be prepared in different geometrics and can be operated either in stacks of flat membranes or as a bundle of hollow fiber membranes. According to this concept Avramescu et al. [9] have prepared the resin mixed EVAL (ethylvinylalcohol) based flat sheet membrane adsorbers with ion exchange functions showing a highly efficient protein purification.

For traditional membrane formation processes there is a lot of information available e.g. as published by Smolders et al. [10]. Nonetheless there is hardly any knowledge until now about membrane formation processes in the presence of particles. For an efficient application of particle-loaded membranes it is important that after embedding, the particles do not show any reduced activity or accessibility with respect to the target molecules. This means that the particles should not be covered by the polymer or embedded in closed cells.

BACKGROUND

Particle-loaded membranes can be prepared in different morphologies. Several types of configuration for membrane chromatography devices including flat-sheets, hollow fibers, spiral wounds, and polymer rods have been reported [1, 7-9, 11, 12]. Up to now, most of the work reported focuses on the chemical modification of existing macroporous membranes such as the commercially available products from Sartorius, (Sartobind) and Pall (Mustang).

In traditional packed bed chromatographic separation processes, especially in the case of high molecular weight target molecules the interior of the packing does not contribute to the capacity in the capturing and polishing steps. Small particles however are fouling sensitive, and require high pressure drops over the column length as can be deduced from the Ergun equation applicable for incompressible media, which shows that the pressure drop over a column increases exponentially with the reduction in particle size [13].

When compressible beads like the popular Sepharose beads from GE-Healthcare are applied, the pressure drop over the column increases above a critical value more than exponential with the flow velocity. Using Sepharose 4FF beads with a particle diameter of 90 μm , a bed height of 15 cm the maximum linear flow velocity that can be applied is 200 cm/h. When higher flow rates are used bed compression takes place resulting in an exponential increase in flow resistances [14].

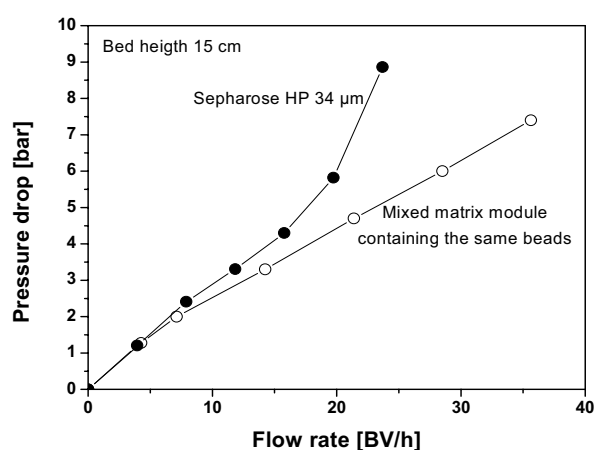


Figure 2.1 Pressure drop over a Sepharose HP packed bed, particle size 34 μm and a mixed matrix module containing the same beads as function of flow rate in bed volumes per hour.

By using smaller (34 μm) and more rigid beads (Sephacrose HP) the bed compression is less pronounced but even in this situation the pressure drop over the column increases rapidly when using flow rates above 200 cm/h (Figure 2.1).

The advantage of particle loaded membrane adsorbers, as studied by Avramescu [9] in flat sheet and fibers and commercialized by Mosaic Systems in a coiled fiber configuration, is the combination of both a high flow rate and a high capacity. This can be established by entrapping of small functionalized particles in a macroporous membrane. The highly porous membrane structure has a low resistance against mass flow ensuring convective transport of the target molecules to the active binding sites. The small embedded particles cause a high adsorption/affinity area per unit of column volume. The embedding of particles in a macroporous matrix makes the column/module insensitive for possible bed compression, even when high flow rates are applied. Because the embedded particles are individually fixated in a three dimensional network, possible deformation of individual beads has no accumulating effect on the flow restriction.

Particles in the membrane forming processes

Adding particles to polymers for the production of composite materials is a common process. In general these particles are referred to as fillers and are added to polymeric materials to enhance mechanical properties or to reduce the overall production costs. The fillers are normally cheap and are selected on basis of their unreactivity and compatibility with the polymer matrix. Typical particles with applications in protective clothing, car tires and protective garments for x-ray / electromagnetic shielding material are clays, talc, glass and carbon black.

The addition of porous fillers is also known. They are normally added to enhance filtration or separation performance from gasses or liquids [15]. Another approach is to embed zeolite particles into a polymer to form a kind of immobilized adsorber e.g. the trapping of ammonia. Amerace Corporation filed a patent (US 4,102,746) regarding the immobilization of enzymes on filler materials dispersed through a binder material. Enzymes immobilized into a macroporous membrane have relatively high reaction efficiency when used to act on a substrate.

In membrane chromatography processes using particles loaded membranes it is important that after embedding the particles are still accessible for the target compounds. This means that during the membrane formation process, the particles should not be coated by the

matrix forming polymer, entrapped in closed cells, deactivated by the use of solvents or applied physiological conditions. Figure 2.2 proves that this is not straightforward and that not all attempts to entrap particles in a macroporous structures are successful.

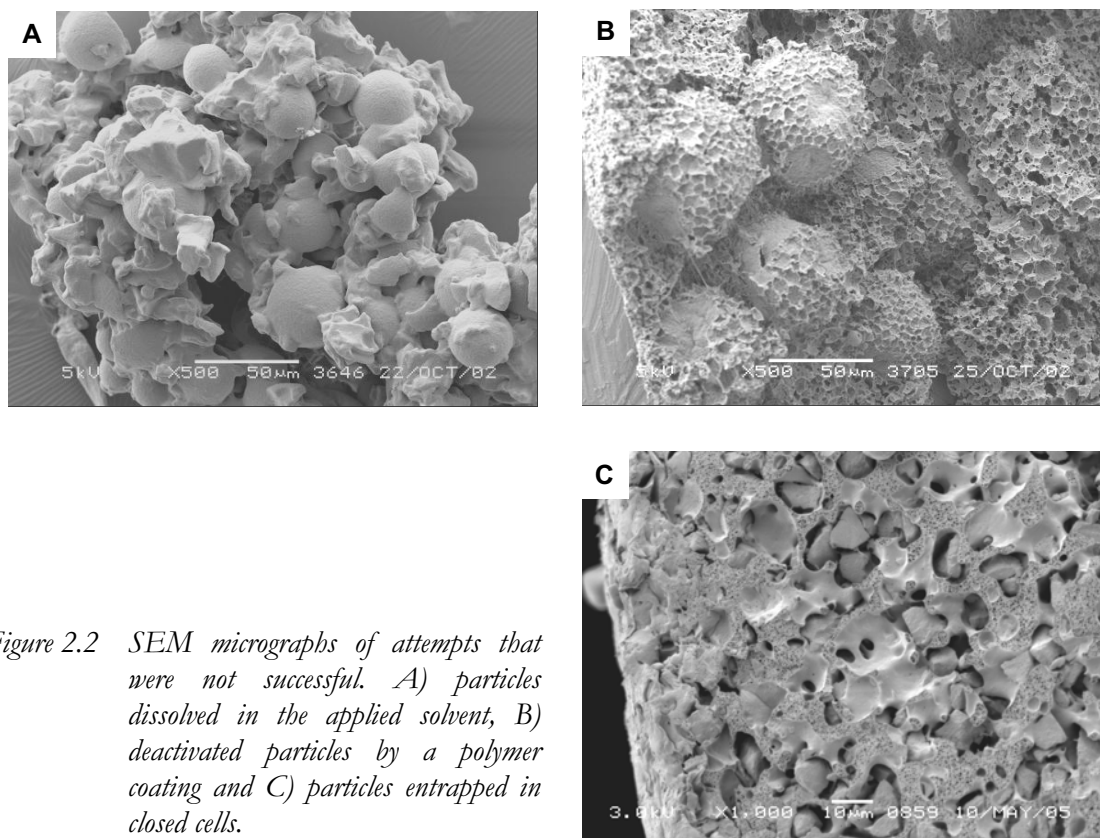


Figure 2.2 SEM micrographs of attempts that were not successful. A) particles dissolved in the applied solvent, B) deactivated particles by a polymer coating and C) particles entrapped in closed cells.

Furthermore, by the use of porous beads, the polymer chains should not penetrate into the porous structure thereby diminishing the accessibility of the active sites in the interior of the beads. The matrix should have sufficient mechanical strength, inertness and a porous structure that allows convective flow. The pores of the hosting matrix, however should not be too big to prevent particle loss during the formation of the composite structure or during processing.

In a simple membrane forming process usually 3 components are involved: polymer, solvent and non-solvent. For the membrane preparation a thin layer of polymer solution typical of about 300 μm is cast on a glass plate or extruded and immediately transferred into a non-solvent bath. Now the solvent exchanges with the non-solvent, which result in an increase of the non-solvent concentration in the polymer solution. The polymer solution becomes instable and phase separates in a polymer rich phase, which solidifies and forms the polymer skeleton and a polymer lean phase that forms the pores of the membrane to

be (Figure 2.3). Ternary systems are always characterized by a liquid – liquid demixing gap (non-stable region). The boundary between the stable and non-stable area is called binodal. The dashed lines are the tielines, a composition situated on the tieline splits-up in the two liquid phases connected by the tieline and situated on the binodal. Nucleation of the polymer lean phase (membrane formation) starts when the non-stable area is entered, this takes place after immersing the polymer solution into a non-solvent bath.

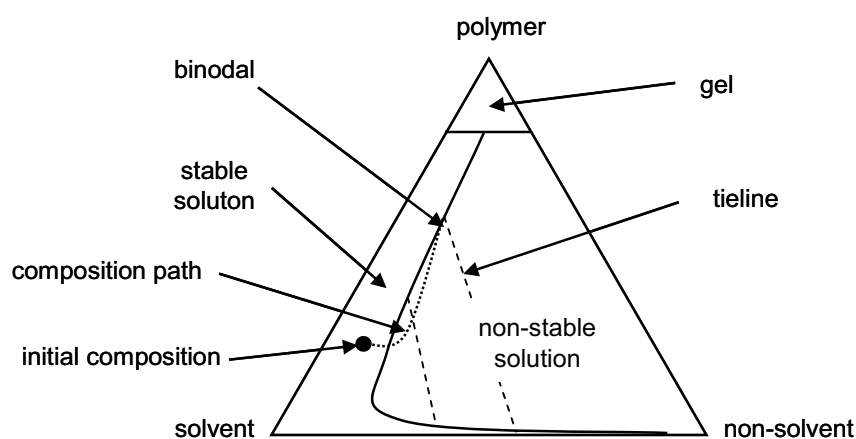


Figure 2.3 Schematic representation of a ternary phase diagram. The porous matrix structure is formed when the composition of a polymer solution changes from the stable region into the non-stable region.

The inflow of non-solvent and solvent into the polymer lean domains results into domain growing, pushing away the polymer rich phase. The polymer concentration in the polymer rich phase becomes higher and gelation takes place. Coalescence of the polymer lean domains before solidification leads to the formation of an open porous structure [16]. In the case of particle loaded membrane formation, the viscosity of the dope solution is attributed not only to temperature, molecular weight and polymer concentration but also to the type, size and amount of particles dispersed in the solution.

In this chapter we will focus on the effect of particles in the membrane forming process. Special attention will be paid to the influence of particles on the dope viscosity and on the composition change in the dope by selective uptake of solvents and non-solvents, which strongly affects the membrane formation. A minimum total solids (matrix polymer + particles) content is required to allow efficient casting or extruding and for obtaining membranes with sufficient mechanical strength.

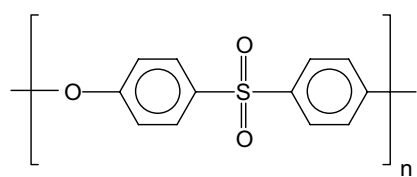
EXPERIMENTAL

Materials

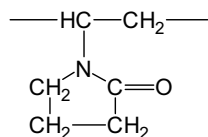
Polyethersulfone (PES, Ultrason E6020P), kindly supplied by BASF-Nederland, was used as a matrix forming polymer. PES is a slightly hydrophilic engineering plastic with a molecular weight (Mw) of 50 kDa that is commonly used in membrane production. Polyvinylpyrrolidones (PVP's, Fluka) with different molecular weights (K15, K30 and K90), polyethylene glycol (PEG400, Merck) and demineralized water were used as additives in the membrane preparation. N-methylpyrrolidone (NMP 99 % purity, Acros Organics) was employed as solvent. Lewatit cationic exchange resins (CER) CNP80WS (a weak acidic, macroporous, acrylic-based cationic exchange resin) and MonoPlus SP112WS (a strong acidic, macroporous polystyrene based cationic exchange resin) were kindly supplied by Caldic, Belgium and used as filler materials. The particles were milled and air classified till fractions with an average particle size of about 7 μm were obtained. Glass flakes with a particle size below 15 μm and glass powder beads finer than 50 μm obtained from Kremer Pigmente in Germany were used for comparison.

Table 2.1 Particles characteristics

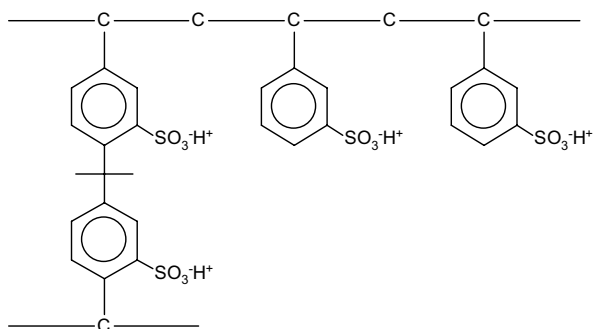
Particle type	CNP80	SP112	Flakes	Beads	units
Core material	polyacrylate	styrene divinylbenzene	glass	glass	
Active group	-COO ⁻	-SO ₃ ⁻	-	-	
Density	1.19	1.24			g/ml
Water retention	48	54			wt %
IEX capacity	4.3	1.7			eq/l
Particle size	7.4	7.9	<15	<50	μm



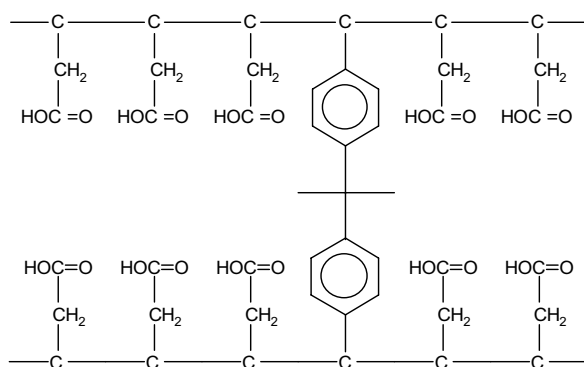
Polyethersulfone (PES)



Polyvinylpyrrolidone (PVP)



Lewatit SP112



Lewatit CNP80 WS

Figure 2.4 Chemical structures of the matrix polymer and the applied resins.

METHODS

Mixed matrix preparation

In order to study the influence of particles in a polymer dope on the membrane forming process, different types of Lewatit ion exchange resins, glass beads or glass flakes were dispersed into a polymer solution containing 12.6 % PES in NMP. PVP and water were used as additives in order to tailor the porosity of the adsorber (Table 2.2). The mixtures were stirred over night to break down possible particle clusters. For the solid fiber preparation the particle containing dope solution was extruded manually through a syringe, into a water containing coagulation bath of 90 °C.

Table 2.2 *Composition of the polymer solution.*

Base solution		
PES	12.6	%
NMP	73.0	%
H ₂ O	4.2	%
PVP	10.2	%
+ 50 w/w% particle*		

* unless mentioned otherwise

Viscosity measurement

The viscosity of the polymer solutions involved was measured using a Brabender type viscosity meter (Viscotron 8024). Samples of the polymer solutions were brought into the Viscotron cell and the temperature was stabilized for 15 minutes. The measurements were carried out at temperatures between 25 and 75 °C for shear rates ranging from 0.5 to 512 rpm. The viscosity (η) in $mPa \cdot s$ can be calculated according to Eq. 2.1:

$$\eta = \frac{B \cdot S \cdot K}{n} \quad \text{Eq.2.1}$$

$B = \text{range } (-)$

$S = \text{signal read out } (-)$

$K = \text{spring constant } (Nm)$

$n = \text{rotation } (min^{-1})$

Electron Microscopy

For morphological studies the particles were glued on double-sided adhesive tape. The fibers samples were prepared by cryogenic breaking of wet fibers. Before examination using a Scanning Electron Microscope (SEM, JEOL JSM 5600LV) the samples were allowed to dry overnight under vacuum at room temperature and coated with a thin platinum layer using a JEOL JFC-1300 auto fine coater.

Particle solvent uptake and swelling

Different mixtures of dried particles, solvents and non-solvents were added to calibrated tubes. From all these dispersions the particle swelling was determined based on the volume change. After equilibration, the composition of the supernatant was determined by measuring the refractive index. From the obtained results the selective uptake of solvent and non-solvent could be calculated.

RESULTS & DISCUSSION

To examine the influence of Lewatit ion-exchange particles addition on the membrane forming properties glass particles were used as a reference. The glass beads (pre-selected based on supplier data) were examined by SEM for selecting the ones that resemble mostly in size and shape the Lewatit resins. It was found that glass beads with a particle size up to 50 micron and glass flakes with a particle size below 15 μm show the most similarity (Figure 2.5). Therefore these particles were chosen and applied in their native form in further experiments.

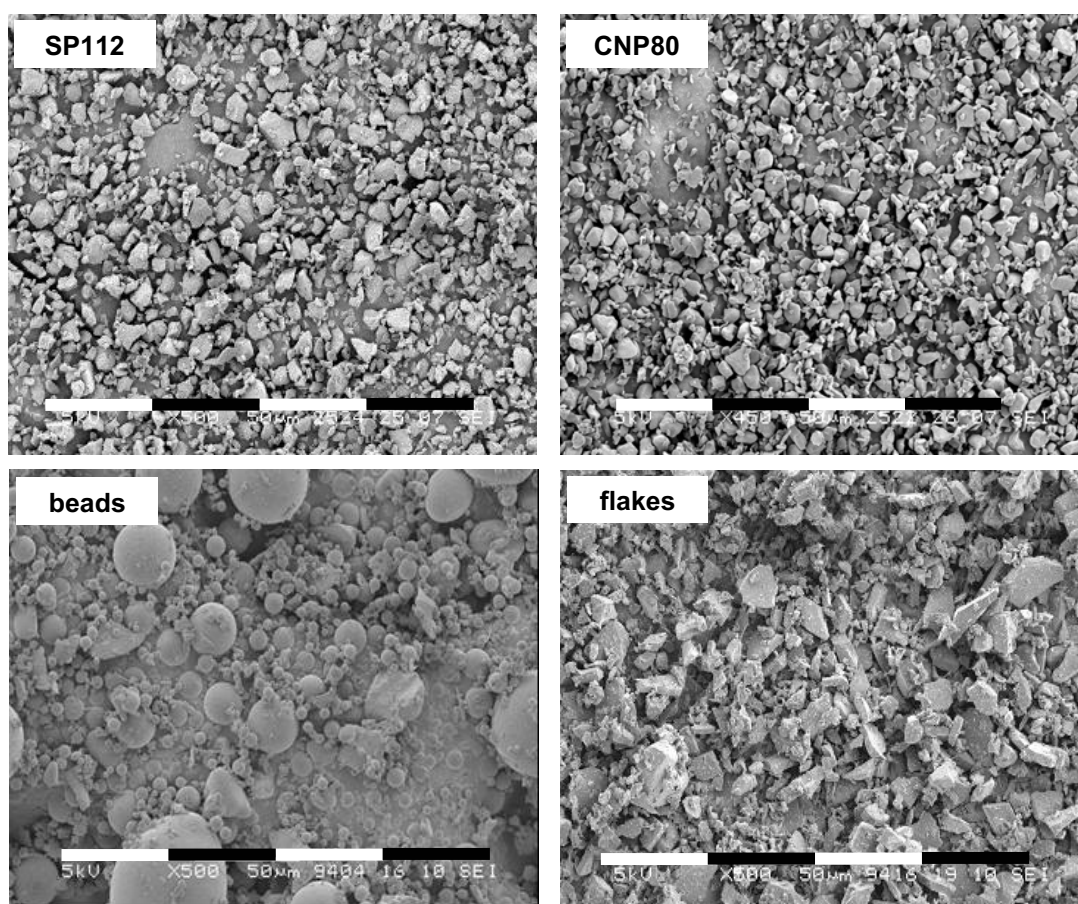


Figure 2.5 SEM micrographs of the applied particles. On top the Lewatit ion exchange particles, below the glass beads and glass flakes. The scale bars indicate 50 micron.

Viscosity measurements

The influence of Lewatit particles on the viscosity of a polymer solution was studied by adding two different IEX-types of similar particle size and particle size distribution to a

base polymer solution. It was found that the viscosity of the IEX-particles containing polymer solution was 2 till 4 times higher than that of the base solution (Table 2.3)

Furthermore the viscosity of carboxylic functionalized particles (CNP80) was much higher than the viscosity of the sulphonic (SP112) one. As reference glass powder beads and glass flakes of similar particle size were dispersed in the base polymer solution.

Table 2.3 The viscosity data measured at 45 °C at the lowest possible share rate 0.0083 s⁻¹.

CNP80	SP112	Base	Beads	Flakes	
40	32	17	22	20	Pa.s

This increase in viscosity is in agreement with Einstein's equation for the viscosity of dilute suspensions valid for neutrally buoyant rigid spheres, which interparticle distance is large compared to the mean particle size (Eq. 2.2). Under these conditions the particle movement is so slow that the kinetic energy can be neglected and that there is no slip relative to the particle surface [17]. Where η_r is the ratio of the viscosity of the suspension to the viscosity of the suspending medium, ϕ the volume fraction of the dispersed particles and α_E is the Einstein constant.

$$\eta_r = 1 + \alpha_E \cdot \phi \quad \text{Eq. 2.2}$$

In general an α_E value of 2.5 is accepted if the volume fraction of the particles is below 10 % [18]. At higher volumes fractions or for interacting particles the Einstein constant increases and/or the equation can be written as a power series. Metzner [19] describes that also high viscosities overpowers the inter-particle forces. He stated that molten polymers in general fulfill this requirement. His indicative viscosity of 100 Pa.s is 2 till 5 times higher than our values.

All particle loaded solutions show shear thinning. One should note from the stress-ramp curve (Figure 2.6) that the viscosity of the glass flakes solution strongly depends on the shear rate. This can be explained by a more pronounced disentanglement of the polymer chains by the glass flakes having a very different aspect ratio compared with the more

spherical glass beads and Lewatit particles and by the alignment of the particles as a result of the shear stress applied by the rotating cylinder from the viscosity meter.

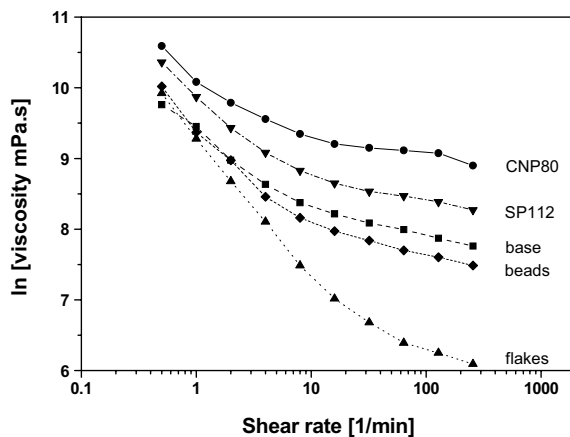


Figure 2.6 *Viscosity of a PES containing base polymer solution to which different ion exchange resins and glass particles were added. The polymer solutions containing ion exchange resins show at low shear rates an increase in viscosity whereas applying higher shear rates the viscosity drops by disentanglement of the polymer chains by the glass beads and glass flakes (Temperature 45 °C).*

Since the solutions are shear thinning the effect of the particles on the viscosity increases with the applied shear stress. Aerts et al. [15] who dispersed 10 % ZrO_2 particles of 0.8 μm in an 18 % polysulfone solution in NMP also observed shear thinning effects, indicating that the polymer network is broken down due to the applied force. At higher filler concentration ($\phi > 10\%$) the particles with an adsorbed polysulfone layer interact with each other by particle – particle interaction. These interactions cause the formation of a polymer particle network and consequently non-Newtonian flow behavior is observed. This affect was more pronounced with less hydrophilic particles. Kawaguchi et al. [20] described the same effect by adsorbing different amounts of (hydroxypropyl)-methylcellulose on silica particles. They explained the viscosity increase by the increase of the effective particle volume fraction ϕ . White et al. [21] studied the influence of different type of particles on the rheological properties of polymer melts. For this they dispersed 20 wt% mica flakes and glass beads in a polystyrene melt. In this system the response is determined by hydrodynamic interaction and not by particle-particle interactions. The authors also found a more pronounced shear rate dependent viscosity for the flakes when compared to the glass beads, which is in agreement with our own data.

The viscosity of the polymer solution that consists out of 12.6 wt% polyethersulfone (PES) and an equal amount of Lewatit CNP80 is 6000 mPa.s measured at 45 °C with a shear rate of 8.5 s⁻¹. This value is comparable to the viscosity at infinite shear rate and similar to the viscosity during the fiber spinning process, calculated as described by Nunn et al. [22].

The viscosity of the polymer solution plays an important role in the membrane forming process. The higher the viscosity of the polymer solution, the more restricted is the non-solvent inflow into the polymer solution. A high viscosity of the polymer rich phase, limits also the growth of the polymer lean phase by a higher mass flow resistance. This results in a dense surface layer covering a porous sub layer containing a lot small pores (Figure 2.7).

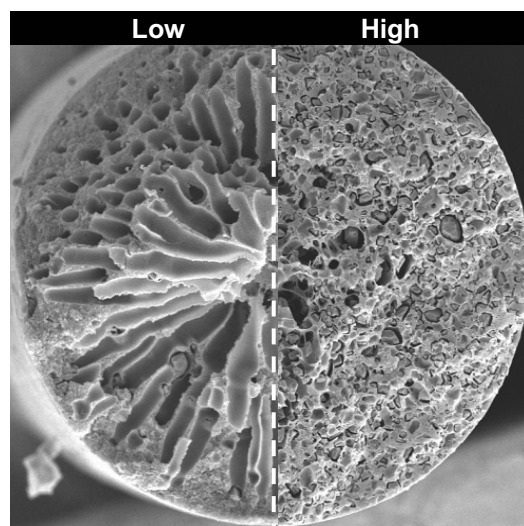


Figure 2.7 The influence of the amount of particles on the membrane structure. The particles content on the left-hand side is 10 % and on the right-hand side 50 % by weight.

The change in viscosity using the ion exchange type particles depends on the porosity and swelling behavior of the particles. According to the data presented in Table 2.1, the IEX CNP80 and SP112 particles have a water retention of about 50 % resulting in a higher viscosity of the dope solution. This leads to porous matrices that consist of a fine web of pores without fingerlike cavities. By addition of glass particles the viscosity of the dope solution is lower and therefore the polymer lean phase has a less restricted growth. This results in the formation of porous structures that consist of a polymeric network containing large fingerlike cavities (Figure 2.8). These cavities are in the case of membrane adsorbers undesired because they create heterogeneities in the porous structure. The mass transfer resistance of the target molecules to the active sites surrounded by these cavities at

the same distance from the interface is lower. Especially in the case of convective controlled systems this leads to channeling and results in an early breakthrough and a non-complete utilization of the adsorption capacity.

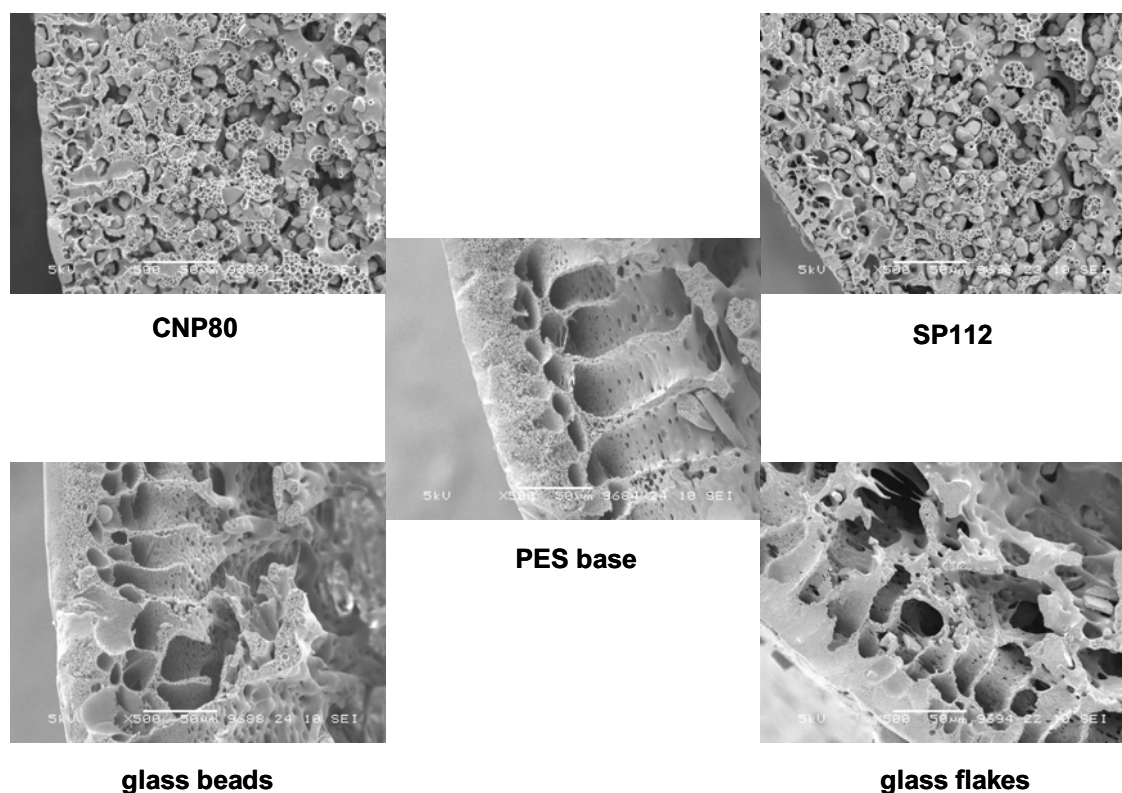


Figure 2.8 Cross sections of membranes prepared with 50 wt% loading of different particles. Magnification X500, the bar indicates 50 μm .

To obtain a glass flakes solution with the same viscosity as that of the CNP80 resins the volume fractions of the glass flakes have to be increased by a factor of 2.5. Figure 2.9 shows SEM micrographs that prove that the membranes prepared out solutions with about the same viscosity show a clear morphological similarity. Besides this the SEM images make clear that there is no different interaction between the polymer solution and the different particles.

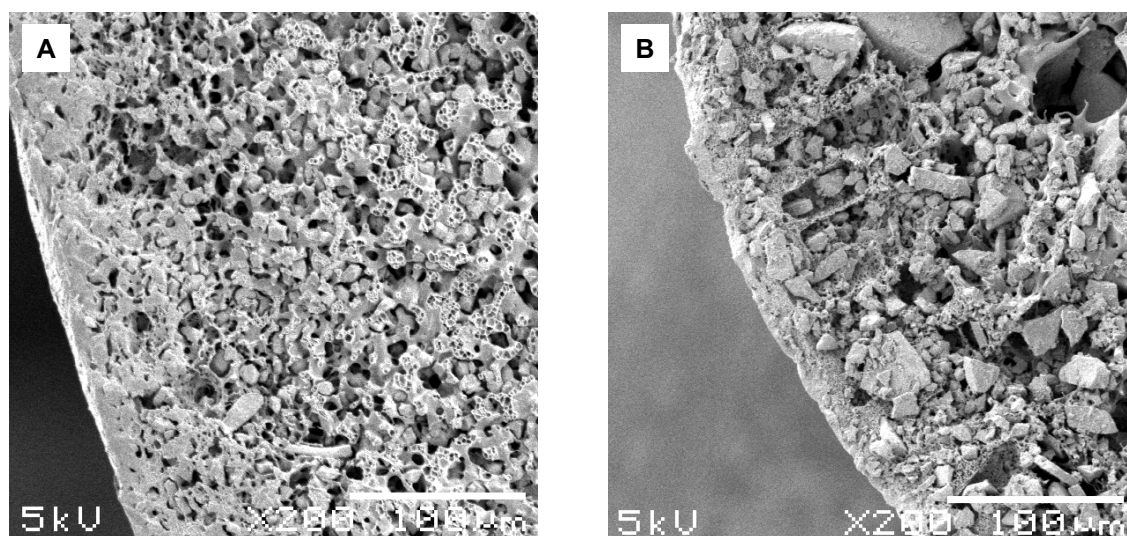


Figure 2.9 SEM micrographs of membrane cross sections prepared out of solutions with similar viscosity. A) CNP80 / PES (1:1) and B) glass flakes / PES (2.5:1).

Particle solvent uptake and swelling

For both CNP80 and SP112 particles we found that the NMP uptake/swelling was neglectable. When the IEX particles were exposed to water the swelling was about 100%. When adding a few percent of water to the NMP solution, similar to the dope composition, the water pre-swells the particles making them accessible to NMP. The final change in volume is identical to pure water swelling (Figure 2.10). Refractive index analysis of the supernatant proves that all the water present in the mixture was selectively absorbed by the particles. Since the absorbed water could only contribute for 33 % of the total swelling the additional swelling is caused by the solvent uptake. These results elucidate that the viscosity change of a polymer solution cannot be only contributed to the presence of particles, their size, morphology and amount of particles, but also depend strongly on the selective solvent uptake and the volume change of the particles.

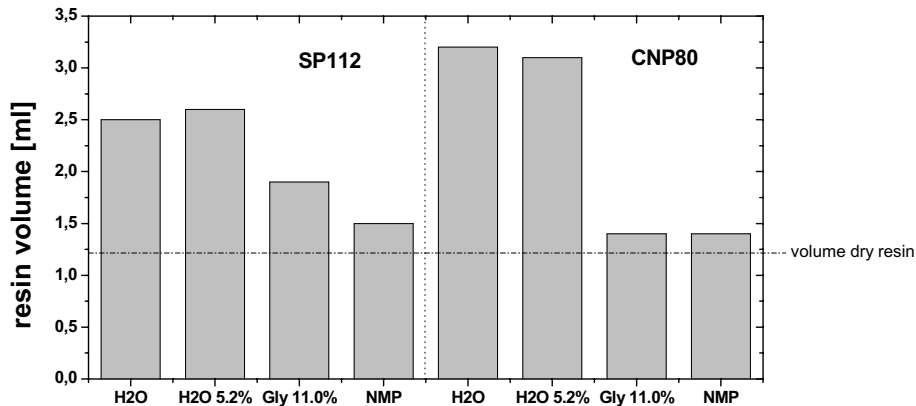


Figure 2.10 Swelling of particles after 86 hours of immersion in different media: water, NMP, 11.0 % glycerol in NM and, 5.2 % water in NMP. The dotted line is the volume of un-swollen resins.

The change in viscosity is not the only factor responsible for the changes in the membrane morphology. Because of selective uptake of non-solvent out of the polymer solution the starting point in the phase diagram is shifted in the upper left direction, towards the solvent-polymer axis (Figure 2.11).

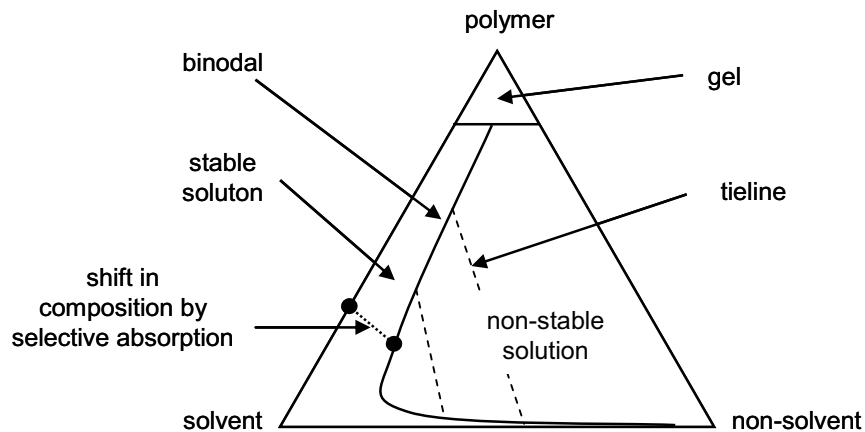


Figure 2.11 Shifting of the dope composition because of the selective non-solvent absorption by IEX particles.

This means that during the membrane forming process more time is needed for non-solvent in-diffusion. This delayed demixing results in a denser membrane structure, which is unfavorable for the mass flow of the target components to the interior of the fiber. Later

in chapter 4, we will show that the co-extrusion of a particle free polymer solution can prevent this densification.

CONCLUSIONS

The capacity and the selectivity of particle loaded membrane adsorbers are to a great extent dependent on the applied type of particles, particle size and particle size distribution.

Using a PES in NMP polymer solution the viscosity increases by addition of Lewatit type ion exchange resins. This results in a change in morphology from a coarse porous structure with fingerlike cavities into a fine porous matrix without these cavities (macrovoids). The viscosity of polymer solutions containing glass beads and glass flakes was more shear dependent than the polymer solution containing ion-exchange resins. The glass particles are reducing the entanglement of the polymer chains thereby lowering the viscosity. This effect is more pronounced, due to large height to width ratio of flakes compared to beads. Phase separation from these low viscous solutions results in coarse porous structures containing even bigger macrovoids. By applying low ratios of glass flakes even delamination inside the porous structure was observed. Not only the fact that the particles dispersed in a polymer solution affects the viscosity but also the change in dope composition by selective adsorption of solvents, additives or non-solvents from the dope solution, is of large influence on the membrane forming properties.

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STATIC ADSORPTION CAPACITY AND ACCESSIBILITY OF EMBEDDED PARTICLES

ABSTRACT

The capacity of particle loaded membrane adsorbers can be enlarged by increasing the particle load or by embedding smaller sized particles at equal loading. In this chapter we proved that both approaches are applicable for non-porous ion exchange particles. First we ground CNP80 type cation exchange resins, fractionated them by air classification in different size classes and then determined the protein adsorption capacity of each size class. The results show that the increase in capacity scales with the increase in the outer surface of the particles. By this method we could gain more than 2 orders of magnitude increase in lysozyme (LZ) adsorption capacity. The protein adsorption capacity can also be increased by increasing the amount of embedded particles. By varying the embedded resin load from 10 to 85 w/w% the protein uptake was proportional to the resin load. Both routes showed a protein adsorption capacity that scales with the outer surface of the embedded particles. This proves that the embedded particles are in all cases completely accessible for the protein molecules. Confocal Laser Scanning Microscopy (CLSM) also verified this fact using fluorescent-labeled LZ. Further this technique proves that the adsorption capacity of porous SP Sepharose HP beads is not determined by the outer surface of the beads but by the activated intra-particle surface area. This means that embedding of smaller sized Sepharose beads does not benefit on the LZ adsorption capacity. The gain for such particles is the improved adsorption kinetics by the decrease in mass flow resistance caused by a shorter diffusional path length.

INTRODUCTION

Membrane chromatography is a promising technique for the isolation, the purification and the recovery of biomolecules. When compared with traditional bead chromatography, the process is much faster, easier to process and more robust. Beside this it is also easier in set-up and to scale-up [1-3]. High flow rates can be obtained by applying membrane structures with relatively big pores, which unfortunately have a low accompanying BET area, the area available for surface activation. The consequence is that the capacity of the membrane adsorbers is relatively low, especially when compared to packed bed systems.

Big beads are normally applied in traditional packed bed bioseparation processes with large target components like plasmids, viruses or molecular antibodies in order to improve robustness, and to decrease the sensitivity to bed plugging. The disadvantage is that these large target components hardly penetrate into the interior of the big beads leading to low dynamic adsorption capacities [4]. To overcome the slow diffusion controlled steps in bead columns and to acquire a high adsorption capacity, particle loaded membrane adsorbers containing small sized particles are preferred. In this chapter we focus on the enlargement of the capacity of particle loaded membrane chromatographic modules. For this we firstly grind particles and fractionate them into different size classes and secondly we vary the particle load in the membrane structure to study the effect of the particle size and the particle load on the protein adsorption capacity. Confocal Scanning Laser Microscopy is used to visualize the transport and the accessibility of the active sites located in the interior of the porous matrix.

BACKGROUND

The isolation of biomolecules from fermentation broths or out of biological streams like blood and plant material contains three main steps: capturing, purification and polishing. To achieve sufficient capacity in the capturing step for very large molecules like plasmids, viruses, molecular antibodies and large proteins (that hardly penetrate into the interior of the chromatographic beads) new adsorptive media that contain sufficient accessible active surface area are necessary. Therefore small beads or beads containing very big pores should be applied. The application of small particles is especially useful for the separation of large molecules since the interior of the packing hardly contributes to the adsorption capacity.

However the packing of small sized particles in chromatographic columns is rather complicated when compared to big beads. Packed bed columns containing small particles are very sensitive to fouling and plugging and have a very high flow resistance. Besides this the pressure drop over a column strongly increases with the reduction of the particle size, as can be deduced from the Ergun equation, which is applicable for incompressible media [5]. Moreover an increased pressure drop over the column imposes mechanical force, which can deform the support, or even damage it irreversibly. Deformation of the support leads to a decrease in inter-particle voids and, consequently, to a larger pressure drop per unit of column length [6].

By applying big soft “gel type” beads, such as the popular GE-Healthcare Sepharose beads, bed compression occurs already at moderate flow rates followed by an exponential increase in the pressure drop across the column. Stickel [7] reported for packed bed media a critical velocity as being the highest available steady state flow velocity. For a column with a bed height of 15 cm packed with 90 μm Sepharose 4FF beads (base matrix for Protein A) the critical velocity is even below 200 cm/h. Above this value the pressure drop over the packed bed increases exponentially. This means that in the capturing step the flow should be moderate since bed compression affects the accessibility of the adsorbate to the immobilized ligand [8, 9]. To improve the stability of the packing materials the beads can be crosslinked. An unfavorable side effect of crosslinking is the introduction of hydrophobic groups, which are associated with a higher contribution of unspecific protein binding.

The packing of spherical particles in chromatographic columns is easier and results in lower backpressures and less channeling compared to non-spherical media. However spherical monodispersed particles are more difficult to produce and are therefore more costly. Big non-spherical particles can be used for capturing of large components since by big particles the pressure drop due to deviation of the spherical shape is negligible [4].

Media for protein separation are characterized by particles size and shape, pore size and porosity. Figure 3.1 gives a representation of available chromatographic media. As already mentioned three types of particles are nowadays widely used in chromatographic processes: solid core, porous and non-porous. In this chapter we do not consider the solid core particles but we focus mainly on the influence of the size of the porous and non-porous particles on the adsorption capacity. A particle is considered non-porous when its inner pores are not accessible for the target compounds. We assume in our calculations that the particles are spherical. The outer surface of a sphere scales with the volume, hence

reducing the particle radius by a factor of 10 causes the outer surface over volume to increase by a factor 10. For porous particles, completely accessible for the target molecules, the surface area available for adsorption per volume of particles remains constant after size reduction. In other words, the capacity of the particles does not increase by size reduction but scales only with the total volume of the particles. (However this does not mean that there are no benefits in the use of small porous chromatographic beads. Since the diffusive path length reduces with the particle diameter, the adsorption kinetics of the small sized particles is faster.)

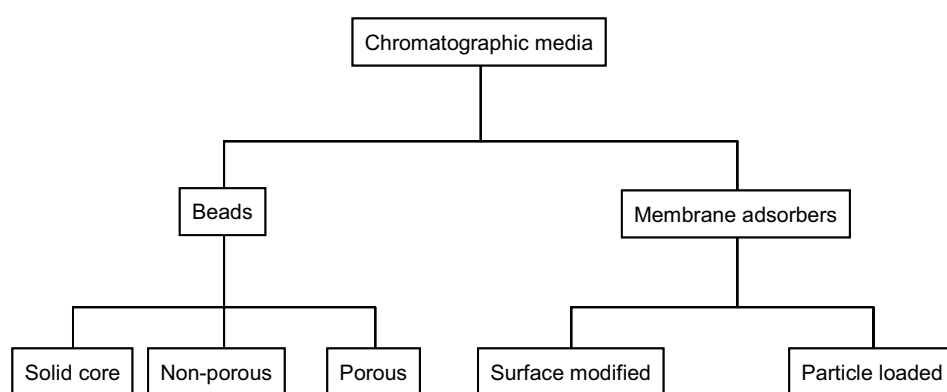


Figure 3.1 Overview of chromatographic media.

For the isolation of large molecules two restrictions should to overcome. Firstly, large molecules posses low diffusion coefficients and slow binding kinetics. It is therefore very advantageous to use convective media for their separation. Secondly, the large molecules need large pores and a very good interconnectivity to penetrate into the interior of the bead structure. It is generally assumed that the beads' pore size should be at least 10 times the kinetic diameter of the target molecule to obtain free transport [4]. The application of monoliths and particle-loaded membranes overcomes these limitations. In contrast to conventional media a monolith is a continuous porous block that is in situ polymerized directly in the chromatographic column, with a convectively controlled flow regime of the mobile phase. The large average pore diameter of the methacrylate monolithic columns makes them very suitable for protein chromatography mainly due to a good mass transfer [10, 11].

In the 1990s Markell et al. [3] and Hagen et al. [12] introduced particle loaden membrane disks for analytical solid phase extractions. These disks contain chromatographic particles

that are enmeshed in a network of PTFE fibrils. The sorptive properties of the membranes are determined by the characteristics of the particulate chosen. The particle loaded membranes can be prepared in different geometries. Several types of membrane adsorbers configurations such as flat-sheet, hollow fiber, spiral wound, and polymer rods have been reported [1, 2, 13-19].

The advantage of the particle loaded membrane adsorbers for protein applications is the combination of both a high flow rate and a high capacity. This originates from the fact that macroporous membranes, when compared to chromatographic beads, have a very low flow resistance. The big pores in the membrane structure ensure convective transport of the target molecules to the active binding sites located on the surface of the embedded particles. Meanwhile, the use of small particles creates a high adsorption/affinity area per unit of column volume. Avramescu et al. [13] suggest also that there is a relationship between particle size and the protein adsorption capacity. For verification, they milled IEX particles, fractionate them by sieving in two different size classes and measured the BSA adsorption capacity. They found an increase in protein adsorption capacity by a decrease in particle size. Since they did not measure the particle size distribution of the individual fractions they were not able to match the particle outer surface with the protein adsorption capacity. We intent to correlate the particle outer surface area of different size classes, measured by light scattering, with the protein adsorption capacity.

The kinetics of protein adsorption to porous media may be limited by a number of steps. It is widely accepted, however, that the transport to the active sites in the porous bead or in the membrane matrix is the most important factor, which has to be characterized with particular care. This is generally be done by finite batch adsorption experiments, where the amount of protein adsorbed is calculated indirectly out of protein depletion from the batch solution. Linder [20] developed a method based on confocal laser scanning microscopy (CLSM), which is able to visualize proteins within the 3D-porous structure of optical transparent particles, such as the Sepharose type beads. In this chapter we focus on visualizing adsorption in particle loaded membranes containing non-porous IEX particles as well as porous gel-type particles.

For the non-porous IEX particles, we will proof the suggestions of Avramescu et al. [13] that the adsorption capacity can be maximized by using smaller particles and by increasing the particle load. For porous gel-type particles we show that the concept of size reduction is not helpful for small molecules such as lysozyme because adsorption takes place throughout the whole particle. Only for very large natural macromolecules such as

monoclonal antibodies, immunoglobulins and viruses the size reduction becomes interesting because possible penetration into the bead core is strongly limited by slow diffusional processes.

This chapter presents two routes to increase the adsorption capacity of membrane chromatography systems. (a) By milling the chromatographic particles to obtain a bigger outer surface per volume of particles. (b) By increasing the particle load in the macroporous membrane structure. The accessibility of the embedded particles as well as that of the active sites located in the pores of “gel type” embedded particles is visualized by confocal laser microscopy using fluorescently labeled lysozyme.

EXPERIMENTAL

Materials

Polyethersulphone (PES, Ultrason E6020P) kindly supplied by BASF-Nederland was used as matrix forming polymer. PES is a slightly hydrophilic engineering plastic with a molecular weight of 50 kDa commonly used for membrane production. Polyvinylpyrrolidone (PVP, Fluka) with different molecular weights (K15, K30 and K90) and PEG400 (Merck) were used as polymeric additive. N-methylpyrrolidone (NMP, 99% purity Acros Organics) was used as solvent. Two types of ion exchange particles of different characteristics (Table 1) were used as filling material. Lewatit CNP80WS, a weak acidic, macroporous, acrylic-based cationic exchange resin (Figure 3.2), was kindly supplied by Caldic, Belgium. The particles were milled and air classified to obtain different size classes.

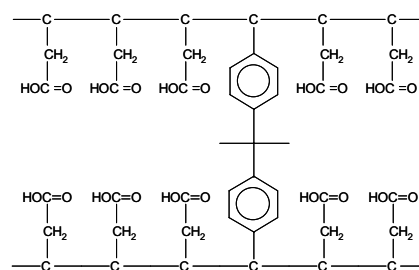


Figure 3.2 Chemical structure of
Lewatit CNP80WS.

SP Sepharose High Performance, a weak acidic Sulfopropyl (SP) functionalized “gel type” beads with an average particles size of 34 μm , was obtained from GE-Healthcare. Lysozyme, (LZ, Sigma) and Bovine Serum Albumin, (BSA, Sigma) were used as test proteins. All the buffers were freshly prepared in ultra pure water using a Millipore Milli-Q-plus purification unit. As fluorescence labels Fluorescein isothiocyanate (FITC molecular

weight 389 Dalton) and Rhodamine123 (molecular weight 381 Dalton) both from Sigma were used.

Table 3.1 Characteristics of functional particles.

Particle type		CNP80	SP Sepharose	units
Core material		polyacrylate	agarose	
Active group		-COO ⁻	-CH ₂ -SO ₃ ⁻	
Density		1.19	1.2	g/ml
Water retention		48	400 - 600	%
IEX capacity		4.3	0.14 – 0.20	eq/l
Particle size	supplier data	530	34	µm

Methods

Static adsorption capacity

Protein depletion experiments were carried out to determine the influence of particle size and particle load on the protein adsorption capacity. A known amount of free particles or particle loaded membranes was exposed to a calibrated volume containing 1 - 2 mg protein/l. The protein depletion was determined in time by measuring the absorbance at 280 nm with a Varian Cary 300 spectrophotometer. The amount of adsorbed protein (mg protein/g fiber) could be calculated from the particle - protein mass balance. All the adsorption tests were carried out at 20 °C in a shaking machine with a frequency of 1.66 Hz.

The adsorptive buffer used for the BSA experiments was a 50 mM acetate buffer at pH 4.5. After rinsing the membranes with an adsorptive buffer without protein, desorption was carried out using a Tris buffer at pH 9. In the LZ experiments a 50 mM phosphate buffer at pH 7.4 ionic strength 154 mM adjusted with NaCl (physiological conditions) was used unless mentioned otherwise.

Labeling lysozyme for confocal microscopy

Both FITC and Rhodamine123 were used as fluorescence label for CLSM. In the case of FITC labeling 600 µg FITC was dissolved in 1 ml 0.05M carbonate buffer pH 9.5 and added drop by drop to a 1 g/l LZ solution. The solution was maintained at a temperature below 20 °C for two hours in order to chemically bind the FITC to the NH₂ groups of the

lysozyme. Pieces of membrane fiber were submersed in the labeled LZ solution for varying duration times and subsequently analyzed by confocal microscopy.

For labeling with Rhodamine123, a cationic fluorochromo dye samples of CNP80 containing membranes were immersed in a rhodamine solution for 1 hour to bind the rhodamine to the carboxylic groups.

Confocal laser scanning microscopy (CLSM)

For the visualization the LZ penetration depth in time, static experiments were carried out with fluorescent labeled protein. At certain time intervals the fibers were taken out of the FITC-labeled lysozyme solutions rinsed with water and dried with tissue paper. Then the fibers were fractured and the penetration depth of the lysozyme was measured using a confocal laser scanning microscope (Zeiss LSM510).

Scanning Electron Microscopy (SEM)

Cross sectional samples for characterization by scanning microscopy were prepared by cryogenic breaking of fresh wet fibers in liquid nitrogen. The samples were allowed to dry overnight under vacuum at room temperature and then coated with a thin platinum layer using a JEOL JFC-1300 auto fine coater. The cross sectional morphology was visualized by use of a Scanning Electron Microscope (JEOL JSM 5600LV).

Fiber preparation

All fibers were prepared by a wet phase inversion process (Figure 3.3). After the dissolution of 110 g PES in 300 g NMP at 100 °C the solution was cooled down to 50 °C and a slurry containing 110 g Lewatit resins and 300 gram PEG 400 were dispersed in the polymer solution. Afterwards the dope was cooled down to room temperature and 75 g PVP dissolved in 105 gram NMP was added. The mixture was stirred for 16 hours with a low speed to break down possible clusters of particles. When the solution was homogeneous the dope was transferred to the storage tank for degassing overnight. Spinning took place by extrusion of the polymer solution through a small spinning head into a water coagulation bath.

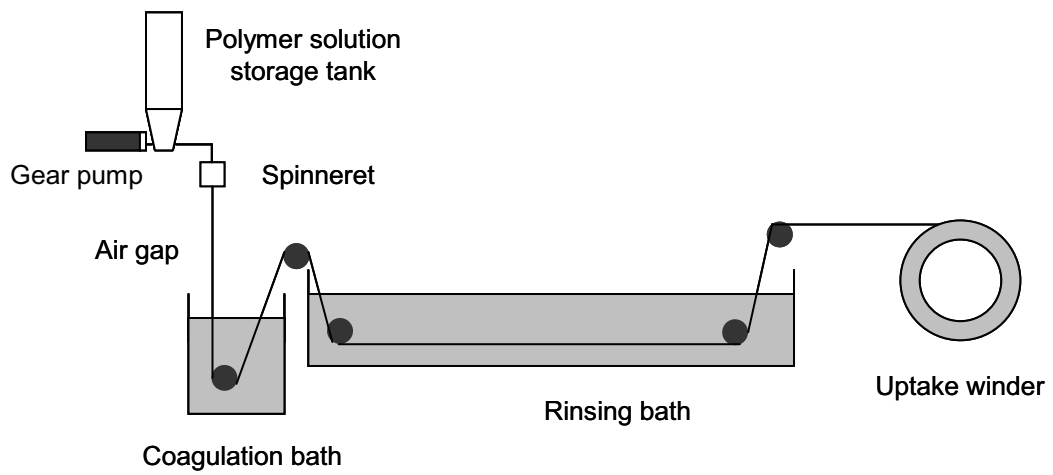


Figure 3.3 Schematic representation of a wet phase inversion fiber spinning process.

RESULTS & DISCUSSION

Static adsorption capacity

Influence of particle size

In the following section we study the influence of variably sized particles on the protein adsorption capacity. The particle fractions are obtained by milling and fractionating big Lewatit CNP80WS particles (Figure 3.4).

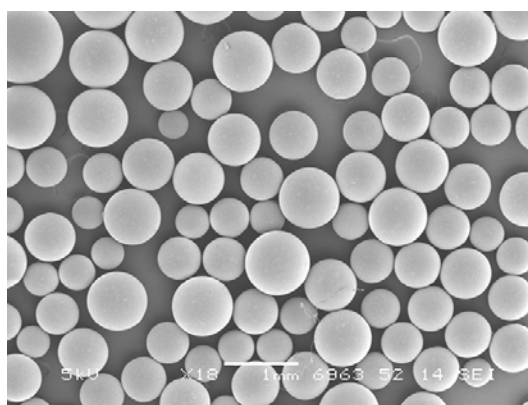


Figure 3.4 SEM micrograph of the Lewatit CNP80 WS particles, with an effective size of 530 μm (according the supplier).

These weak acid acrylate based particles are, according to the supplier, not wet - dry stable, which means that the originally present porous structure collapse during drying making the particles inaccessible for lysozyme molecules. According to the data sheet of the producer the CNP80 resins are spherical with a diameter ranging from 400 to 1200 μm and an effective diameter of about 530 μm (Figure 3.4). By image analysis we found slightly higher values for the particle size and the particles size distribution (Table 3.2). Different particle size classes of the supplied particles were obtained by air jet milling followed by air classification. When the average particle diameter diminishes from 675 to 7.4 micron, an increase in capacity proportional with the increase in external surface area is expected. Figure 3.5 shows the particle size distribution of the particles with an average particle size of 7.37 μm . It is clear that this size distribution curve is far from symmetric. Since the particle fractions have different dispersities $[(X_{90} - X_{10}) / X_{50}]$ the external particle surface (m^2/g) cannot be deduced from the average particle diameter.

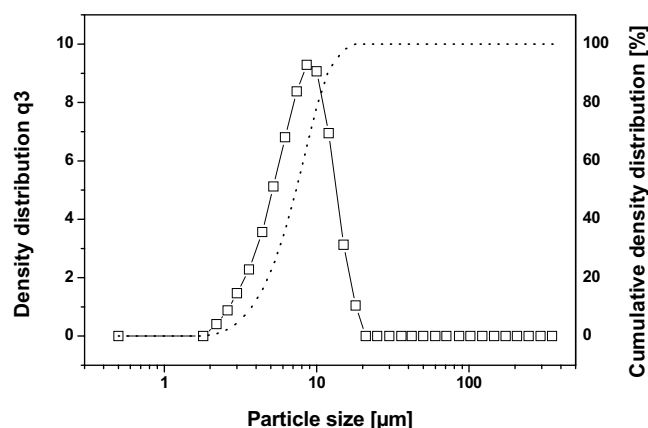


Figure 3.5 The particle size distribution after milling and fractionating of Lewatit CNP80 particles. The obtained average particle size is $7.37 \mu\text{m}$, the external surface area $0.79 \text{ m}^2/\text{g}$.

The external particles areas, as listed in Table 3.2 are therefore calculated based on the particle size distribution data as determined with light scattering, assuming that all the particles are spherical. The LZ adsorption capacity of separate size classes of particles is strongly related to the external particle surface area. Figure 3.6 shows the LZ adsorption capacity plotted as a function of the particle external surface area. The slope of this plot should equals “1” because of the proportionality between the external surface area and the particle volume per unit of volume.

Table 3.2 Particles size distribution of the different CNP80 fractions.

fraction	X_{10} (μm)	X_{50} (μm)	X_{90} (μm)	Dispersity (-)	m^2/g
unmilled	515	675	875	0.53	0.01
I	69.8	104.1	163.5	0.90	0.09
II	3.80	7.37	11.84	1.09	0.79
III	0.55	1.48	3.59	2.05	4.52

The deviation for the ultra fine particles can be attributed to the fact that some of the larger pores are not completely collapsed and some voids are created. Figure 3.7 shows a field emission electron microscope image from the fractured surface of a milled particle. The micrograph makes clear that the fractured surface is not smooth and some voids remaining from collapsed pores are increasing the external surface area of the particles. It is likely that these voids act as nucleus in the particle fracturing process. This means that the smallest

particles in the ultra fine fraction possess relatively less voids, which results in a slightly lower surface area and thus in a somewhat lower protein adsorption capacity. The increase in protein adsorption capacity is in good agreement with the increase in external surface area, taken into account that the functionality of the particle may be not completely homogeneously distributed throughout the particle.

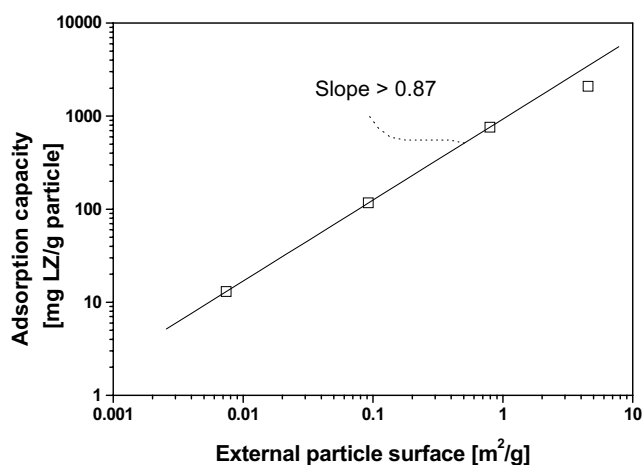


Figure 3.6 LZ adsorption capacities of different size classes. Starting solution 3.5 mg LZ/ml in 10 mM phosphate buffer.

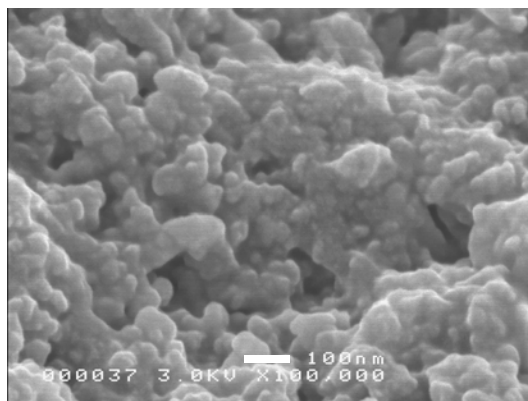


Figure 3.7 Field emission electron micrograph of a milled CNP80 particle. Magnification X100,000; the size bar indicates 100 nm. Clearly visible are the voids originating from collapsed big pores.

Influence of particle loading

Another route to improve the adsorption capacity of mixed matrix membrane adsorbers is increasing the particle load. Figure 3.8 shows that the BSA adsorption capacity for the prepared adsorptive membranes scales with the particle load. The intercept is amounted to 3.30 mg BSA/g fiber, indicating that the matrix polymer shows a little background sorption. By correcting the adsorption data for this non-specific protein adsorption of the matrix, the BSA adsorption capacity over the whole range 10 – 85 wt% amounts 111 ± 2 mg BSA/g resin. This proves that the embedded resins are in all cases completely accessible for the BSA molecules.

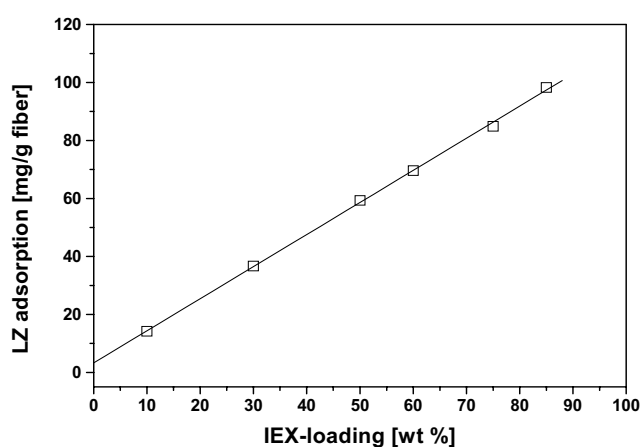


Figure 3.8 BSA adsorption capacities of membrane adsorbers with different CNP80 loadings. The adsorption data are calculated from the 24h desorption measurement.

The maximal allowable particle load depends on the polymer solution “tear stress” needed for fiber spinning, the mechanical strength required for module assembling and the robustness of the fiber in the handling process steps. The adsorptive fibers containing 50 - 65 wt % particle loading have normally sufficient mechanical strength to be used in module assembling.

Confocal Laser Scanning Microscopy

Confocal microscopy offers the possibility to study the presence and the local distribution of fluorescent labeled components [21]. In the present work we make use of this ability by rhodamine, a cationic fluorescence label, adsorption onto carboxylic functionalized CNP80 particles that are entrapped in a PES based macroporous structure. Since the focus depth

of confocal laser microscopy is limited and it elucidates only the fluorescence labeled areas, SEM is used to visualize the entire morphology (Figure 3.9). Nevertheless, the agreement between the pictures is apparent. Although the rhodamine based CSLM suggest that all particles are well accessible, it is still important to know whether the particles are also accessible for protein adsorption after embedding.

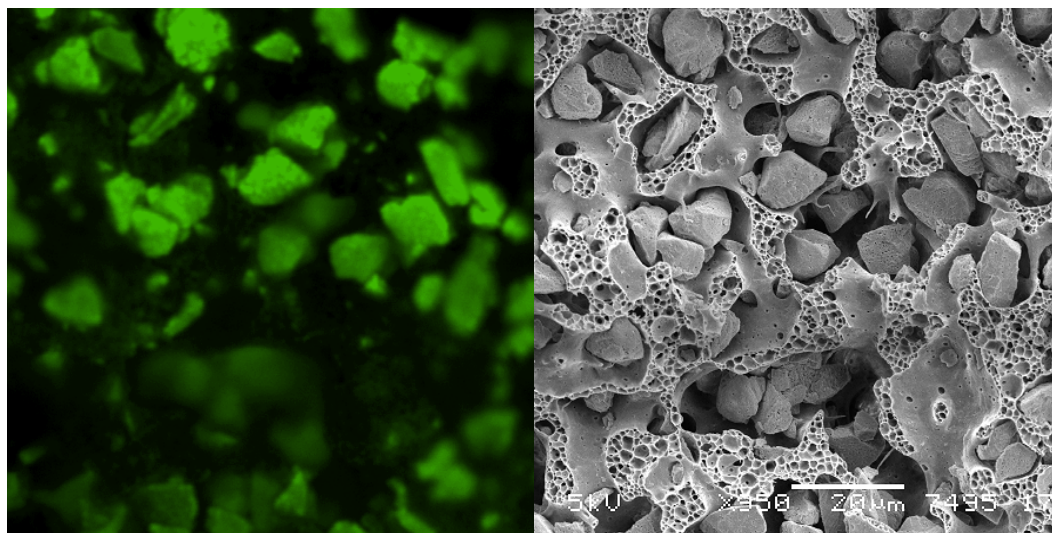


Figure 3.9 Left a CLSM image of CNP80 particles embedded in a polysulphone matrix. The micrograph shows rhodamine to be bound selectively to the embedded particles. Right the accompanying SEM image to visualize the morphology of the macroporous structure.

To measure the static adsorption capacity of LZ for the CNP80 containing fibers, the fibers were immersed up to 24 h into a LZ solution (1mg/ml), pH 7.4 at room temperature. At different times some fibers were removed from the solution and examined with CSLM. The amount of protein adsorbed into the particle loaded membranes is presented in Figure 3.10 as a function of adsorption time. It can be observed that the LZ adsorbed into the fibers reached values of 35 mg/ml membrane within 24 h. The green area, which corresponds to adsorbed LZ in the fiber, is in rather good agreement with the fractional adsorption of the adsorption isotherm. Further we can conclude from these micrographs that all embedded particles, also the centrally located particles, are accessible for LZ molecules.

Figure 3.10 may suggest that the penetration of LZ into the fiber is very heterogeneous. However the fact that the profile is not progressing concentrically has to do with the limited focus depth (below 1 micron) of confocal microscopy. A variation in the fractured

surfaces goes along with height differences causing that the adsorbed LZ above and under the focus point is not visible. However, in the end at about 1400 minutes the particle structure cannot be resolved anymore due to the intense luminescence of high adsorption values.

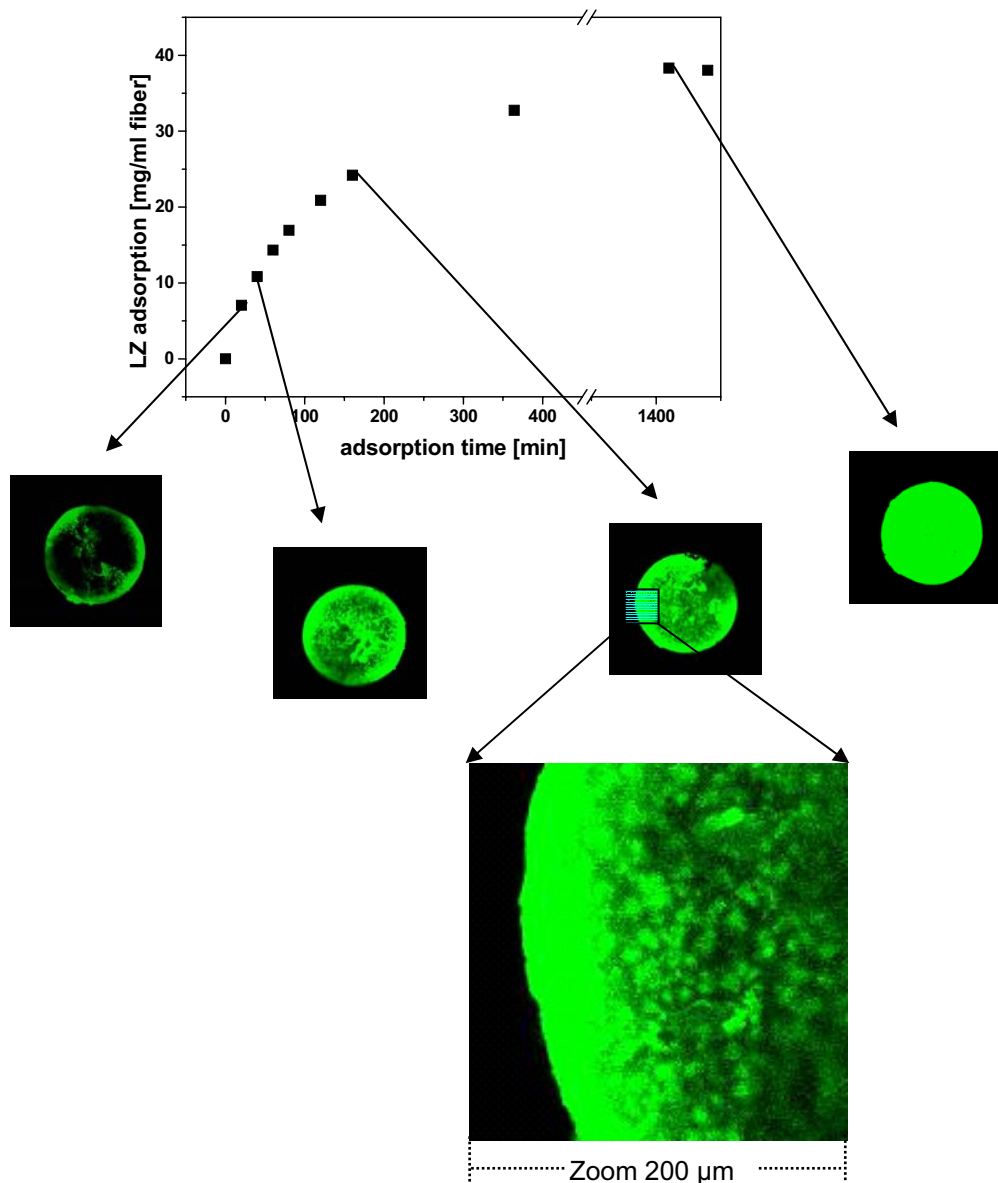


Figure 3.10 LZ adsorption isotherm and CLSM images to visualize the LZ penetration in CNP80 containing fibers. The fiber diameter is $550\ \mu\text{m}$. All micrographs are made with the same pinhole and intensity. The zoom $200\ \mu\text{m}$ shows individual resins occupied by labeled LZ molecules.

Another reason that the concentration profile is not concentrically has to do with the start-up of the experiment. The adsorption experiment starts with the immersion of a dry

fiber into the LZ solution. The dry fiber soaks its own volume with LZ solution, when the fiber has some heterogeneity in porosity the big pores, with the lowest flow resistance serve as supply channels. The adsorbing sides located at these channels are initially binding small quantities of LZ. In the zoomed picture one observes a completely fluorescent outside whereas the inside shows a grainy fluorescent pattern. The high adsorption values of labeled LZ at the outside causes very intense fluorescence to the extent that the single adsorption particles cannot be distinguished. Only at lower concentrations deeper inside the fiber the fluorescent patterns becomes grainy (see zoom 200 μm), representing the adsorption of LZ on the particles. This data are in agreement with experiments carried out by Hubbuch [21], who followed the adsorption of fluorescent labeled BSA in SP Sepharose FF beads by CLSM. He also found, on single beads level, a good agreement between the adsorption isotherm and the penetration depth of the proteins.

Next to the non-porous beads, based on milled IEX-particles, we also prepared particle loaded membranes based on porous gel-type particles, which allow bulk adsorption into the particles. Since such beads are optically transparent it is possible to study the interior of individual beads without bead fracturing.

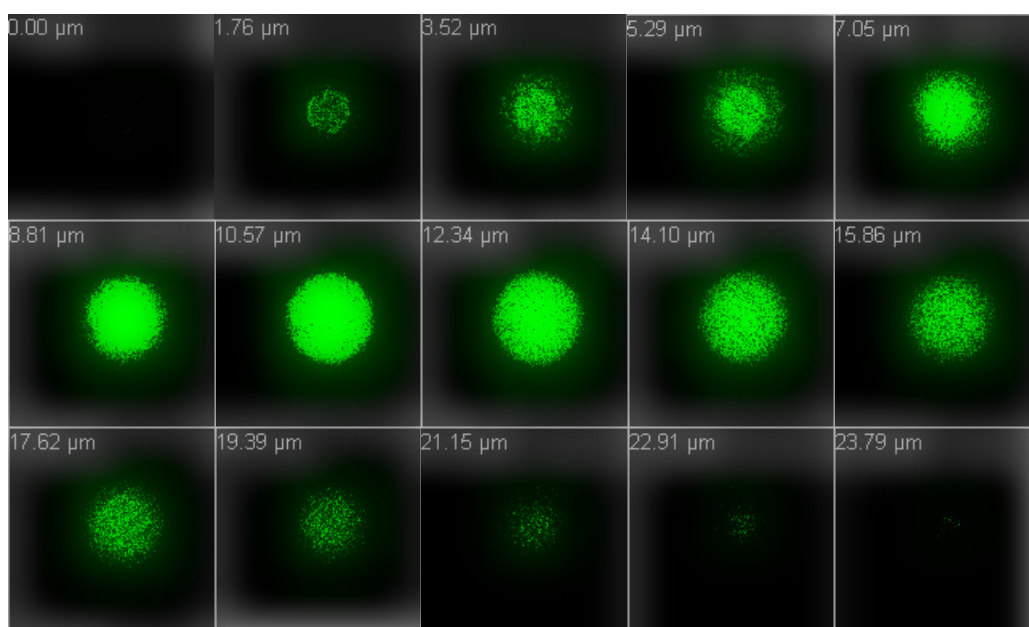


Figure 3.11 Confocal scanning microscopy height images, Z-stack, of a SP Sepharose bead after LZ adsorption. Especially from the 10.57 μm depth image can be deduced that the LZ penetrates to the centre of the bead. Scan areas $50 \times 50 \mu\text{m}^2$

Figure 3.11 represents the height images (Z-stack) of a SP Sepharose bead that was submerged in a FITC labeled LZ solution for 16 hours. From the luminescent cross-sections we can deduce that the entire interior of the beads is accessible for LZ molecules. Figure 3.12 shows a cross-section of a polyethersulphone-based fiber with entrapped SP Sepharose beads both in confocal and SEM mode. The SEM micrograph shows clearly that some beads are fractured during the sample preparation. The CLSM image suggests that LZ occupies the surface of the beads whereas the interior does not contain yet any LZ. However, this is an interpretation based on the low depth resolution. The fractured bead located in the centre of the image is a proof that both the LZ intrusion into the fiber as well as the protein penetration in the Sepharose bead can be visualized by CLSM. It also proves that, PES, the matrix material does not show significant background /non-selective adsorption, which is in agreement with the influence of particle loading experiments.

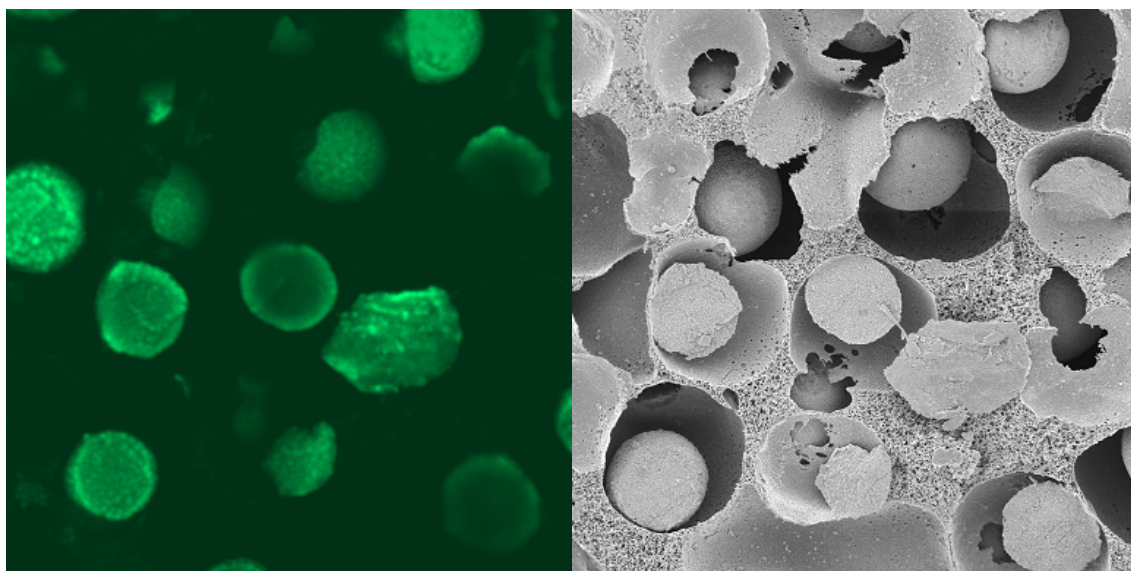


Figure 3.12 Left a CLSM-image of the SP Sepharose beads embedded in a polyethersulphone matrix. The picture illustrates that, rhodamine, the staining component, has reached the outer surface of the particles. A high fluorescence intensity at the outer layer of a fractured particle indicates that the adsorption capacity in the interior of the particles is not yet completely utilized. Scan area $108 \times 108 \mu\text{m}^2$.

The ultimate support design results from a compromise between kinetics and capacity. The use of non-porous supports leads to rapid kinetics. However, surface area to volume considerations dictate small particle diameters if reasonable capacity is to be achieved. Within packed bed systems this results in high pressure drops, which are usually unsuitable in large scale processes. However, in particle loaded membrane systems not the particles

but the membrane structure determines the flow resistance and thus the pressure drop over the module. Porous agarose based “gel type” beads offer much higher capacities, but at the expense of slower binding kinetics. In addition the open structures of many porous materials further limits their mechanical stability applying additional constraints on the pressure drop achievable in packed bed operation. By embedding small “gel type” beads in porous convective controlled membranes one can benefit from the reduced diffusion resistance of the small sized beads and make use of the excellent hydrodynamic performance of the membranes.

CONCLUSIONS

This chapter demonstrates that there are two routes to improve the protein adsorption capacity of particle loaded membranes (a) grinding of non-porous particles to increase the external surface area and (b) increasing the particle load in the membrane adsorber. We proved that the protein adsorption capacity scales with the external surface over volume ratio, which is inverse proportional with the particle diameter. By particle size reduction we were able to increase the lysozyme adsorption capacity with more than 2 orders of magnitude.

By increasing the CNP80 particle load up to 85 wt%, the BSA adsorption capacity increases from 3.3 to 95 mg/gram membrane. When expressed in mg BSA per unit of CNP80 weight, the adsorption capacity stays constant at about 111 mg/g particle. It is important to mention that after grinding and embedding the particles maintain their functionality and accessibility for the target compounds. This is also proven by confocal laser microscopy measurements, a powerful technique for visualizing the accessibility of the particles inside the matrix. Unfortunately, the CNP80 resins are not optical transparent therefore only the adsorbed lysozyme at the external surface area can be visualized. By using the optical transparent Sepharose beads also the interior of the beads can be monitored. A disadvantage of the CLSM is the limited focus depth, causing problems for studying cross sections with some height differences. Electron Microscopy, which has an far larger focus depth, is a good additional technique to elucidate the three dimensional morphology of the cross samples.

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MODULE DESIGN

ABSTRACT

In this chapter we report a new type of particle loaded membrane adsorber. The adsorber module is prepared by coiling of adsorptive fibers. To characterize the material and to predict the module performance single fibers are tested in incubation experiments for protein accessibility and mass flux. Layout spacing and the winding tension are useful parameter to tailor the module for stabilization, polishing or pharmaceutical applications. Decreasing the distance between two adjacent fibers during the coiling process affects both the flow resistance and the dynamic adsorption capacity of the module. A higher flow resistance creates a higher convective flow through the fibers resulting in faster adsorption processes. By exerting a high winding tension fiber deformation takes place resulting in modules with a high packing density. The feed flow is now to a greater extent forced through the fibers thereby reducing the diffusive distance to the active sites located in the interior of the fiber, which results in steeper breakthrough curves and a better ligand utilization.

INTRODUCTION

Chromatography is undoubtedly the workhorse of downstream processes, affording high resolution for bioseparations. At the same time, it has the notoriety of being the single largest cost center in downstream processing and of being a low-throughput operation. Consequently, ‘chromatographic alternatives’ are an attractive proposition, even if only a reduction in the extent of use of packed beds can be realized [1].

This motive elucidates why a lot of research is focused on chromatographic systems that are highly convective controlled, operate at low pressure, have a low fouling and plugging sensitivity, maintain a constant bed quality and possess a high dynamic capacity.

In the separation and purification steps by the production of biologics, the manufacturers are facing two critical parameters: adsorption capacity and flow uniformity. The main disadvantages of chromatographic columns, especially when using gel type resins, are non-uniformity of flow, bed compression and slow diffusion rates. Depending on how a column is packed, flow paths and therefore flow rates can vary in different parts of a column, resulting in different adsorption and desorption rates. In a “worst case” scenario one part of a column may be saturated with the target molecule, while other parts of the column may still have free binding sites, resulting in product binding and breakthrough occurring simultaneously, destroying the resolving power of the column [2].

Monoliths

Because of a significantly reduced mass transfer resistance monoliths can be operated at much higher mobile phase flow rates than packed bed systems with minimal loss in resolution. Scaling-up of monoliths is hampered by the difficulty in preparing high volume monoliths with uniform pore structure, owing to the exothermic nature of the polymerization reaction. This problem was circumvented by the preparation of tubular monoliths. Till now the biggest available modules are 800 ml [1, 3]. The 1 to 5 μm big pores of the monoliths have the advantage of a low flow resistance however these big pores are accompanied by a low active surface area and thus a low adsorption capacity.

Expanded bed adsorption

In an expanded bed, a particulate adsorbent in a column is allowed to rise from its settled state by applying an upward flow. This increases space between the adsorbent particle,

allowing cells and cell debris to flow through without blocking the bed. Usually the size of the adsorbents for expanded bed range from 50-400 μm . Smaller beads give overexpansion even at low flow rates while bigger beads require very high flow velocities to sufficiently expand the bed. In both situations the productivity of the bed is low due to low flow rates or due to restricted diffusion into the beads. Another serious problem is back-mixing, which decreases the efficiency of the adsorption process. Till now expanded beds were not able to meet the requirements of the biopharmaceutical industry in terms of productivity and hygiene [4].

Membrane chromatography

Membrane chromatography with chemically functionalized microfiltration membranes has shown to be a very attractive alternative for packed bed systems containing large particles. The dynamic capacities are at least one order of magnitude higher than that of traditional packed bed chromatography. For small target molecules this advantage is however less pronounced. The benefits of adsorptive membranes are the absence of long diffusion times that often occur in packed bed chromatography. A second feature of a typical membrane bed is the large cross sectional area relative to the bed length, which allows high velocities and large volumetric capacities. A large diameter to length ratio, however, introduces the challenge of achieving uniform flow distribution across the membrane. Flow maldistribution can reduce the membrane efficiency to the level of packed bed [5].

Particle loaded membrane chromatography

The advantage of the particle loaded membrane (PLM) adsorbers over packed bed systems and chemically functionalized membrane adsorbers for protein applications is the combination of both a high flow rate and a high capacity. This originates from the fact that macroporous membranes, when compared to chromatographic beads, have a very low flow resistance. The big pores in the membrane structure ensure convective transport of the target molecules to the active binding sites located on the surface of the embedded particles. Meanwhile, the use of small particles creates a high adsorption/affinity area per unit of column volume. The embedding of particles in a macroporous matrix makes the column insensitive for possible particle deformation [6].

In this chapter the process performance of coiled PLM-fibers (Figure 4.1) is investigated. A coiled fiber module is prepared by coiling of a particle loaded fiber around a core. When

the thickness of the coiled layer is sufficient the core with the coiled fiber is inserted into an outer shell and potted to fix the fiber pack and to fill-up the dead volumes. The flow performance of a coiled fiber module can be tailored by adjusting the layout spacing (distance between two adjacent coils) and the winding tension (the force exerted on the fiber during the coiling process).

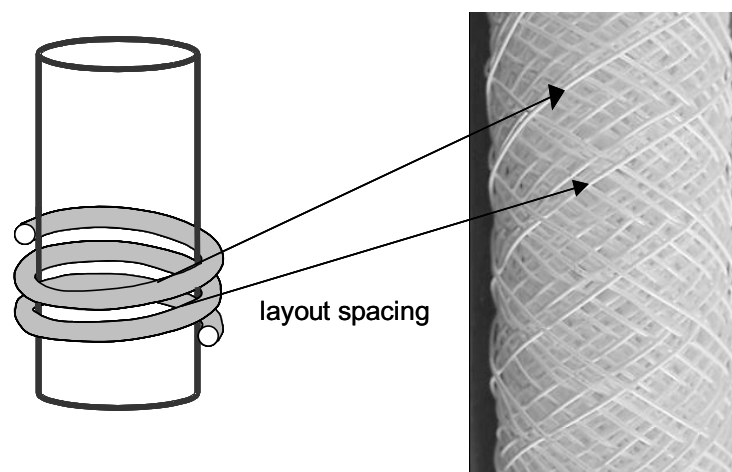


Figure 4.1 Schematic drawing and a photo of a very open winding to visualize the winding distance between two adjacent windings, the so called “pitch”.

The coiled fiber modules can be scaled easily by changing the fiber package diameter or the module length. A disadvantage of the coiled fiber module is the challenge to balance the convective flow around and through the fibers. It is highly desirable to be able to predict whether the adsorption fiber has adequate adsorption performance, even before winding a coiled fiber adsorber module. We hereby present an algorithm to correlate the adsorption kinetics from an incubation experiment with the dynamic breakthrough performance of a coiled fiber module.

BACKGROUND

Membrane chromatography is a promising technique for the isolation, the purification and the recovery of biomolecules. When compared with traditional bead chromatography, the process is much faster, easier in processing and more robust. Beside this it is also easier to set up and to scale up [7-9]. The advantage of the particle loaded membrane adsorbers for protein applications is the combination of both a high flow rate and a high capacity. This originates from the fact that macroporous membranes, when compared to chromatographic beads, have a very low flow resistance. The big pores in the membrane structure ensure convective transport of the target molecules to the active binding sites located on the surface of the embedded particles. Meanwhile, the uses of small particles create a high adsorption/affinity area per unit of column volume. The embedding in a macroporous matrix makes the particles insensitive for deformation.

Adsorption

Kinetics from incubation

The binding of ligate molecules occurs by adsorption from the liquid phase onto the ligands present on the surface or in the pores of a solid support material. In the case of a protein as the ligate (P) and a vacant immobilized ligand (S), the following reaction scheme applies:



where PS represents the protein-ligand complex. In a second-order rate expression, equation 4.1 can be written as:

$$\frac{\partial c_s}{\partial t} = k_a c(c_l - c_s) - k_d c_s \quad \text{Eq. 4.2}$$

t = time in [s]

c = feed concentration [mg/ml]

c_s = protein-ligand complex [mg/ml]

c_l = ligand capacity [mg/ml]

k_a = forming constant of complex PS [$ml \cdot mg^{-1} \cdot s^{-1}$]

k_d = dissociation constant of complex PS [s^{-1}]

The mass balance over a membrane section can be described by two continuity equations: one for the liquid phase and the other for the solid phase [10, 11].

For the liquid phase

$$\varepsilon \frac{\partial c}{\partial t} + u \frac{\partial c}{\partial x} = D_{ax} \frac{\partial^2 c}{\partial x^2} - (1-\varepsilon) \frac{\partial c_s}{\partial t} \quad \text{Eq. 4.3}$$

unsteady state + convection = axial diffusion - adsorption

In these equations ε is the void fraction, u the linear flow velocity (m/s), a the exchange area and K the adsorption reaction coefficient ($\text{s}^{-1}\text{m}^{-2}$).

The concentration gradients in the radial direction are assumed to be negligible because the time scale for radial diffusion is much less than that for axial convection. For mixed matrix systems using one component and assuming that at initial conditions there is no protein in the membrane, equation 4.3 becomes:

For the liquid phase

$$\varepsilon \frac{\partial c}{\partial t} = aK(c_s - c) \quad \text{Eq. 4.4}$$

For the solid phase

$$(1-\varepsilon) \frac{\partial c_s}{\partial t} = -aK(c_s - c) \quad \text{Eq. 4.5}$$

The factor aK , a lumping parameter including the diffusion and the adsorption kinetics and the protein unfolding and rearrangements during the adsorption process, can be deduced from the kinetics of an incubation experiment where the bulk concentration is plotted as function of time. The initial slope of this kinetic depletion process describes the mass flow (j) in the adsorption process if only diffusion occurs (Eq. 4.6).

$$j = V \cdot a \cdot K \cdot \Delta c \quad \text{Eq.4.6}$$

with:

$j = \text{mass flow (mg/s)}$

$V = \text{reactor volume (m}^3\text{)}$

$a = \text{specific adsorption area (m}^2\text{/ml)}$

$K = \text{adsorption constant (ml/m}^2\text{s)}$

$\Delta c = \text{concentration difference (mg/m}^3\text{)}$

Characterization modes

For the characterization of the coiled fiber modules we used two different operational modes:

- the Heaviside step function, which can be realized by changing the eluent after obtaining a steady state value (e.g. an equilibration step) by another eluent containing a target component (adsorptive buffer). This method is applied for measuring the breakthrough curves.
- The tracer impulse method, in which a small volume of non-binding tracer, often NaCl or acetone is injected at the entrance of a column and the outlet concentration is measured. The resulting peak is used for calculating the plate count and the void volumes of the column.

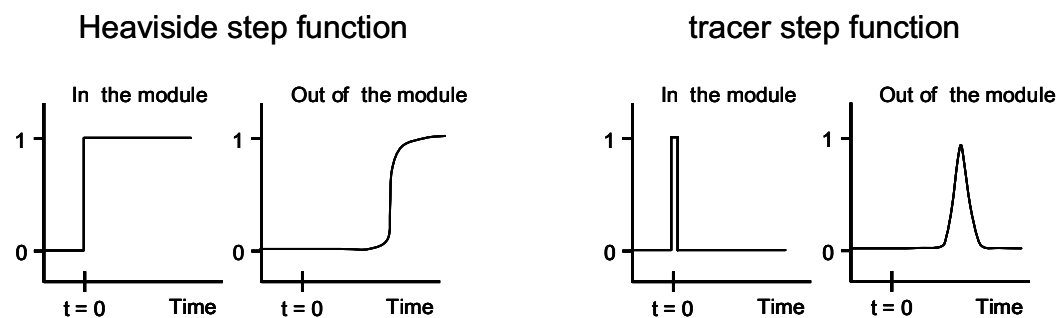


Figure 4.2 Graphic representation of the concentration profiles of the different characterization modes.

Dynamic binding capacity in a module

The dynamic effectiveness of an adsorber can be visualized by measuring the breakthrough curve where the load of the target component is plotted against the eluent concentration (Figure 4.3). After a certain protein load, depending on the physical characteristics of the target molecule, the capacity of the module, the flow rate and the packing of the adsorber,

the chromatographic column becomes partly saturated. The first target molecules break through and the concentration in the eluent starts rising. One can define a value of the dynamic binding capacity of 10% of the feed concentration. The area above the dynamic breakthrough curve (DBC) equals the adsorption capacity of the module. The DBC splits this area into two sections: the operational column volume and unused column capacity. The dynamic binding capacity also determines the amount of product loss, which is the area underneath the breakthrough curve before the DBC of 10%. For evaluation purposes the DBC at 10% is often used.

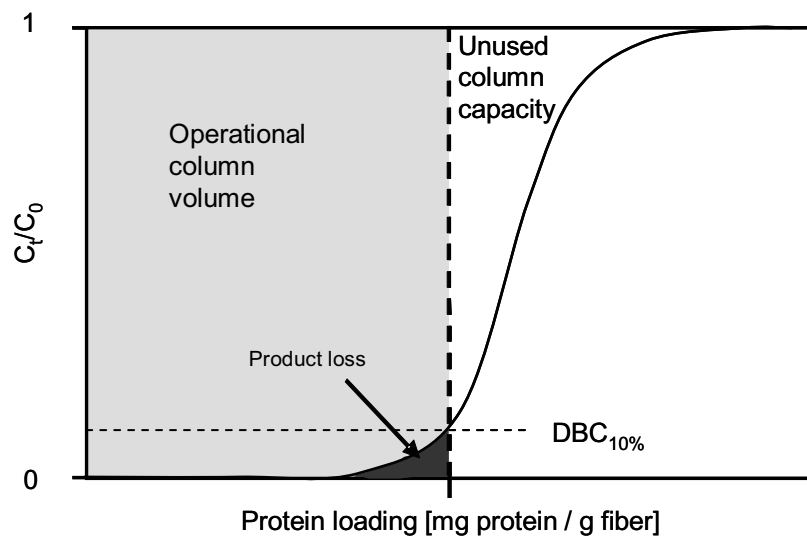


Figure 4.3 Example of a typical breakthrough curve explaining the different areas of operational column volume, unused capacity and product loss.

A broadly disperse breakthrough curve causes a decrease in ligand utilization, delay in saturation time, or loss of product [12]. For an optimal utilization of the column material (often very expensive) and for a minimal product loss a sharp breakthrough curve should be defined.

To estimate already in an very early stage the adsorption performance of a module, we will use the simple concept of the dimensionless adsorption number (R) that describes, within a time frame, the ratio of the mass flow of protein into the fiber in relation to the mass flow into the module (Eq. 4.7).

$$R = \frac{\text{adsorption rate (mg protein/s)}}{\text{protein load module (mg/s)}} = \frac{\dot{m}_{\text{fiber}}}{\dot{m}_{\text{module}}} \quad \text{Eq. 4.7}$$

For a given fiber, the mass flow of protein into the fiber is constant if diffusion is predominant. R can only change by varying the flow into the module. If as a consequence of the increased protein flow rate the $\text{DBC}_{10\%}$ does not change with the increasing protein load, the adsorption rate of the fiber is not limiting the adsorption process in comparison with the mass load of the module (Figure 4.4). When the mass flux into the fiber is limiting the adsorption process, $\text{DBC}_{10\%}$ decreases with an increasing flow rate of the protein load. At high R values the $\text{DBC}_{10\%}$ approaches the values obtained by the incubation experiments.

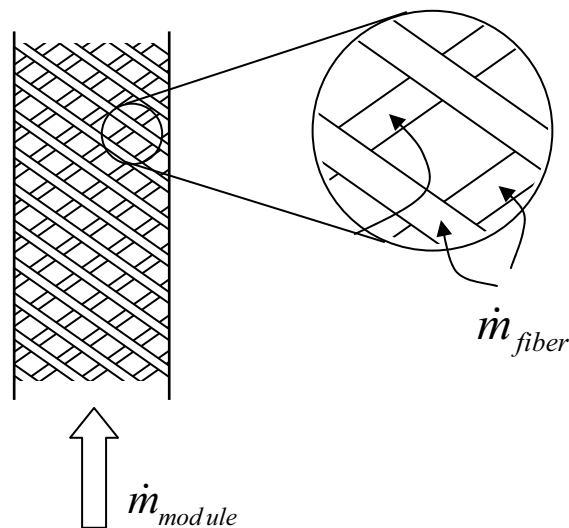


Figure 4.4 Visualization of the mass flux into the adsorber module and into the fiber.

An adsorber is effective when the adsorption power ($V \cdot a \cdot k \cdot \Delta C$) is bigger than the mass flux into the adsorber module (Eq. 4.8). For a defined dimensionless adsorber constant R as:

$$R = j / \phi \cdot c \gg 1 \quad \text{Eq.4.8}$$

with

ϕ = volume flow (ml/s)

c = feed concentration (mg/ml)

A $R > 1$ means that the time necessary for adsorption is shorter than the residence time in the adsorber.

Once we have coiled the fiber and prepared a module, a dynamic breakthrough experiment is performed. aK may deviate from the situation of pure diffusion only for two reasons:

- (a) aK may be larger due to convective flow into the fiber (convective induced diffusion) or
- (b) aK may be lower due to fluid maldistribution in the module.

From the static incubation experiments we determine the accessibility of the embedded particles. In the case that all particles are accessible, the maximal adsorption capacity based on the embedded particles should be equal to that of free particles (dotted line in Figure 4.5). In situation (a) the breakthrough curve resembles the ideal curve, which means a complete utilization of the particles. Even when operated at somewhat higher flow rates the breakthrough curve remains in the same position because the adsorption power of the fiber dominates over the protein mass flux to the module. The small amount of unused adsorption capacity can be contributed to dispersion effects in the column.

In the case (b), visualized as maldistribution in Figure 4.5, there are more possible explanations. The first one is maldistribution due to non-uniform module assembling. Depending on the column packing the flow paths and therefore the flow rates can vary. In the “worst case” scenario the adsorption capacity in one part of the column may be fully utilized, while in other parts of the column the adsorption capacity is hardly used. This means remaining of unused binding sites. As a result the product binding and the breakthrough occurs simultaneously, destroying the resolving power of the column. This can be due to non-uniformly fiber coiling as well as inadequate module potting.

Another explanation can be the insufficient diffusion time for the target components to reach the active sites that are located deeper in the interior of the fiber and are not reached by the convective flow. This can be either due to a low surface porosity, a bad pore interconnectivity or a too thick fiber.

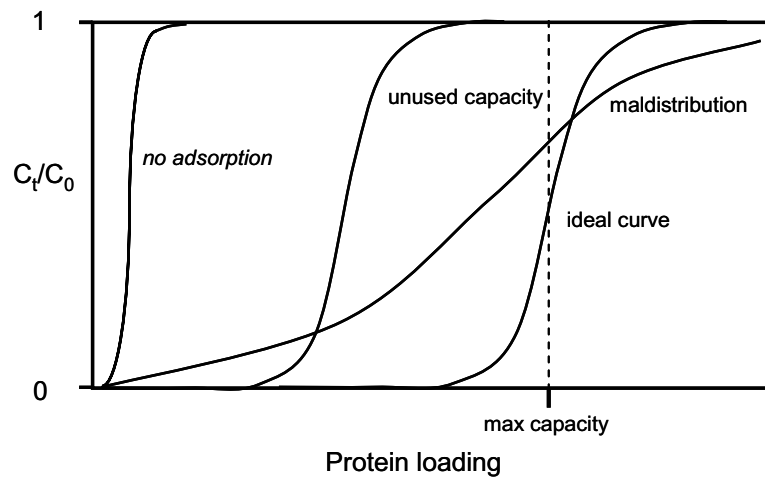


Figure 4.5 Possible breakthrough curves.

A breakthrough curve with an ideal profile, but shifted towards a lower adsorption value indicates that part of the module is not accessible for the target components. This occurs when potting material deactivates part of the fiber or when the particles are entrapped in closed cells and can not be reached by the target components. When no adsorption capacity is found at all, the module has a serious bypass, such that the eluted protein has no contact with the adsorptive fiber.

Chromatographic figures of merit

Martin and Synge [13] were the first to apply into chromatographic systems the concepts used for describing fractional distillation and extraction. They defined each plate or height equivalent to one theoretical plate (HETP) as ‘the thickness’ of the layer such that the solution issuing from it is in equilibrium with the mean concentration of the solute in the non-mobile phase throughout the layer. In the case of chromatographic columns, ‘the thickness’ of a layer translates to a portion of the bed height. The plate concept is used to provide a measure of the peak’s spreading relative to the distance it has migrated. The plate height is normally determined by injection of a small non-binding tracer such as NaCl or acetone. In ideal responses, the peak shapes can be modeled according to a Gaussian distribution function and the number of plates is given by Eq. 4.9. This equation is widely used, even if true Gaussian peaks are rarely observed (Figure 4.6).

A small plate height, or conversely, a large plate count indicates an efficient column. The HETP gives therefore a measure of the separation efficiency per unit length of column, when operated under a given set of conditions. HETP varies with the concentration, nature and volume of the test solute. The column plate number (N) can be experimentally determined by measuring the peak retention (t_R) and the width of the peak at half height (W_h). The peak asymmetry is the ratio of peak width to the tail divided by the width to the drop line at 10 % peak height (Eq. 4.10).

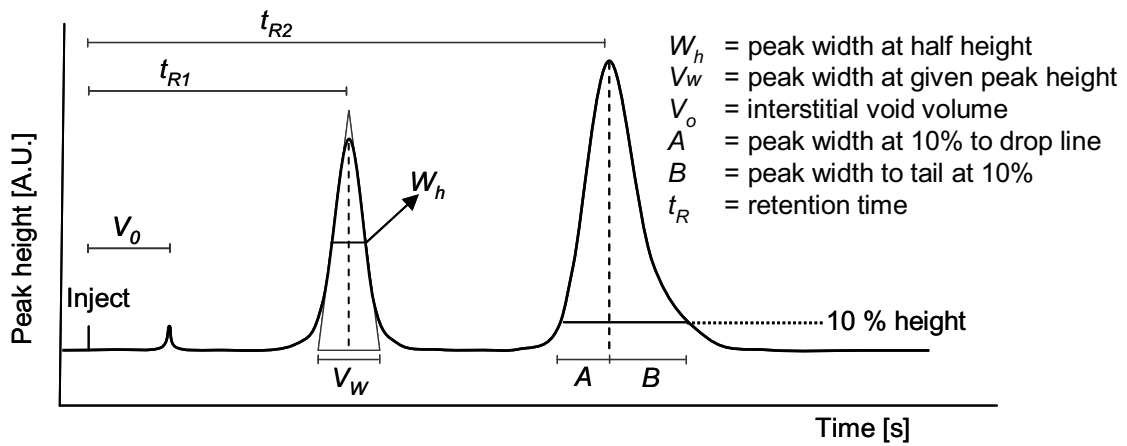


Figure 4.6 Example of a chromatogram, to explain the different column parameters.

The column efficiency is defined as:

$$N = 5.54 \left(\frac{t_R}{W_h} \right)^2 \quad \text{Eq.4.9}$$

The peak asymmetry, which represents the tailing of the peak caused by intra and extra column dispersion effects, is given by:

$$A_s = \frac{B}{A} \quad \text{Eq. 4.10}$$

EXPERIMENTAL

Materials

The used mixed matrix fibers consisting out of SP Sepharose HP beads embedded in a hydrophilized polyethersulfone backbone (Figure 4.7) were kindly supplied by Mosaic Systems. Lysozyme (LZ, Sigma) was employed as test protein in both incubation and dynamic experiments. All solutions were freshly prepared before use with ultra pure water using a Millipore purification unit Milli-Q-plus.

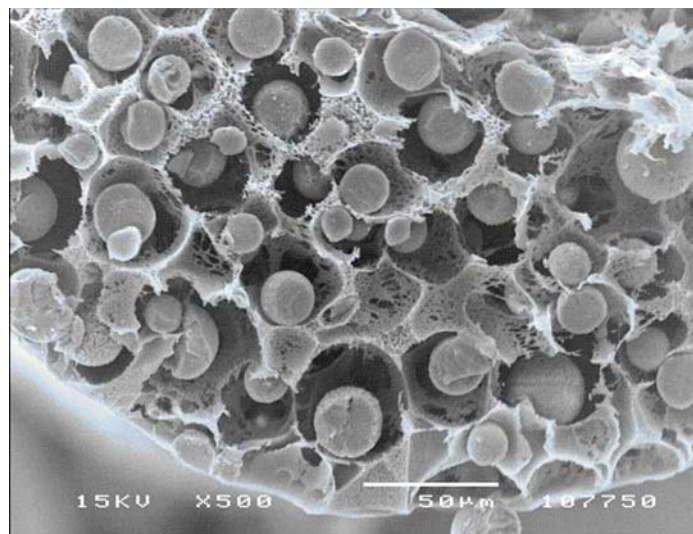


Figure 4.7 Cross-section of a solid adsorptive fiber with SP Sepharose HP particles.

Methods

Incubation experiments

Daily fresh prepared solutions of hen egg white lysozyme (LZ) were used as test protein. All the adsorption experiments were carried out using a 50 mM phosphate buffer pH 7.0. The ionic strength of the buffer was adjusted with NaCl to 0.154 mM, which corresponds to the physiological conditions of living cells. A known amount of adsorber fiber was immersed into a 25 ml buffer solution containing 1 mg LZ/ml. The protein uptake by the fiber was determined in time by measuring spectrophotometric the protein depletion at 280 nm.

The maximal LZ adsorption rate (j) of a fiber can be calculated from the LZ depletion of an employed feed solution. By plotting the feed concentration as a function of time (Figure 4.8), the slope θ multiplied by the feed volume represents the maximal protein mass flux, which is the amount of protein that can be adsorbed per unit of time and per volume of supplied fiber (Eq. 4.6).

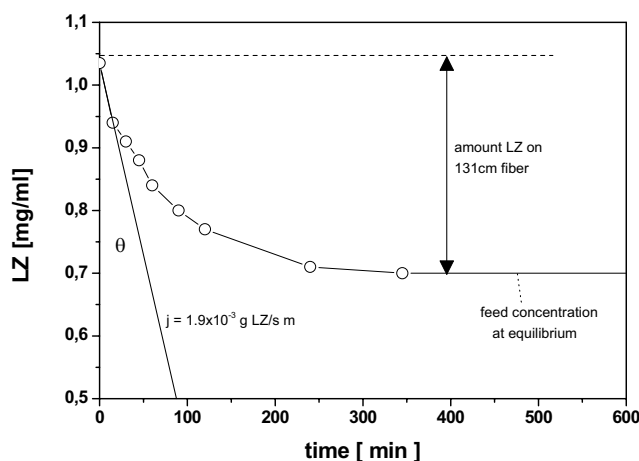


Figure 4.8 Incubation with a mixed matrix fiber. $C_0 = 1.04 \text{ mg/ml}$, $V = 25 \text{ ml}$, mass of the fiber = 0.045 gram and the fiber length 131 cm . $j = 1.88 \times 10^{-3} \text{ g LZ/s m}$.

Module production

For the module production the adsorptive fibers were coiled on a 15.0 cm long tube, till a package with a thickness of about 2.4 cm was obtained using a Sahn 400 E winder (Figure 4.9 G). End caps with flow distributors were attached and the whole assembly was inserted in a housing with an external diameter of 2.5 cm . The empty space between the housing and the fiber package was filled-up with potting material to minimize the dead volume and to fix the end caps. After 24 hours of hardening the modules were ready to be characterized. To optimize the adsorptive performance, modules with different winding tension and layout spacing were assembled.

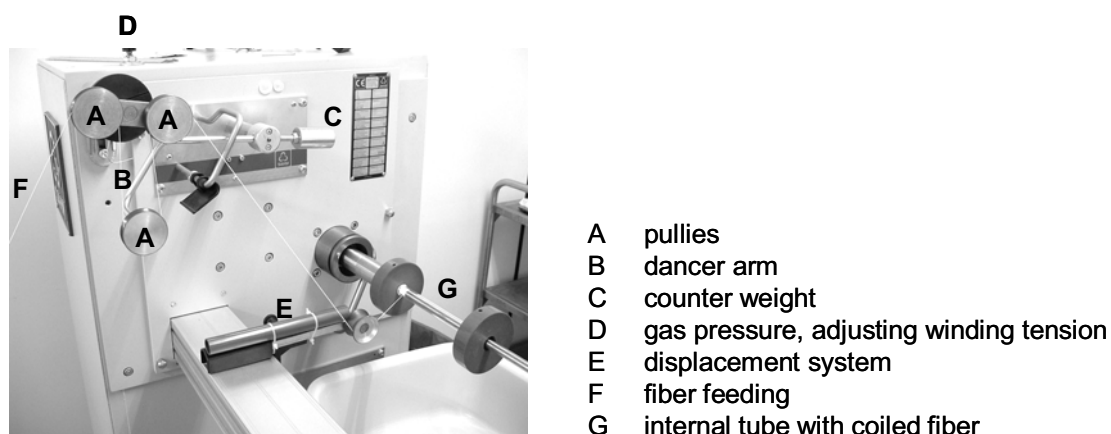


Figure 4.9 The uptake part of the SAHM 400 E winder.

Winding tension and layout spacing

A Sahn 400E parallel winder (Figure 4.9) was used for assembling the modules. The winder works with the slave–master principle, therefore it has to be used in combination with a fiber feeding system. The feeding, preferable constant, determines the take-up speed. The Sahn winder (the slave) follows the speed of the feeding system (the master) keeping the dancer arm, with a control of the winding tension, in the same position. The winding tension can be varied by either changing the position of the counter weight or by applying a gas pressure. The most important parameter that can be varied apart from the winding tension is the layout spacing between two adjacent coils (the “pitch”).

By changing the ratio between the uptake and the traverse speed (horizontal displacement) the layout spacing of the fiber pack can be varied. It is easy to imagine that a horizontal displacement of 1 fiber diameter per revolution creates a dense parallel winding pattern. By increasing the speed of the horizontal displacement with respect to the up-take speed, a diamond like pattern is obtained (Figure 4.1).

Separation power of a module

To determine the separation power of a mixed matrix module an Akta Prime or an Akta Explorer system (GE Healthcare) was used. 1 M NaCl was used as a non-binding tracer and injected via a 1 ml loop on top of the column and eluted with 60 cm/h. The response was followed by measuring the conductivity of the eluent. The injection starts as a block-

flow pattern comparable with plug-flow. During elution through the module, the pattern changes due to dead-volumes, channeling, mixing effects and axial dispersion (in the ideal case) into a Gaussian distribution. The number of theoretical plates, the peak asymmetry (Eq. 4.9 and 4.10) and the column hold-up volume (V_c) were calculated as an indicator of the module effectiveness.

Dynamic binding capacity

The LZ-breakthrough curves were monitored by continuous elution of 1 mg LZ/ml solutions experiments, unless else is mentioned, through the modules using the Akta systems as described above. Buffer and ionic strength conditions were identical as described under incubation experiments. The protein concentration was measured as function of eluted volume and time by UV absorption at 280nm.

RESULTS

Winding tension

To gain insight in the effect of the winding tension on the process performance, modules with different winding tensions were constructed. The gravity enforced on the dancer arm (Figure 4.9B) was varied from 30 to 180 grams using the same layout spacing. This results in a decrease of the effective layer thickness with an increase of the winding tension, which can only be explained by fiber deformation, especially on the fiber junctions. A reduced effective layer thickness means that the porosity of the module decreases. The consequence should be a reduced external fiber volume per module and a higher flow resistance. These hypotheses were tested. Figure 4.10 proves that the increase in the flow resistance is more than proportional with the winding tension. A winding tension of 180 gram creates a pressure drop that exceeds the maximum allowable system pressure of 10 bars using a flow rate of 3 ml/min (≈ 68 cm/h). Because of this low allowable flow rate, this module is not taken into account in the further research. Table 4.1 presents the module characteristics and the flow resistance data.

Table 4.1 Influence of the winding tension, layout spacing 2.5mm.

Winding tension [gram]	30	60	120	180
Layer thickness [mm]	0.088	0.079	0.068	0.057
Pressure drop [bar/m]	9.1	24.7	54.3	>91.7*
DBC 10% [mg LZ/g resin]	41	55	65	nd

* Flow rate 68 cm/h; others 100 cm/h.

Contrary to the decreased flow behavior, the dynamic adsorption capacity of the prepared modules is much more positive. When modules with different winding tensions are compared, (Figure 4.11) the capacity at 10% breakthrough (DBC10%) increases with the winding tension. A higher-pressure drop over the module ensures more fiber convection. So the active sites located deeper in the interior of the fiber are now reached by convective mode. This shift towards higher dynamic capacities means a better utilization of the fiber pack. The breakthrough curve is also steeper, which means that at DBC10% the product loss is lower (area under the curve is smaller). The winding tension is therefore a good tool to tailor the adsorptive properties of a module, dependent on the requested recovery and productivity. A high winding tension can be applied to minimize the product loss while coiling with a somewhat lower tension results in modules with higher throughput.

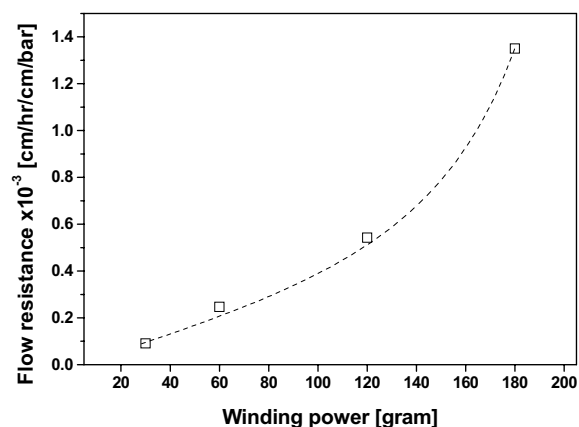


Figure 4.10 The flow resistance of adsorptive modules constructed with different winding tension.

When modules are coiled using a higher winding tension, the layer thickness and thus the hold-up volume in the membrane adsorber module decreases due to fiber deformation. This results in a higher flow resistance and thereby a higher pressure drop over the module. Figure 4.12 presents the flow transport through the module as a parallel resistance model. From this scheme it is obvious that an increase in the external flow resistance favors the internal flow transport. This leads to a better accessibility of the active sites located more in the interior of the fiber. As a consequence, the dynamic capacity increases and the adsorption process is less flow dependent.

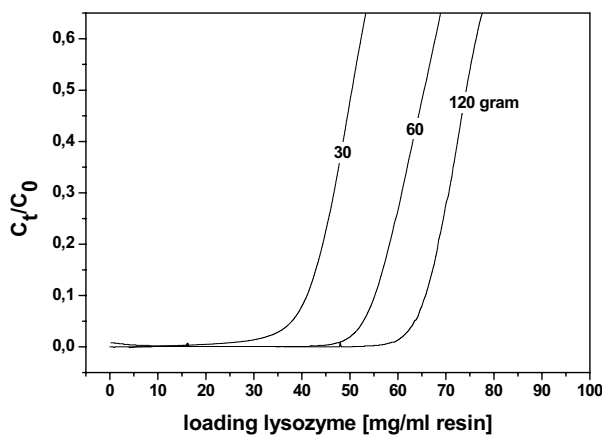


Figure 4.11 Influence of winding tension on the dynamic adsorption behavior. The winding tension ranges from 30 to 120 gram. The feed solution is 5 mg LZ/ml and the flow rate 25 cm/h.

Layout spacing

After entering the module the flow experience parallel flow channels: around or through the fibers. Narrower layout spacing reduces the external fiber volume (porosity) of the modules thereby favoring the flow through the fiber, similar to a high winding tension (Figure 4.12).

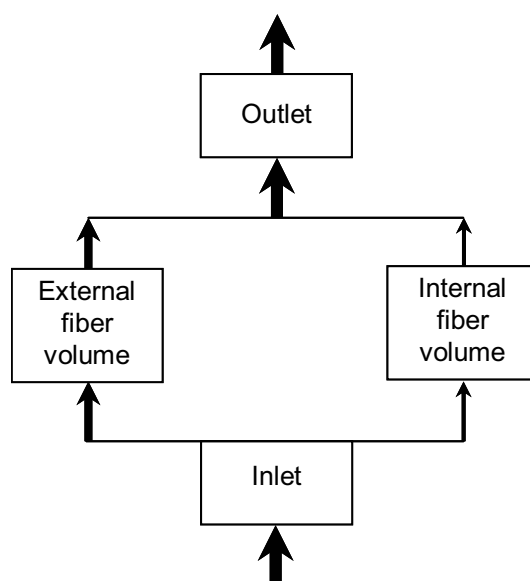


Figure 4.12 Block diagram of the flow resistance model of a membrane adsorber module.

Since, the adsorption process is now not solely controlled by slow diffusional processes but is enhanced by convection, the dynamic adsorption capacity is less strongly dependent on the residence time in the module (flow rate). Table 4.2 presents the module pressure drop and the dynamic adsorption capacity combining different layout spacings and winding tensions. It clearly shows that both parameters can be used to tailor the module performance characteristics.

Table 4.2 The influence of the layout spacing on the module pressure drop and on the dynamic adsorption capacity for two different winding tensions. Feed flow is 100 cm/h, LZ concentration is 1 mg/ml.

Winding tension	Layout spacing	Pressure drop	Adsorption capacity
	mm	bar	mg LZ/ml resin
30	1.25	4.6	21
	2.5	1.6	17
60	2.5	3.4	51
	5.0	2.6	38

Separation power of a module

The chromatographic characteristics of the different modules were compared on plate count (N), peak asymmetry and hold-up volume by injecting of 1 ml 1M NaCl on top of

the column. From the obtained chromatogram the peak retention time and the peak width at half height were determined to calculate with equation 4.9 and 4.10 the plate number and the peak asymmetry. The hold-up volume (porosity of the module) decreases with the winding tension. Applying a winding tension of 30 gram the module porosity is about 80%. By increasing the winding tension up to 120 gram the porosity drops till approximately 55%.

It is remarkable that the module with the best adsorption performance has the lowest plate number and the highest asymmetry factor (Table 4.3). The excellent breakthrough curve of the 120-module can only be explained by the high pressure drop, which cranks up the fiber convection of and outweighs the dispersion increase.

Table 4.3 Module characteristics measured with a linear velocity of 60 cm/h.

winding tension (gram)	Peak width	plates/m	asymmetry
30	57.46	114	1.32
60	33.90	144	1.82
120	99.03	31	3.98

Dynamic adsorption rate

A membrane adsorber module is assembled by coiling 270 m fiber as described in (Figure 4.8) on an internal tube using a 30 gram tension. After potting the module, the net flow area measures 2.81 cm². According to the fiber characteristics this module should be able to capture 0.51 mg LZ/s (= j). When this module is loaded at 508 cm/h with 5 mg LZ/ml solution the dimensionless adsorption number (R) is amounted to 0.25 (Eq. 4.8). This means that the mass flux to the adsorber is bigger than the maximal adsorptive mass flux of the fiber. The consequence should be that product binding and breakthrough occurs simultaneously. Figure 4.13 (curve denoted with 508 cm/h) proves that this hypothesis is right. When the mass flux into this module is lowered to 25 cm/h the value of (R) increases to 5.20. Now the adsorptive mass flux of the fiber is bigger than the mass flux into the adsorber module. So, all the initially loaded LZ on the module will be adsorbed by the fiber. The LZ concentration in the eluent becomes zero, which means that the breakthrough curve sticks to the base line. When almost all the active sites in the chromatographic column are occupied by protein molecules the protein concentration in the eluent starts rising. However the slope at the breakthrough point is quite flat. The

reason for this can be that the time needed by a component to diffuse to the active site is insufficient. This can be tailored by adjusting the winding tension. By increasing the winding tension for a new module from 30 to 60 gram the external fiber volume in the module decreases promoting the convection through the fiber.

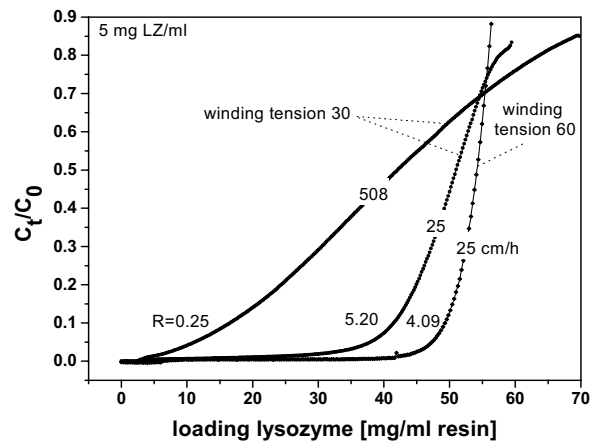


Figure 4.13 The dynamic breakthrough curves of modules constructed with the fiber from figure 4.8. The module with a winding tension of 30 gram is diffusive controlled where as the module construction with a winding tension of 60 gram shows an almost ideal breakthrough behavior. All modules have 2.5 mm layout spacing.

The utmost curve to the right shows a breakthrough curve of a module prepared with 178 m of the same fiber using a winding tension of 60 gram. Because of the higher convective contribution the breakthrough curve shifts toward higher adsorption capacities and becomes steeper, which means less product loss and a better utilization of the embedded resins. This proves that the layout spacing and the winding tension are powerful parameters that can be used to design a module for a certain application. Modules for complete capturing can be made by combining high winding tensions with a narrow layout spacing and for polishing applications a low winding tension combined with a big layout spacing is preferred.

CONCLUSIONS

For membrane adsorbers applications it is not only important how to prepare suitable fibers with adsorptive properties, but of even more importance is the module construction. In this chapter we focused on the module assembling, trying to rearrange the adsorptive fiber packing in such a way that they perform the up most for a specific application.

First fibers were characterized by determining their adsorptive properties in incubation tests. The dimensionless adsorption number (R), which is the most important module characteristic gives information about the protein uptake speed. When $R > 1$, the flow to the adsorptive sites is limiting the process, thus all the components loaded into the module are bound to the active sites. Under dynamic conditions, this means that the breakthrough curve sticks to the base line followed by a steep increase at the breakthrough point.

For module assembling two critical parameters the layout spacing and the winding tension are equally important. By increasing the distance between two adjacent fibers during the coiling process, the module porosity increases. This affects both the dynamic adsorption capacity and the permeability of the module. Bigger layout spacing gives a lower flow resistance thereby promoting the flow around the fibers, and making the adsorption capacity highly flow rate dependent.

By applying a high winding tension during the coiling process, fiber deformation takes place resulting in modules with a higher packing density and a higher flow resistance. Now the feed flow is to a greater extent forced through the fibers thereby reducing the diffusive distance to the active sites located more in the interior of the fiber. The resin utilization increases for a given residence time.

Modules with coiled adsorptive fibers can be easily tailored to fulfill the demands of a given separation process. Combining wide layout spacing with a low winding tension, modules with very high permeability can be produced. This can be very beneficial in product stabilization and standardization especially when big volumes have to be treated.

Narrow layout spacing and a high winding tension favors resin utilization and minimize the product loss. These settings are especially useful in pharmaceutical application where the components of interest are very valuable and product loss should be avoided.

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DEVELOPMENT OF NEW PARTICLE LOADED ADSORPTIVE HOLLOW FIBER MEMBRANES FOR MEMBRANE CHROMATOGRAPHY APPLICATIONS

ABSTRACT

This chapter reports the application of particle loaded hollow fiber membranes for membrane chromatography. The membranes were prepared as Mixed Matrix Adsorber Membranes by dispersing cation exchange particles into the membrane forming polymer solution. The high flow resistance of the surface layer can be reduced by co-extrusion of a macroporous particle-free protection layer. This layer is also suppressing the potential particle loss from the membrane adsorber during chromatography. Potential liquid flow maldistribution due to wall thickness variations and inhomogeneity of the membrane morphology was compensated by connecting in series a minimum of 5 hollow fiber modules. Sharp breakthrough curves in dynamic adsorption were demonstrated for lysozyme (LZ) and γ -globuline (h-IgG). The dynamic lysozyme adsorption capacity was almost independent of the flow rate over the range of 30 – 250 bed volumes per hour. The dynamic IgG adsorption capacity was 2 times larger than the one of packed beds of protein-A functionalized sepharose and macroporous beads at equal hydrodynamic conditions. The adsorptive hollow fibers were also applied for the isolation of LZ from a crude mixture of fresh chicken egg white. The particle loaded membranes offer high fluxes up to $1500 \text{ l m}^{-2} \text{ h}^{-1}$ combined with a high dynamic capacity, selectivity and purity. The obtained dynamic capacities of 60 mg LZ/ml membrane were similar to static values and belong to the highest values reported in the literature.

INTRODUCTION

Column chromatography is a highly developed method used as a final step (in both capturing and polishing) in the recovery of biomolecules out of complex biomolecular mixtures. The first chromatographic columns were realized as packed beds with approximately 100 μm beads. Their main drawback apart from the low capacity for large sized target compounds, such as high molecular proteins, monoclonal antibodies and DNA is the long diffusive path length inside the chromatographic support making the dynamic capacity strongly flow rate dependent [1].

A higher capacity and a shorter diffusion path can be obtained by using smaller beads. However, the drawback of small sized beads is that they are more densely packed and create a high pressure drop resulting in a low throughput. In radial direction and inside the chromatographic beads diffusional processes are determining the capacity of the system. It is easy to understand that the convective transport through a macroporous membrane is superior to the slow diffusional processes through the pores of the chromatographic beads having random tortuous paths (Figure 5.1) [2, 3]. The use of membranes eliminates pore diffusion, leaving film diffusion from the core of the liquid to the membrane surface in the interior of a through-pore as the only transport resistance. As film diffusion is usually orders of magnitude faster than pore diffusion, mass transport limitations are drastically reduced in membrane chromatography, shifting the limitation of the process to the properties of the matrix-solute interactions [3-5].

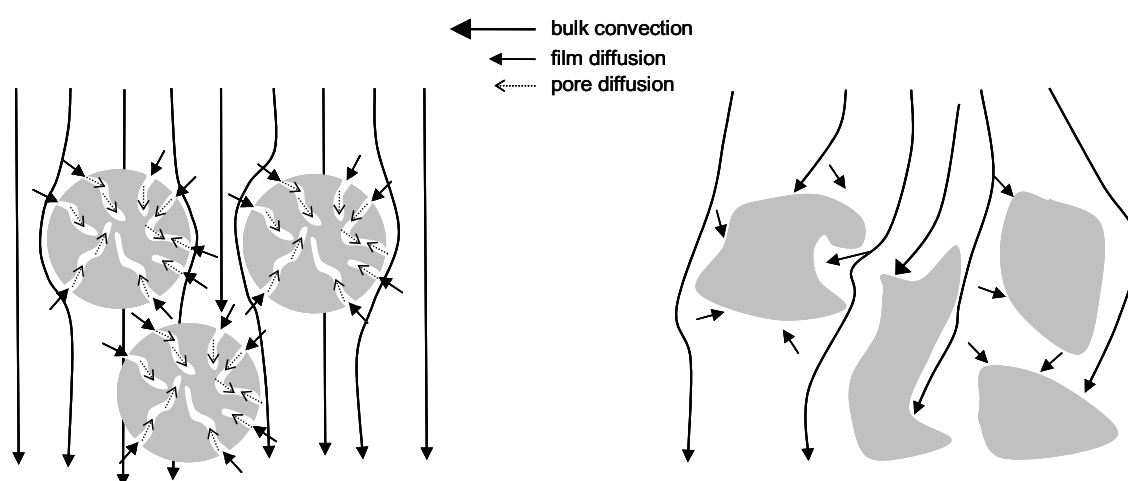


Figure 5.1 Visualizations of convectional and diffusional pathways in bead columns (left) and in membrane chromatographic systems (right).

Since a typical membrane bed (including flat sheets and hollow fibers) has a much larger cross-sectional area relative to the bed height compared with packed bed columns, the pressure drop is drastically reduced. This results in higher flow rates and thus higher productivities. Short diffusional distances allow for an optimal utilization of the immobilized ligand situated on the pore walls.

The disadvantages of the membrane chromatographic systems are related to their high flow rates. For a given membrane thickness a high flow rate can only be achieved when having big pores. This goes along with a low internal surface area (the area available for ligand binding), and thus a low adsorption capacity. Typical BET-areas for macroporous membranes are in the range of 5 to 16 m²/g of membrane material [6-8], which is 1 to 2 orders of magnitude lower than that of the classical chromatographic beads [9]. However for the recovery of big ligate molecules this is not disastrous since anyway these molecules cannot penetrate into the fine porous bead structure. This means that the adsorption capacity is controlled only by the exterior bead surface.

The advantage of the particle loaded membrane adsorbers, as developed by Avramescu [10] and commercialized by Mosaic System, is the combination of both a high flow rate and a high capacity. This can be established by entrapping small functionalized particles in a macroporous membrane. The highly porous membrane structure has a low resistance against mass flow ensuring convective transport of the target molecules to the active binding sites. The small embedded particles cause a high adsorption/affinity area per unit of column volume. The embedding of particles in a macroporous matrix makes the column insensitive for eventually bed compression, even when high flow rates are applied. Because the embedded particles are individually fixed in a three dimensional network, possible deformation of individual beads has no accumulating effect on the flow restriction.

Membrane chromatography systems are available in different geometries: coiled solid fibers [Mosaic Systems], flat sheets [Pall and Sartorius] and hollow fibers [not yet commercially available]. The disadvantage of the solid fibers is that the majority of the solute flow bypasses the fiber, while transport into the fiber is mostly diffusion controlled. This makes that a full fiber module is for a large extend diffusive controlled. The disadvantages of flat sheet membranes are the dispersion effects due to the entrance and exit effect as well pore-size distribution and thickness variations. To overcome this disadvantage at least 30 membrane layers are necessary to average out heterogeneities and membrane non-uniformities, which leads to an enormous increase in pressure drop [11].

A disadvantage of adsorptive hollow fiber membranes is their decrease in radial elution velocity with increasing fiber length due to a decrease in axial pressure drop. This causes flow maldistribution making the breakthrough curve less steep, hampering full ligand utilization. Despite this disadvantage the adsorptive hollow fibers are interesting because of their high frontal area. They are convective and in contrary with some of the other geometries the hollow fiber modules may be cleaned and regenerated by back or forward flushing.

The prepared mixed matrix hollow-fiber membranes were used for the recovery of the model components lysozyme and γ -immunoglobulin. They were also investigated for the the isolation of lysozyme out of crude chicken egg white. Chicken egg white (CEW) is the cheapest source for lysozyme recovery, even though it only represents about 3.5 wt% of the total CEW protein mixture [12]. Egg white proteins are very different in size, isoelectric points and composition of hydrophobic amino acids (Table 5 1). It is thus possible to use their electrostatic and hydrophobic interactions or the ability to bind to metals as a mean of recognition in adsorptive separation.

Table 5.1 Selection of properties for the major proteins in the chicken egg white [12].

Protein	Amount (%)	MW (kDa)	pI	Intrinsic viscosity
Ovalbumin	54	45	4.5	0.043
Ovotransferrin	12-13	77.7	6.0	0.084
Ovomucoid	11	28	4.1	0.055
Lysozyme	3.4 - 3.5	14.3	10.7	0.027
Ovomucin	1.5 - 3.5	220 - 270000	4.5 - 5.0	2.1
G2 Ovoglobulin	1.0	47	4.9 - 5.3	0.065
G3 Ovoglobulin	1.0	50	4.8	-
Ovoflavoprotein	0.8	32	4.0	-
Ovostatin	0.5	760 - 900	4.5 - 4.7	-
Cystatin	0.05	12	5.1	-
Avidin	0.05	68.3	10.0	-
Thiamine-binding protein	-	38	-	-
Glutamyl aminopeptidase	-	320	4.2	-
Minor glycoprotein 1	-	52	5.7	-
Minor glycoprotein 2	-	52	5.7	-

The flexible concept of mixed matrix adsorber membranes allowed us the incorporation of cation-exchange particles into porous structures. Having an isoelectric point (pI) of 10.7, lysozyme is, except the minor component Avidin, the only egg white protein with a pI higher than 7. Hence we hypothesize that the adjustment of the adsorption conditions allows the lysozyme to be retained inside the membrane by adsorption while the low isoelectric point proteins pass freely through the membrane.

The aim of this chapter is the development of new particle loaded adsorptive hollow fiber membranes as an alternative for the chromatographic techniques described above. By clever linking up together series of modules we try to minimize the maldistribution. This results in systems that are characterized by steep breakthrough curves, which mean optimal ligand utilization.

BACKGROUND

There exist two challenges for a viable application of hollow fiber membranes in membrane chromatography: (a) variation in wall thickness and (b) variation in porosity. Too large variation in either of them can result in reduced chromatographic performance.

Variation in wall thickness

The wall thickness of affinity hollow fibers should be at least in the order of 500 μm . to obtain sufficient adsorption capacity and to limit the axial dispersion effects. Variation in wall thickness due to miss centering of the bore needle is unavoidable. Figure 5.2 represents a fiber with a wall thickness variation of about 10 %. Assuming a constant pressure drop over the hollow fiber and a constant permeability, the permeation rate calculated with the Blake–Kozeny equation (Eq. 5.1) gives a 20 % difference in flow velocity between the thin and the thick part. It is therefore easy to imagine that during an adsorptive run the thin site is much faster saturated with ligate, due to a higher flow velocity and a shorter permeation path. The calculated overall loss in adsorption capacity is about 25% [13].

$$\Delta P = \frac{150 \cdot u \cdot L \cdot \mu \cdot (1 - \varepsilon)^2}{D_p^2 \cdot \varepsilon^2} \quad \text{Blake - Kozeny equation} \quad \text{Eq. 5.1}$$

ΔP = pressure drop (bar)
 u = linear velocity (m/s)
 L = membrane thickness (m)
 μ = viscosity (Pa·s)
 ε = porosity (-)
 D_p = particle size (m)

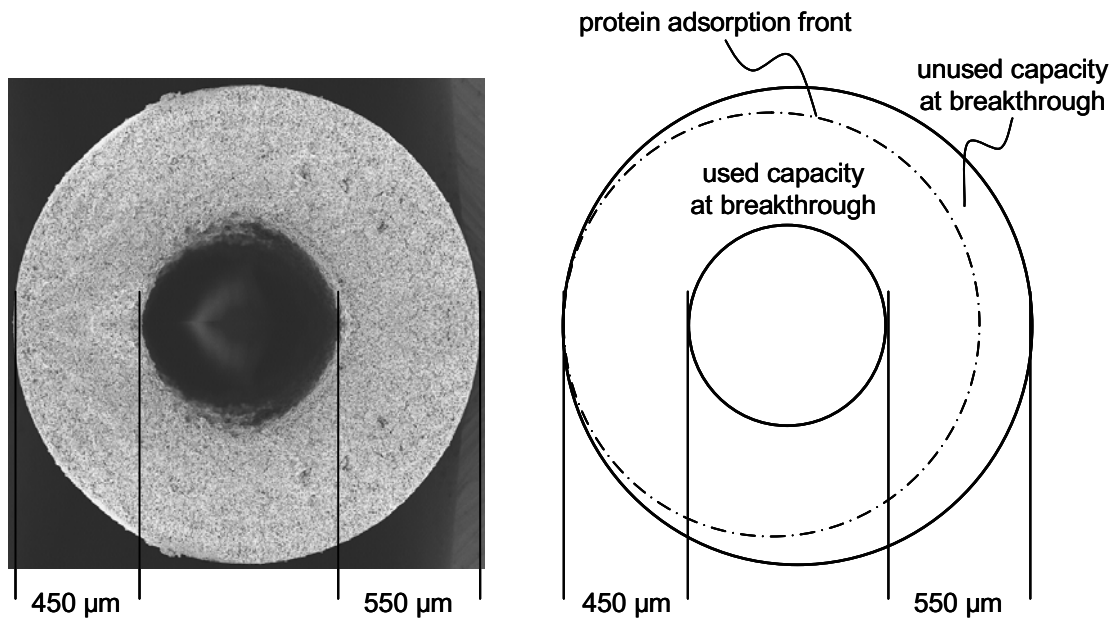


Figure 5.2 Electron microscope image of a hollow fiber with 10% variation in wall thickness. The dotted-dashed line in the right drawing represents the amount of utilized ligand at the breakthrough point.

Variation in porosity

A second disadvantage of both hollow fiber as well as flat sheet membrane adsorbers is the variation in porosity, which hampers the full utilization of adsorption capacity. For a good understanding of the problem it is important to know how the hollow fiber membranes are produced. Most manufactures, including the authors of this chapter, make use of the phase inversion wet spinning process in which a homogeneous polymer solution is extruded through a tube in orifice spinneret into a water bath (Figure 5.5).

For polymeric solutions with a low viscosity the polymer lean nucleus can grow (as long as the solvent composition in the nucleus exceeds a certain minimum) by indiffusion of solvent and non-solvent molecules. The macrovoid (big volume of polymer lean phase) growth will cease when the solution in front of the macrovoid remains stable [14]. These macrovoids, which are stochastically randomly distributed over the fiber wall cause a non-uniform mass flow over the fiber wall (Figure 5.3). The flow path A contains no macrovoids and it is likely that the linear fluid velocity a , is lower compared to the linear fluid velocity b in the macrovoid containing flow path B.

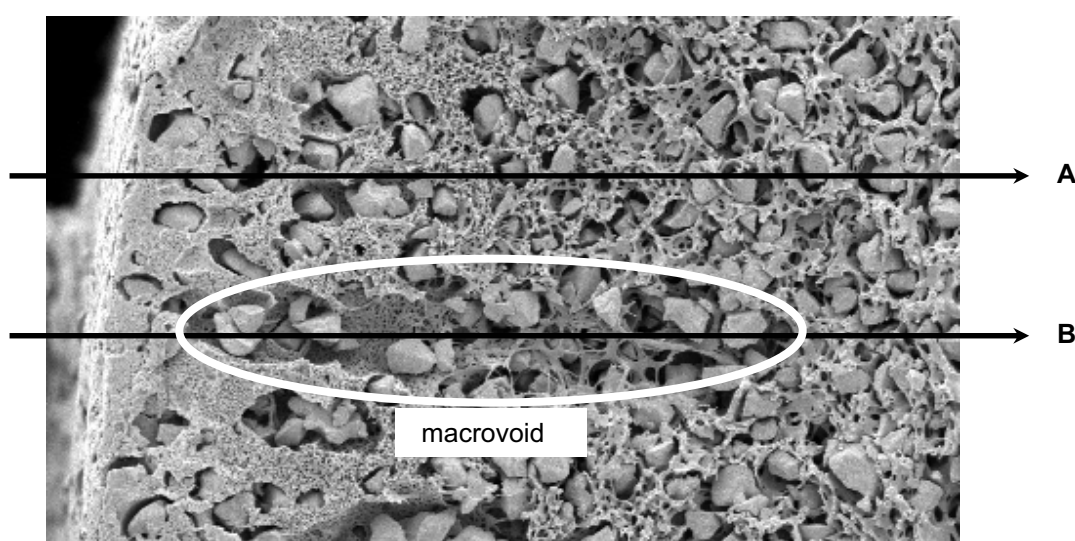


Figure 5.3 Cross-section of a hollow fiber adsorber membrane containing CNP80 particles. A indicates a path without macrovoids where as B is a low resistance pathway containing a big macrovoid.

This difference in flow resistance results in a preferential flow through the macrovoids. Assuming that all the particles are homogeneously distributed throughout the matrix, the particles located in path B are (b/a) times faster saturated with ligate than the particles in flow path A. Suen et al. [13] calculated for flat sheets that 10 % porosity variation results in a 40 % loss in adsorption capacity.

Diffusion limitation

To describe the membrane chromatography performance Peclet numbers can be used. The radial Peclet number (Pe_r) describes the dispersion in radial direction, which is strongly related to the pore radii in the membrane wall (Eq. 5.2). The axial Peclet number (Pe_a) describes the dispersion in the convective flow direction, which in membrane

chromatography with hollow fibers is perpendicular to the fiber bore (Eq. 5.3, Figure 5.4). For optimal membrane performance the axial Peclet number must be greater than 40 and the radial Peclet number smaller than 0.04 [15].

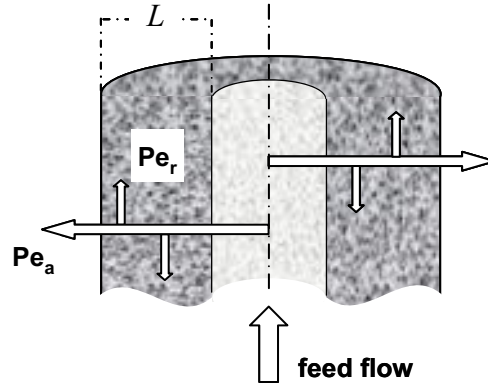


Figure 5.4 Illustration of a hollow fiber to clarify the directions of the Peclet numbers.

$$Pe_r = \frac{\text{characteristic diffusion time}}{\text{residence time}} = \frac{r_0^2/D}{L/u} < 0.04 \quad \text{Eq. 5.2}$$

$$Pe_a = \frac{\text{characteristic diffusion time}}{\text{residence time}} = \frac{L^2/D}{L/u} > 40 \quad \text{Eq. 5.3}$$

r_0 = membrane mean pore radius [m]
 D = diffusion coefficient of the ligate [m^2/s]
 L = membrane thickness [m]
 u = average linear velocity of the fluid [m/s]

Comparison beads and fibers

There are only a few articles in literature, which compare one-to-one bead and membrane chromatography. Because of the difference in aspect ratio between bead columns and membrane modules, there is a lot of indistinctiveness how to compare these two techniques. Klein and Kubota used the space velocity as a fair comparison between beads and membranes [7, 16, 17]. Kubota uses the whole fiber volume, while Klein subtracted the lumen contribution to the volume. We define the space velocity according to the equations

5.4 analogue to Kubota. In case of a series of fibers, the bed volume (BV) is the summation of the total fiber volumes.

$$\text{Space Velocity} = \frac{\text{flow rate} \cdot 4}{\pi \cdot d_0^2 \cdot L} \quad \text{Eq. 5.4}$$

with

$$\begin{aligned} d_0 &= \text{outer diameter fiber (m)} \\ L &= \text{fiber length (m)} \end{aligned}$$

Klein [7] compared affinity adsorption devices containing flat sheet and hollow fiber membranes for IgG isolation. He assembled cross flow and dead-end flat sheet modules with a length of 23 cm and dead-end hollow fiber modules with a fiber length of 8 and 2.5 cm. He respectively found that the stacked flat sheets modules containing 23 layers have the lowest void volume and the highest pressure drop but show a sharp front-elution profile. The short dead-end hollow fiber modules showed in spite of a single passage through the thin wall an almost similar adsorption profile. The cross flow system, which is longer, and the only one that can handle cell suspensions, showed a broader breakthrough behavior. The excellent performance of the small dead-end modules was explained by the minimal pressure drop over the module combined with an instant equilibrium.

For IgG isolation Kim et al. [18] used single tryptophan functionalized dead-end fibers of 12 cm long that are fed from both sides. They obtained broad breakthrough curves with a maximum adsorption capacity of 10 mg/ml.

In this chapter we present first the details on the fiber preparation and the morphological characterization of the obtained particle loaded adsorber fibers. Then the static and the dynamic LZ adsorption are discussed with focus on the permeation rate and the protein residence time of a in a module. In the final part a various number of modules were linked together analogue with the stacking of flat sheet membranes, with the goal of sharpening the breakthrough curve by averaging out heterogeneities in wall thickness and porosity. Finally the prepared adsorptive hollow fiber modules were evaluated on their applicability to the recovery of LZ from crude chicken egg white.

EXPERIMENTAL

Materials

Polyethersulfone (PES, Ultrason E6020P) kindly supplied by BASF-Nederland was used as matrix forming polymer. PES is a slightly hydrophilic engineering plastic with a Mw of 50 kDa commonly used for membrane production. Polyvinylpyrrolidone (PVP, Fluka) with different molecular weights (K15, K30 and K90) was used as polymeric additive, while polyethyleneglycol (PEG400, Merck) and glycerol (Merck) were used as non-solvent additives in the dope solution. N-methylpyrrolidone (NMP, 99 % purity Acros Organics) was used as solvent. Ion exchange (IEX) functionality was introduced by embedding Lewatit CNP80WS (a weak acidic, macroporous, acrylic-based cationic exchange resin) kindly supplied by Caldic, Belgium. The particles were milled and air classified to obtain a fraction with an average particle size of 7.4 micron. Lysozyme, (LZ, Sigma) originating from hen egg white and γ -globulin, (hIgG, Sigma) were used as test protein. All the buffers were freshly prepared in ultra pure water using a Millipore purification unit Milli-Q-plus. Fresh hen eggs were purchased from the local market. The egg white was manually separated from the yolk and diluted three times with ultra pure water. Subsequently, the solution was adjusted to pH 6 with 1M HCl and allowed to stir for minimum 4 h. The white gelatinous precipitate containing mainly ovomucin was pre-filtered through a filter paper, and afterwards through 5 and 1 μ m membranes respectively. The filtrate was used as feed solution for the lysozyme separation experiments.

Methods

Fiber preparation

All fibers were prepared by a wet phase inversion process. After the complete dissolution of 12% PES and 7.5 % PVP in N-methylpyrrolidone at 50°C the solution was cooled down to room temperature. Where after 20% PEG and the IEX-particles, equivalent by weight to PES were suspended. The dispersion was stirred with a low speed for 16 hours to break down the possible clusters of particles and then transferred into the storage tank. The dope solution was allowed to degas for 20 hours before spinning by extrusion through a small extrusion head into a water coagulation bath (Figure 5.5). In case of co-extruded fibers, the same dope composition without particles was spun through the outer orifice of a triple

layered spinneret. The prepared hollow-fibers were washed in water to remove the solvents and the additives and afterwards exposed to a 4000 ppm NaOCl solution for 24 h to break down the remaining PVP. The particle load is defined as the weight of particles divided by remaining weight of solids in the fiber, thus not taking into account the polymeric additives PVP and PEG400.

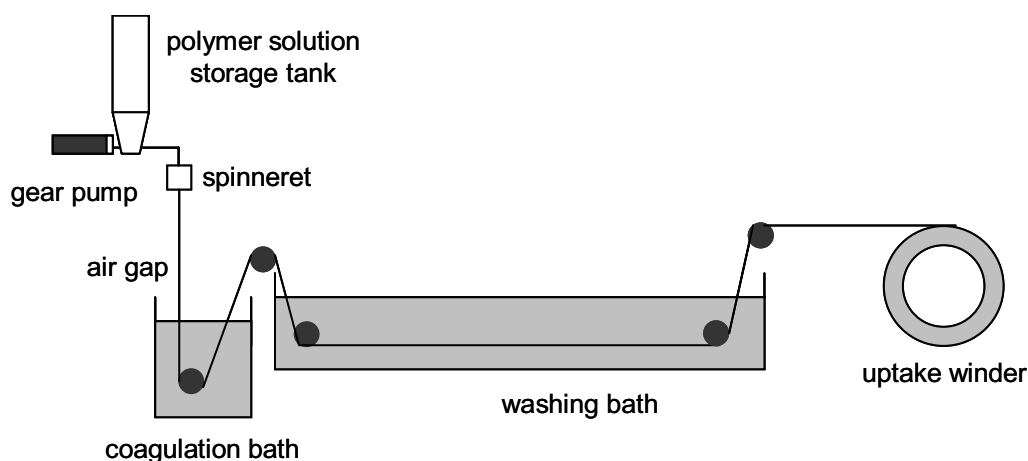


Figure 5.5 Schematic representation of a wet phase inversion spinning process.

Scanning Electron Microscopy (SEM)

Cross-sectional samples for characterization by scanning microscopy were prepared by cryogenic breaking of fresh wet fibers in liquid nitrogen. The samples are allowed to dry overnight under vacuum at room temperature and then coated with a thin platinum layer using a JEOL JFC-1300 auto fine coater. The cross-sectional morphology was visualized by use of a Scanning Electron Microscope (JEOL JSM 5600LV).

Membrane porosity

The membrane porosity was determined from the volume difference between the volume occupied by the polymer (equal to the volume of the dried membrane) and the volume of the membrane equilibrated in pure water. The volume of polymer was calculated as the ratio between weight of dried membrane and the polymer density. Average values were obtained from three different samples. The permeation rate through the hollow-fiber membranes was determined in dead-end filtration mode both with ultra pure water and solutions of 50 mM phosphate buffer pH 7.4.

Static adsorption capacity

The static protein adsorption capacity of the prepared mixed matrix hollow fibers was determined by lysozyme (LZ) depletion experiments. A known amount of adsorber was equilibrated with a LZ containing phosphate buffer at pH 7.4 and the protein uptake per weight of adsorber was measured. The ionic strength of the buffer was set to 130 mM, close to the physiological conditions. Initial LZ concentrations of about 1 mg/ml were employed in the adsorption experiments as a realistic concentration for many commercial processes. The protein concentration in the solutions was determined by measuring the UV-absorbance at 280 nm using a Varian Cary 300 spectrophotometer. The amount of adsorbed protein (mg LZ/g fiber) was calculated from the protein mass balance. All the adsorption tests were carried out at room temperature in a shaking machine with a frequency of 1.66 Hz.

The static adsorption isotherm was determined by immersing the same amount of fiber in different lysozyme concentrations. The linear form of the Langmuir equation (Eq. 5.5) has been often used for interpretation of experimental data related to adsorption of biomolecules onto ion exchange resins.

$$\frac{1}{q^*} = \frac{1}{q_m} + \frac{K_d}{q_m} \cdot \frac{1}{c^*} \quad \text{linear form Langmuir equation} \quad \text{Eq. 5.5}$$

The graphical representation of equations 5.6 is called the Scatchard plot. Since the parameters q^* and c^* are experimental data the dissociation constant K_d , and the maximum adsorption capacity q_m , can be calculated from a linear curve fit [10, 19, 20]

Module preparation

All the membranes were dried in air before potting them with polyurethane in a tube-in-shell configuration containing one single hollow-fiber. The effective filtration length of the module is 20 cm (unless else is mentioned) the internal diameter (bore) of the fibers is typically 500 μm , which means an active filtration area of 3.14 cm^2 per single fiber module. The outer diameter is of the fiber is 1500 μm . This means that the membrane volume of each single fiber module is amounted to 0.31 ml. The protein adsorption

capacity of a single membrane is limited therefore the hollow-fibers were housed in rigid cylindrical shells and mounted in series to achieve the necessary adsorptive capacities.

Dynamic adsorption capacity

Breakthrough curves were established by permeating a solution containing 1 mg LZ/ml of 50 mM phosphate buffer pH 7.4 through single fiber modules in dead-end mode. The ionic strength of the buffer was 130 mM. The feed flow (ranging from 15 till 1500 l.m⁻²h⁻¹) was regulated by adjusting the nitrogen pressure of the feed storage tank. The effluent was fractionated in samples of 3 ml and the LZ concentration in the collected fractions was determined by UV-absorption at 280 nm using a Cary 300 UV spectrophotometer. The breakthrough curve was determined from the eluted volume and the calculated LZ-depletion. To increase the adsorption capacity and to average out the possible flow maldistribution series of up to 10 fibers were investigated. To investigate the adsorption performance of a high molecular weight antibody, IgG (158 kDa) was dissolved in a 50 mM acetate buffer at pH 5.0 and tested using similar module configurations and flow conditions.

In the case of complex egg white mixtures, the protein concentrations were analyzed using a Waters 717 plus HPLC system equipped with a BiosuiteTM CM 10 μm CXC cation-exchange column and a Waters 2487 dual λ absorbance detector. The developed analytical method was based on a gradient protocol using 20 mM phosphate buffer pH 6.1 as binding buffer and 0.5 M NaCl containing phosphate buffer pH 6.1 as eluting buffer.

Lysozyme separation from crude egg white mixtures

To demonstrate and to quantify the potential of the newly developed hollow-fibers for protein fractionation, the effect of filtration flow rate and module design on lysozyme separation was investigated. If the membranes are operated at a pH lower than the isoelectric point of lysozyme, the protein is positively charged, meanwhile the cation-exchange particles have a negative net charge. The lysozyme is therefore adsorbed into the mixed matrix membranes by electrostatic interactions, while the adsorption of the low pI proteins is minimized. The optimum pH in the permeation step was therefore considered to be slightly on the basic side (pH>7). In this condition, LZ has a positive net charge and compensates the negative surface charge of the sorbent. Meanwhile, all the other CEW

proteins (referred to as albumin fraction) are negatively charged and thus their adsorption is expected to be negligible.

The competitive adsorption of the egg white proteins on the hollow-fiber membranes was studied at the optimal operational conditions (deduced from the single protein adsorption experiments). The pre-filtered egg white solution was permeated through series of hollow-fibers at a constant filtration flow rate of $200 \text{ l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$. The protein concentrations in the feed, the collected permeate and the eluent fractions were calculated by numerical integration of the peak areas in the HPLC curves based on pre-determined calibration curves. The protein mass adsorbed per unit of membrane bed volume was calculated as already mentioned in the membrane characterization part.

The separation efficiency was evaluated by the separation factor $S_{\text{LZ/Albumin}}$ (Eq. 5.6) where $\bar{C}_{\text{f,LZ}}$ and $\bar{C}_{\text{f,Albumin}}$ are the protein concentrations in the feed solution and $\bar{C}_{\text{p,LZ}}$ and $\bar{C}_{\text{p,Albumin}}$ are the average protein concentrations in the collected permeate fractions during filtration, calculated by numerical integration of permeation curve over the filtration run.

$$S_{\text{LZ/Albumin}} = \frac{\bar{C}_{\text{p,LZ}}/\bar{C}_{\text{p,Albumin}}}{\bar{C}_{\text{f,LZ}}/\bar{C}_{\text{f,Albumin}}} \quad \text{Eq. 5.6}$$

After reaching the breakthrough point, the membranes were rinsed with adsorptive buffer and subsequently used for LZ desorption at constant flow rate using a 0.5 M NaCl eluent. In these high ionic strength conditions the protein is released from the membrane and passes freely through the porous matrix in the bulk solution. The eluent was fractionated and the LZ and the albumin concentrations in the collected eluent fractions were monitored as previously described.

RESULTS AND DISCUSSION

Fiber preparation

For the particle loaded membrane adsorbers the amounts, size of embedded particles as well as their accessibility are important. For investigating their effect on fiber morphology we varied the polymer content in the casting solution and the particle loading. As already

pointed out in chapter 3 the increase in particle loading goes hand in hand with the increase in viscosity.

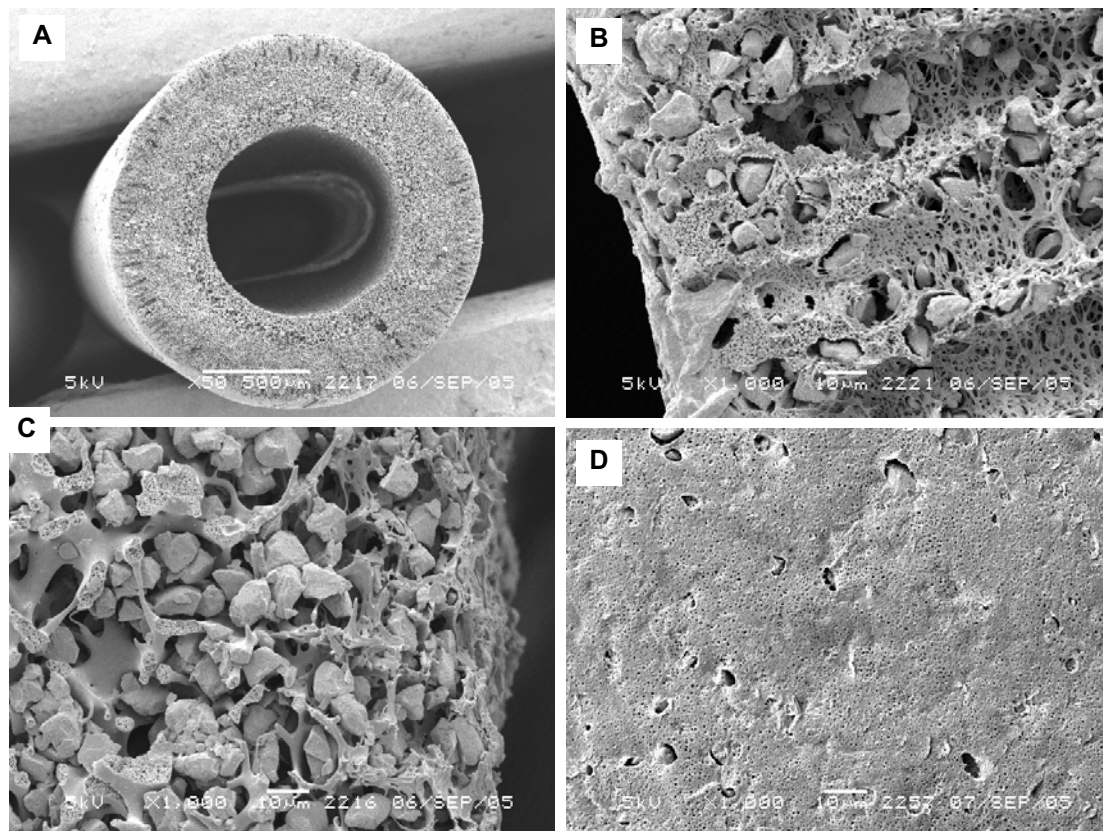


Figure 5.6 Electron microscope images of PES hollow fiber adsorbers containing 50 wt% cation exchange resins CNP80. A) cross-section magnification $\times 50$, the size bar indicates 500 μm ; B) cross-section of the outer layer $\times 1000$, the size bar indicates 10 μm ; C) cross-section of the inner layer $\times 1000$, the size bar indicates 10 μm and D) outer surface $\times 1000$, the size bar indicates 10 μm

Figure 5.6 shows the Scanning Electron Microscope (SEM) images of the prepared fibers. Due to their high interconnectivity and porosity ($\approx 75\%$ obtained from water up-take experiments) the prepared hollow-fibers have flow rates in the range of microfiltration membranes. Values over $6.000 \text{ l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}\cdot\text{bar}$ were obtained when permeating ultra pure water through a single fiber module. As can be seen in Figure 5.6A the fibers are typically 1500 till 2000 μm with a lumen of about one third to half of the diameter. This means that at least 75% of the bed volume can be used for adsorption purposes. The continuous porous structure of the adsorber enables the use of series of hollow-fiber membranes

avoiding the well-known packing problems that arise with conventional particles in packed bed systems.

To increase the capacity of the adsorber membranes the resin load in the polymer has to increase. A disadvantage of a high resin loading is the increase in viscosity of the polymer dope, which results in narrowing of the pore size and increased flow resistance. This is because a high solid concentration in the dope implies a high volume fraction of solids, which consequently means a low porosity. The consequence is a densified skin layer. Using a 60 % particle loading we did not only observe a dense skin layer causing a high flow resistance but also particles embedded in closed cells being hardly accessible for the target proteins.

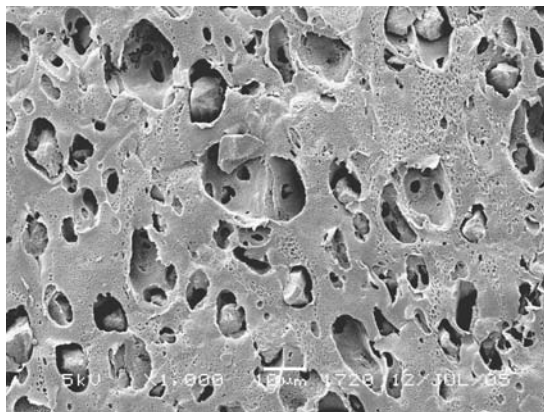


Figure 5.7 Electron microscope images showing particles that are puncturing through the outer surface. Magnification X1000, the size bar indicates 10 μm .

By using a triple layer spinneret and co-extruding a polymer solution without particles (low viscosity) we were able to tailor the morphology of the surface layer independent from the particle containing substructure. By this way the high flow resistance of the surface layer could be reduced, which results according to Zhang et al. [21] in a fiber with a much better adsorption behavior and higher adsorbing rates. An additional advantage of co-extrusion is that particle loss to the product side is prevented, since particles that in a process without co-extrusion puncture through the skin layer and tend to fall out (Figure 5.7) are now covered by the co-extrusion layer (Figure 5.8D). Thus co-extrusion also prevents contamination by particle loss of the end product, which should require an additional purification step.

The co-extrusion of a polymer dope consisting of the same solvents and polymers, but without particles, shows a good adhesion between the different layers. By applying

co-extrusion it is important that the individual layers in the solvent state are completely (or at least partly) compatible. If the contact between the layers is incomplete, the layers phase separate as two independent layers each with their morphology and shrinking [22, 23]. As can be observed in Figure 5.8C on top of the IEX-particles containing matrix, a layer of about 15 microns without particles is located. The pore size and the porosity of this layer are bigger than that of the original matrix. The originally skinned layer is replaced by a smooth transition between the different porous layers, compare Figures 5.6B and 5.8B.

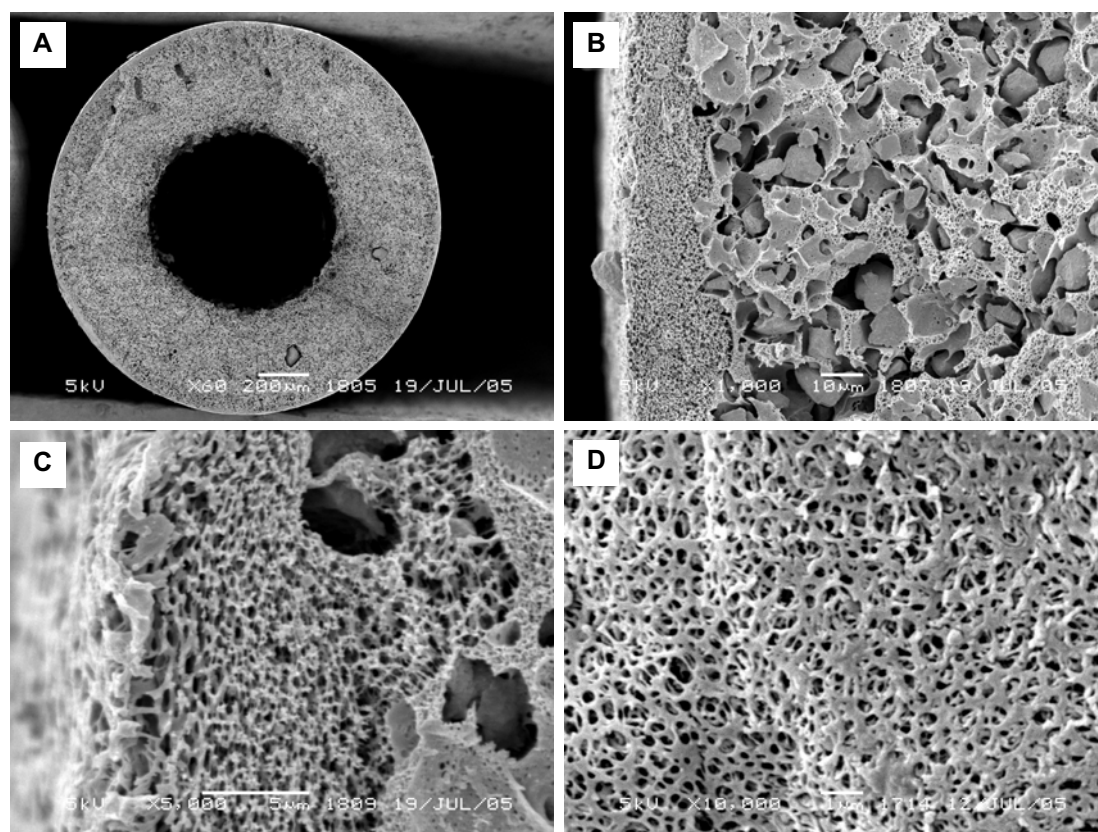


Figure 5.8 Electron microscope images of the co-extruded PES hollow fiber adsorbers containing 50 wt% cation exchange resins CNP80. A) cross-section, magnification X60, the size bar indicates 200 μm ; B) cross-section of the outer layer X1000, the size bar indicates 5 μm ; C) cross-section of the outer layer X5000, the size bar indicates 5 μm and D) outer surface X10000, the size bar indicates 1 μm .

Static adsorption

To determine the accessibility of the IEX-particles located inside the hollow fiber matrix LZ kinetic adsorption isotherms were measured. To ensure a complete equilibrium the adsorption capacities were determined after 24 hours. These experiments proved not only

the particles accessibility, but can also be used later on as an indicator for the effectiveness of the dynamic performance. Comparing the static adsorption capacities of the different spinning batches, we found that the fibers spun with 50 wt% particle loading possess a maximum LZ adsorption of over 200 mg/g membrane (Figure 5.9). By increasing the particle load up to 60 wt%, keeping the PES concentration in dope constant to maintain sufficient mechanical strength in the fiber, the weight of suspended particles in the dope increases with 50 %. The adsorption capacity of the resulting membrane however, reduced by a factor of five. This performance decrease could be explained by the increased viscosity of the dope solution. Even after increasing the dope temperature up to 60 °C the viscosity was still higher compared to the 50 % dope at 30 °C. This high viscous dope possesses a different phase separation behavior, which results in a dramatic change in membrane morphology. The originally open interconnected porous structure has changed to a system with particles embedded in closed cells being almost not accessible for target molecules. For all the dynamic experiments described in this chapter we make use of adsorptive hollow fibers that contain (based on dry weight) 50 % CNP80 IEX-resins.

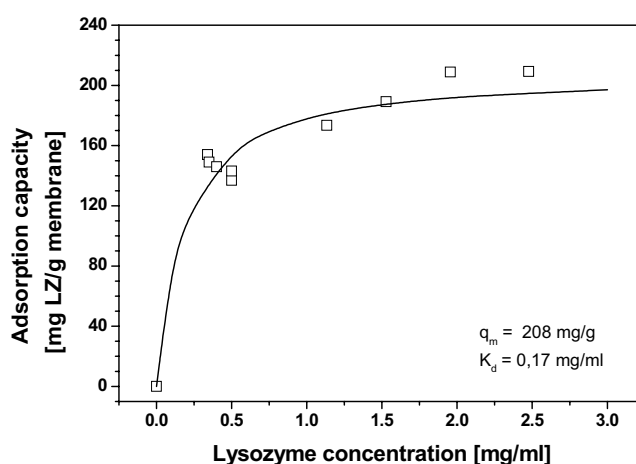


Figure 5.9 The LZ adsorption isotherm of adsorptive hollow fibers containing 50 wt% particle loading. The solid line is the best curve fit with the Langmuir equation. Data were measured after 24 hours in 50 mM phosphate buffer at pH 7.4.

Dynamic adsorption

For testing the performance of the adsorber membranes, modules containing a single hollow fiber of about 20 cm length were prepared. A solution of 1 mg LZ/ml was

permeated in dead-end mode through the fiber with a flow rate of $65 \text{ l.m}^{-2}\text{h}^{-1}$. To study the existence of flow maldistribution the fibers were stacked in series of up to 10 fibers, analogue to commercial flat sheet membrane adsorbers. While in flat sheet membrane applications at least 30 layers are recommended, for the hollow fiber concept stacking of 5 membranes was sufficient to average out the heterogeneities in porosity and the thickness variation (Figure 5.10). The experiments performed on series of 8 or 10 hollow-fibers did not show any further improvement in the protein adsorption capacities therefore we concluded that series of 5 hollow-fibers were sufficient to obtain the maximum dynamic lysozyme adsorption capacity.

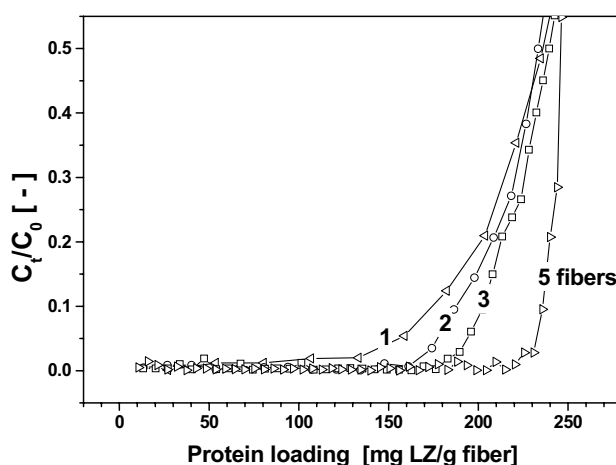


Figure 5.10 LZ breakthrough curves of different series of hollow fiber membranes, the flow rate is $4.8 \text{ cm}^3/\text{h}$ ($\approx 8 - 40 \text{ BV/h}$). The individual membranes have a filtration length of about 20 cm , an inner and outer diameter of $500 \mu\text{m}$ and respectively $1500 \mu\text{m}$.

The low number of fibers in a series needed to obtain a good breakthrough behavior has to do with the intermediate permeate mixing in between two fibers, which levels of the local higher concentration obtained by maldistribution. Any parallel connection of adsorber modules reduces flow resistance, maximizing the throughput. Unfortunately, the performance deteriorates because inequalities in flux or capacity among the different modules cause a loss of dynamic capacity of the whole system. On the other hand, connection in series improves breakthrough behavior, but increases the flow resistance. Since our fibers have very high flux values ($>6000 \text{ l.m}^{-2}\text{h}^{-1}\text{bar}^{-1}$) the later is no limitation for operating the mixed matrix fibers in a serial mode.

According to the Equations 5.2 and 5.3 for the lowest applied flow rate $65 \text{ l.m}^{-2}\text{h}^{-1}$, pore size $1 \mu\text{m}$ and a LZ diffusion coefficient of $1.13 \times 10^{-10} \text{ m}^2/\text{s}$ [24] the value of radial Peclet number, Pe_r , is below 0.0003 whereas the value for Pe_a is higher than 75. This means according to Tejada that for our system the radial and axial diffusion resistances can be neglected [15]. By operating the fibers in a serial mode the residence time in the adsorptive matrix increases. This has a positive effect on the axial diffusion resistance, which increases proportional with the residence time. Linking up together 5 single fibers modules proved to be very effective for LZ-binding. After permeating 130 ml LZ solution, which represents about 75 bed volumes, there was still no breakthrough.

Figure 5.11 shows the influence of the linear velocity on the dynamic adsorption capacity. When the adsorption is independent of the flow rate, it means that all particles are reached by convective flow and that the elution rate over the whole fiber length is constant. When a system is very sensitive to the flow rate it means that a lot of active sites are not reached by convection but by slow diffusional processes or that slow binding kinetics are dominating the adsorption process. This slow diffusion controlled adsorption is the main draw back of packed bed systems. Kubota found for a packed bed (DEAE functionalized Sepharose FF) a 72 % lower BSA adsorption capacity by a four fold increase in flow rate [17].

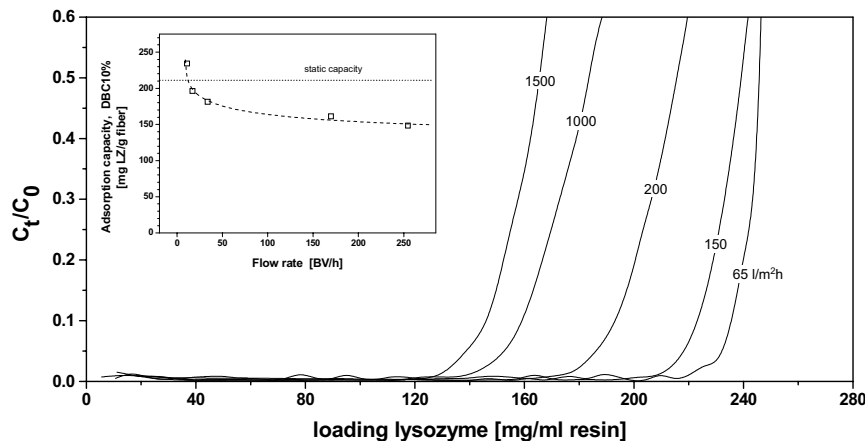


Figure 5.11 LZ dynamic breakthrough data for a series of 5 hollow fiber membranes as a function of the loading velocity. The LZ feed concentration is 1 mg/ml in 50 mM PBS buffer, $\text{pH } 7.4$.

Figure 5.11 shows that in our hollow fiber concept the loss in adsorption capacity is only 35 % by a 20-fold increase in flow rate. According to the manufactures, the commercially available adsorber membranes do not show adsorption capacity-flow dependence. However, literature revealed breakthrough values depending on the protein filtration flow rates for ovalbumine and lysozyme [25-27]

Optimal fiber length

The loss in dynamic capacity can also be caused by the axial dependency of the permeate flux, which originates from the axial pressure drop in the bore of the fiber. This pressure drop in axial direction is due to friction of the liquid with the fiber wall. The result is that the permeate flux decreases over the length of the fiber (Figure 5.12). This leads to early saturation of the active sites located in the first part of the module, while binding sites located farther on in the fiber still have free binding sites. This results in product binding and breakthrough occurring simultaneously, destroying the resolving power of the adsorptive hollow fiber. To obtain a good breakthrough behavior, which means fully utilization of the embedded functionalities without product loss, it is important that the permeate flow profile over the whole length of the hollow fiber is almost constant.

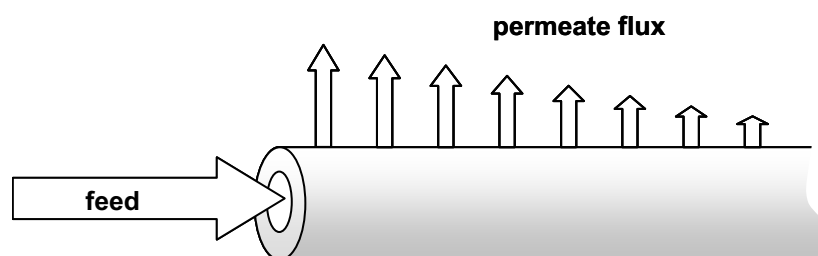


Figure 5.12 Visualization of the drop in permeate flow as function of the hollow fiber length.

The axial pressure drop is a function of the flow rate (velocity) of the fluid in the fiber. The higher the flow rate, the higher the pressure drop. The flow rate in the fiber depends on the permeate flux, which in turn is a function of the applied pressure and the permeability of the fiber. Furthermore, the pressure drop increases with decreasing diameter of the fiber. To study the influence of the axial pressure drop for a range of fiber diameters and membrane permeabilities, the maximum length of the fiber was calculated at which the pressure drop was 10 %. We assume that using this condition the axial pressure drop

contribution to the preferential flow is of minor importance in comparison to the contributions of variations in porosity and wall thickness. The problem can be solved with a system of two coupled differential equations. The first equation uses Darcy's law to describe the change of the axial flow rate as a function of the position (x) in the fiber (Eq. 5.7). The second equation (Eq. 5.8) uses the Fanning equation to calculate the pressure drop as a function of the flow rate. The equation assumes a laminar flow in a tube.

$$\frac{d}{dx} \Phi(x) = -\frac{2 \cdot P(x) \cdot r \cdot \pi}{\eta \cdot R_m} \quad \text{Eq. 5.7}$$

$$\frac{d}{dx} P(x) = -\frac{8.0 \cdot \Phi(x) \cdot \eta}{\pi \cdot r^4} \quad \text{Eq. 5.8}$$

The first boundary condition was: $P(x=0) = 4 \cdot 10^4$ Pa, which is the typical operating pressure for the modules. The second boundary condition is that at the axial flow rate at the end of the fiber is 0: $\Phi(L)=0$. The system of the two equations was solved analytically using Maple V8.0.

From the obtained solution for $P(x)$, see Eq. 5.9, L was solved for a pressure drop of 10% ($P/P(0) = 0.9$). The value for L is a function of the bore diameter and the permeability as is shown in figure 5.13.

$$\frac{P}{P(0)} = \frac{2e^{\left(\frac{-4L}{r^{\left(\frac{3}{2}\right)} \sqrt{R_m}}\right)}}{e^{\left(\frac{-8L}{r^{\left(\frac{3}{2}\right)} \sqrt{R_m}}\right)} + 1} \quad \text{Eq. 5.9}$$

The bold line ($3600 \text{ l} \cdot \text{h}^{-1} \cdot \text{m}^{-2} \cdot \text{bar}^{-1}$) in the Figure 5.13 represents the highest LZ permeate flux used in this chapter ($1500 \text{ l} \cdot \text{h}^{-1} \cdot \text{m}^{-2}$). Using these conditions the active filtration length of the hollow fiber should be less than 0.15 m. This value is somewhat lower than the applied length of the fiber modules.

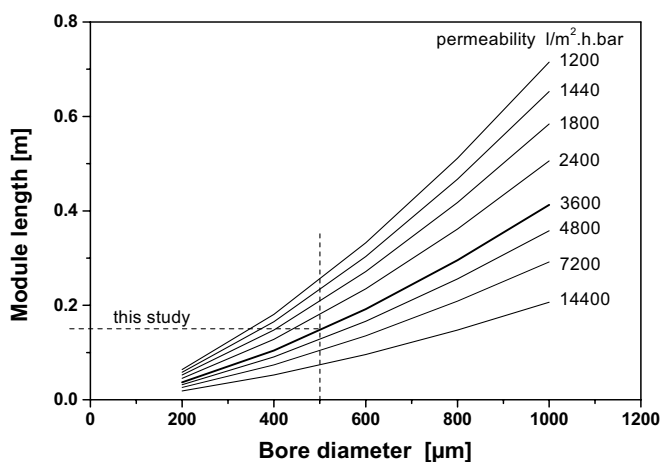


Figure 5.13 The maximal filtration length of an adsorptive hollow fiber represented as function of the bore diameter and permeability. The axial pressure drop in the fiber is 10%.

Since in our dynamic adsorption experiments we are connecting series of 5 hollow fiber modules, this affects only the last fiber of the series. The overall loss in dynamic adsorption capacity will therefore be more dictated by the variation in wall thickness but especially by the variation in porosity of the fiber.

Protein desorption

The adsorption-desorption cycle for a series of 5 hollow fiber modules is presented in Figure 5.14. The feed solution containing 1 mg LZ/ml in 50 mM PBS buffer pH 7.4 was permeated through the hollow fibers at a constant flow rate of 8 BV/h. The obtained dynamic capacity at 10 % breakthrough of about 230 mg/g fiber slightly surpasses the maximum adsorption capacity measured in the static mode. This may be due to a better accessibility of LZ to the functional sites during permeation. This means that within the time frame all the active sites located in the interior of the fiber are accessible and therefore occupied by the protein molecules. After reaching the breakthrough point the membrane series was disconnected and the individual modules are drained and rinsed with adsorptive buffer. Then the modules were reconnected for performing the desorption step at a higher flow rate (36 BV/h) using a 0.5 M NaCl eluent. The LZ concentration in the first eluted fraction was more than 15 fold increased when compared with the initial feed

concentration. This offers perspectives for the adsorber membranes to function both as purifier and concentrator.

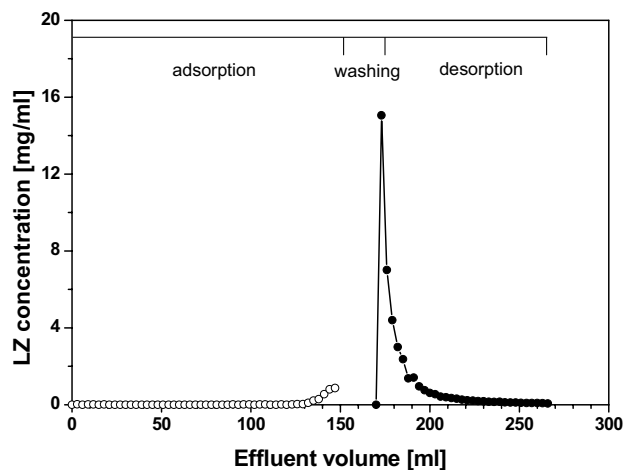


Figure 5.14 Typical LZ breakthrough and elution curves for a series of 5 hollow fiber modules. The adsorption is performed with a flow rate of 15 ml/h (8 BV/h), trans membrane pressure 0.25 bar, with a 1mg LZ/ml solution containing 50mM PBS buffer, and pH 7.4. Desorption is carried out by adding 0.5M NaCl to the adsorption buffer. The flow rate during elution was 36 ml/h (20 BV/h).

Mixed matrix hollow-fiber membranes for lysozyme separation

Figure 5.15 presents the results obtained by injecting the CEW feed solution (A), the different collected permeate samples (B-C) and the eluted protein sample (E) into a Biosuite™ column. Chromatogram A (feed solution) shows the existence of two peaks, the large one corresponding to the low iso-electric point proteins in the egg white solution (the albumin fraction) and due to its low concentration in the feed a much smaller lysozyme peak.

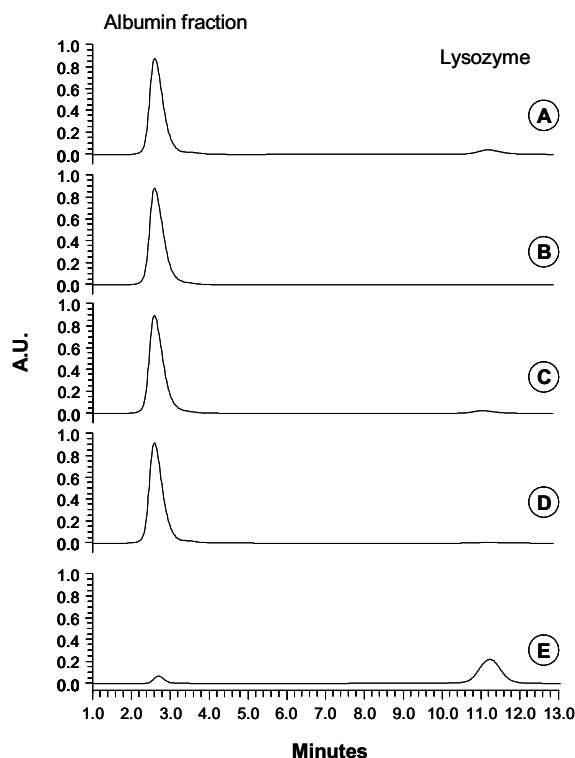


Figure 5.15 Chromatograms of the feed (A), the collected permeate samples (B-C), unified permeate samples (D) and the eluted sample (E) injected into a Waters 717 plus HPLC system equipped with a Biosuite™ CM 10 μm CXC cation-exchange column

Chromatogram B shows a typical analysis of the collected permeate fractions until the LZ breakthrough point. Since the peak area of the albumin fraction is (within the error limits) equal to the one in the feed, one can conclude that the low isoelectric point proteins did not bind to the adsorber but passed almost unhindered through the membrane. Meantime, the lysozyme concentration in the permeate samples was below the HPLC detection limit ($5 \mu\text{g LZ/ml}$). The missing peak indicates that all the lysozyme was fully adsorbed into the hollow-fiber membrane.

Hence we conclude that for the permeation of CEW protein mixture at pH 7.4, the hollow-fibers adsorb a major proportion of LZ due to opposite protein/membrane charge while the albumin fraction is adsorbed to a significantly smaller extent. The LZ breakthrough curve is, within the experimental errors, similar with the one for single component adsorption. The albumin fraction passes very fast through the membrane and its average concentration into the permeate was practically equal with the feed concentration while the LZ was still totally adsorbed into the matrix.

At a certain point, depending on the module capacity (fiber length, number of hollow-fibers in series) and the operational parameters (flow rate, initial protein concentration and ionic strength), the lysozyme concentration in the eluent increases (Figure 5.15C) and the LZ peak appears in the chromatogram. All the collected fractions, until the lysozyme concentration in the permeate was 50 % of the feed value were combined and re-injected into the HPLC system. Chromatogram D represents the analysis of the unified samples, showing an extremely small lysozyme peak.

In order to dissociate the protein-membrane complex, the adsorbed proteins were consecutively eluted at a constant flow rate with 50 mM phosphate buffer containing 0.5 M NaCl. The LZ recovery was calculated by numerical integration over the elution curves and resulted in almost 95 % recovery (Figure 5.16). Furthermore, based on 80 % recovery the lysozyme was concentrated on average 10-fold into the desorptive buffer offering perspectives for the prepared hollow-fiber membranes to function both as purification as well as concentration media. Meantime, the concentration of the low isoelectric point proteins into the desorptive buffer was about 30 times lower than the albumin feed concentration (see also Figure 5.15E). This means a separation factor of about 150 for the protein desorption step.

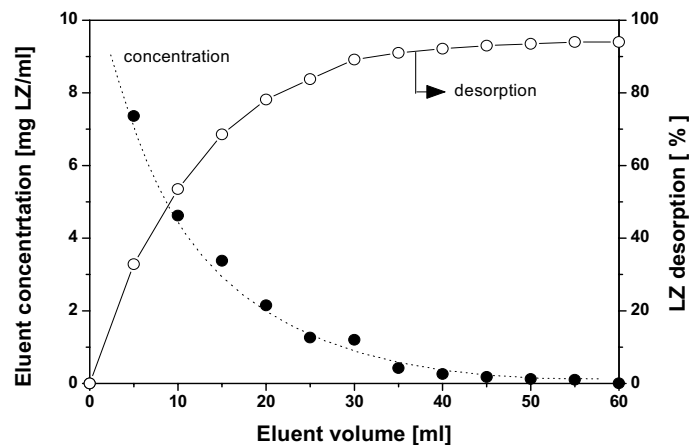


Figure 5.16 Elution curve for the adsorbed proteins into the mixed matrix hollow-fibers at a constant filtration flow rate of $200 \text{ l} \cdot \text{h}^{-1} \cdot \text{m}^{-2}$ and an ionic strength of 150 mM. (LZ concentration in the feed is 0.35 mg/ml)

One can also estimate the purification factor, which is the ratio of the LZ purity in the eluate divided by the LZ purity in the feed, for the separation of LZ from CEW (also

referred by other authors as the purification effectiveness). After one single adsorption-desorption cycle using the prepared hollow-fiber membranes, the lysozyme concentration increased from about 3 % in the feed solution to around 83 % in the desorptive buffer, which is sufficient high for food applications. This leads to a LZ purification factor of about 27 at 95 % LZ recovery.

When comparing the values of the LZ separation obtained with the mixed matrix hollow-fiber membranes with data reported in the literature, the first thing that draws attention is the high flux values in our research ranging from 65 to 1500 $\text{l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$. Whereas Fang et al. [28] reported permeate fluxes of 74 $\text{l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$ with polysulfone based cation-exchange membranes in a plate-and-frame stack of 9 by 9 cm. The obtained dynamic LZ capacity of 15 mg/ml was only 44 % of the determined static value.

Separation of lysozyme by ultrafiltration was also widely investigated in the recent years. Ghosh [29] reports egg white filtration for LZ recovery using 25 kDa and 50 kDa polysulfone membranes operated with permeate rates of 26 and 72 $\text{l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$. The authors obtained recoveries of 88 % with the 50 kDa membrane and only 62 % for the 25 kDa membrane. The purities were 91 and 99% respectively. About the same data were reported by Wan et al. [30] by applying membranes with a cut off value of 30kDa. Using a flow rate of 66 $\text{l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$ they obtained a purity of 82 %. Wei [31] used high flux monolithic columns operated at 3 ml/min (= 15 bed volumes per hour) and obtained a dynamic capacity of 3.6 mg/ml. In a second paper Ghosh et al. [32] applied PS hollow-fibers with a cut-off of 30 kDa in a cross-flow mode. At the highest reported cross flow velocity of 0.6 m/s they obtained a permeate flux 26 $\text{l}\cdot\text{h}^{-1}\cdot\text{m}^{-2}$ and LZ purity ranging from 80 to 90 %. Yilmaz et al. [33] reported high adsorption capacities using Reactive Green 19 green immobilized chitosan composite membranes. However they only performed incubation experiments in which they achieved an adsorption capacity of 60.8 mg/ml membrane with recoveries slightly over 80 %.

With these in mind, one can conclude that the proposed principle of the particle loaded hollow-fibers is a viable alternative for the separation of lysozyme from chicken egg white. The membranes offer high fluxes values combined with a high dynamic capacity. Dynamic LZ adsorption capacities of 60 mg/ml were obtained similar to the highest static membrane adsorber data reported in literature. The membranes are showing similar separation factors and LZ recoveries with the best data reported in the literature for similar operating conditions.

Dynamic IgG adsorption

For investigating the hollow fiber performance with a high molecular weight component IgG, (158 kDa) was dissolved in a 50 mM acetate buffer at pH 5.0. According to literature the diffusion coefficient of IgG is with $4.3 \times 10^{-11} \text{ m}^2/\text{s} \approx 2.5$ times lower than that of LZ [24]. This means that using the same hydrodynamic conditions the adsorptive module is in comparison with LZ less affected by radial dispersion (Eq. 6.2) but it is more sensitive for axial dispersion (Eq. 5.3). However since the flow rate in IgG test with 26 BV/h was somewhat higher than 8.0 BV/h in the LZ test, the Pe_a value is around 90. This value is above the critical value of 25 given by Liu [11] and 40 given by Tejeda [15] and Suen [13] in which conditions dispersion effects can be neglected.

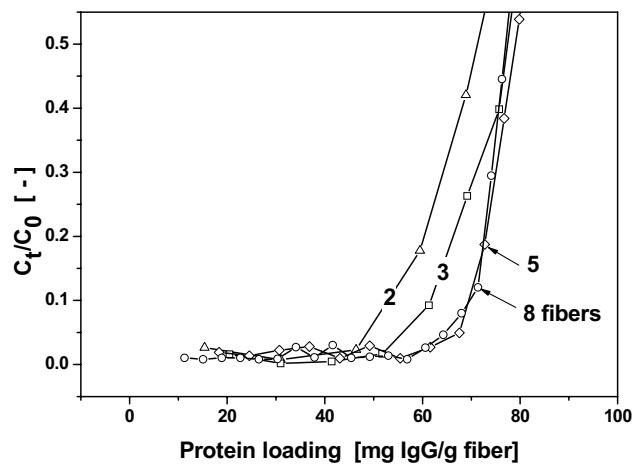


Figure 5.17 IgG breakthrough curves for the prepared adsorptive membranes as a function of number of fibers in series. The individual fibers have a filtration length of about 16 cm and an inner diameter of 500 μm and an outer diameter of 1500 μm . The modules were operated at constant flow rate of 25 cm/h (26 and 105 BV/h), 50 mM acetate buffer pH 5.0.

By linking up together more than 5 fibers and operating them at 25 cm/h (42 BV/h) the dynamic capacity at 10% breakthrough exceeds 70 mg/g of fiber, equivalent with about 22 mg/ml fiber (Figure 5.17). The obtained value exceeds the highest adsorption capacities reported in literature using the same flow conditions ranging from 7 to 13 mg/ml for agarose type beads and 15 to 18 mg IgG/ml for other media [34]. As also expected from the LZ breakthrough data linking up together 5 fibers in series was sufficient to obtain a sharp breakthrough curve.

Besides the fact that the concentration of proteins are usually significantly above the overall association constant of ligand/protein equilibria leads to fast binding kinetics, even in dilute protein concentrations. This feature makes membrane chromatography especially interesting for processing of dilute solutions without lowering the process yield, as in the case with diffusion limited gel systems.

CONCLUSIONS

The prepared adsorptive hollow fiber membranes are an attractive alternative for the chromatographic packed bed systems. They can operate under high flow rates maintaining a high adsorption capacity. Co-extrusion proved to be a good tool to prevent particle loss during operation and to lower the mass transfer resistance by opening the dense skin layer.

A drawback of the hollow fiber membranes is the flow maldistribution caused by variations in wall thickness and porosity. Because of a pressure drop in the bore side a decline in perfusion rate in the axial direction can also occur. The preferred flow creates early local saturation of the adsorption sites, which results in early ligate breakthrough.

In this study we were able to cancel out the effects of flow maldistribution by linking up together series with a different number of hollow fibers. Due to their high permeability ($> 6000 \text{ l} \cdot \text{h}^{-1} \cdot \text{m}^{-2} \cdot \text{bar}^{-1}$) there is no pressure limitation in doing so. We proved that by linking together 3 to 5 hollow fibers a very steep breakthrough curve can be obtained. The dynamic LZ adsorption capacity using an elution rate of 17 bed volumes per hour exceeded 200 mg/g fiber (60 mg LZ/ml membrane), similar with the value obtained in 24 hours of static adsorption. When increasing the flow rate up to 255 BV/h, the dynamic capacity shows only a slight decrease. In the desorption step up to a 15 fold increase in lysozyme concentration was obtained with over 90% recovery.

The loss in dynamic capacity caused by the axial dependency of the permeate flux is related to the fiber diameter and the fiber permeability. For the applied fibers with a bore diameter of 500 μm and a permeability of $3600 \text{ l} \cdot \text{h}^{-1} \cdot \text{m}^{-2} \cdot \text{bar}^{-1}$ is calculated that the axial pressure drop is 10 % using an effective filtration length of 15 cm. It is assumed that the generated preferential flow because of that does not significantly contributes to the preferential flow in relation to the contributions caused by variation in thickness and porosity.

Repeated adsorption/desorption cycles showed no significant loss of LZ capacity. Selective adsorption of LZ into the cation adsorber membrane allows its separation from the

complex CEW protein mixture with an average separation factor of 150, calculated over the filtration run until the LZ breakthrough of 10% of the feed concentration. The lysozyme was purified 27-fold in a single step with recoveries of 95%. Since the protein was, based on 80% recovery, concentrated on average up to 5-fold in the eluent, the mixed matrix membranes function also as a concentration medium.

By applying this linking up together concept for the recovery of high molecular IgG, the obtained data (using similar hydrodynamic conditions 42 BV/h) are exceeding the highest data reported in literature for packed bed systems.

Because of the surface to volume ratio and the low contribution of diffusive pores, the hollow fiber adsorber can operate at much lower residence times than the packed bed systems. This often translates to simpler equipment and safer operations.

Compared to flat sheet configuration where 30 layers are necessary for an efficient ligand utilization series of 3 to 5 hollow fibers are sufficient for constructing adsorbers with a good adsorptive performance.

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SELECTIVE REMOVAL OF POLYPHENOLS AND BROWN COLOR FROM APPLE JUICES USING PES/PVP MEMBRANES IN A SINGLE-ULTRAFILTRATION PROCESS

ABSTRACT

Custom-made membranes made of polyethersulphone (PES) and polyvinylpyrrolidone (PVP) were studied and compared with commercial regenerated cellulose membranes to reduce the amount of polyphenols and yellowish-brown color pigments in model solutions and apple juice. PES/PVP-membranes were prepared with different pore sizes by varying the PES/PVP composition. At a pressure of 1 bar no water flux was obtained through the membrane without PVP. In comparison with regenerated cellulose membranes the PES/PVP membranes were found more effective for the reduction of polyphenols, as well as discoloration. The flux was also remarkably higher. Polyphenol removal rates from the model solution were up to 40 % for a membrane prepared out of a solution with 22.5 wt% polymer in which the PES/PVP ratio is 3.5. Initial adsorption and flux values could be restored easily by regenerating the membranes in 0.1 M NaOH solution for 30 min.

INTRODUCTION

Ultrafiltration (UF) has gained in importance for clarification of fruit juices during the last ten years. Among the advantages introduced into the juice industry with UF, avoidance of filtering aids is the most important one in terms of cost saving [1-8]. However, clear juices and concentrates processed using UF are not always stable during storage. Unfavorable changes, e.g., haze formation and coloration may occur during storage causing loss of product quality. Polyphenols have been found to be responsible for these problems [7,9-13] because they are relatively small molecules that can easily pass through the membranes during UF processing.

A number of agents, including gelatine/bentonite, activated carbon, casein, ion-exchange waxes and polyvinylpyrrolidone (PVPP), have been studied for the removal of polyphenols from fruit juices [10,13-16]. However, these are all batch processes which lead to additional costs in the existing processing line. In the last decade, PVPP stabilization of the juices after UF has been well established in the juice industry. PVPP which is crosslinked polyvinylpyrrolidone (PVP), is a well-known adsorbent for polyphenols [8,13,15]. The regenerative use of PVPP due to its insolubility in water is the most important reason for its acceptance in the juice processing industry.

In this study, custom-made membranes in different compositions of polyethersulphone (PES) and polyvinylpyrrolidone (PVP) were studied to reduce the total amount of polyphenols and the yellowish-brown color in model solutions and apple juice. Adsorption and regeneration capacity of the membranes were also determined.

An additional article, [17], focusing on the stabilization of apple juice is published in the *Journal of Food Science*.

MATERIALS AND METHODS

Membrane materials

Membranes were prepared using polyethersulphone, PES, (Mw 43 kDa BASF) and polyvinylpyrrolidone, PVP, (K90), (Mw 360,000 Acros Organics). As solvent, 1-methyl-2-pyrrolidone (NMP Acros Organics) is used. For the post-treatment, sodium hypochlorite (Merck, technical grade) is used. The commercial regenerated cellulose membranes were supplied by Millipore and have cut-off values of 10 kDa and 100 kDa.

Model solution

A model solution containing 500 mg total polyphenol per liter was prepared using the analytical standards of the following polyphenols (+)-catechin (100 mg/l), (-)-epicatechin (100 mg/l), chlorogenic acid (100 mg/l), phloridzin (50 mg/l), gallic acid (50 mg/l), ferulic acid (50 mg/l), and p-coumaric acid (50 mg/l). These polyphenols, commonly present in apple juices, were supplied by Sigma.

Apple Juice

In order to determine the effects of membranes on removal of yellowish-brown color, clear apple juices were obtained from the local market and used without any further treatment.

Membrane preparation

Flat sheet phase inversion membranes were prepared by casting a polymer solution at 50 °C with a casting knife of 100 µm on top of a glass plate followed by immersion in a non-solvent bath (water). The polymer solutions were prepared by mixing polymer, additives and solvent for 24 h at 50 °C. PES is used as the membrane forming polymer because of its good chemical and mechanical properties. Besides that, it is also blendable with PVP. PVP is used being a good adsorbent for polyphenols and it possesses the property of improving the interconnectivity of pores in membranes. Water (a non-solvent for PES) is added to the casting solution to enhance the demixing in order to induce the formation of smaller pores. NMP is a good solvent for both PES and PVP. After the membranes were formed they were rinsed for 48 hours in a water bath to remove any

remaining traces of solvent. To avoid flux decline, due to swelling, the membranes were post-treated with sodium hypochlorite as described by Wienk et al. [18].

Table 6.1 Compositions of the polymer solutions.

Membrane	PES (%)	PVP (%)	H ₂ O (%)	NMP (%)
A	22.5	7.5	5.0	65.0
B	22.5	5.0	5.0	67.5
C	17.5	5.0	5.0	72.5
D	22.5	0.0	5.0	72.5

Cryo-Scanning Electron Microscopy (cryo-SEM)

In general the structure of membranes can be studied using SEM. In order to prevent both the contamination of the microscope and preservation of the high vacuum necessary inside during measurement (10^{-7} Bar), the samples are often dried. However, the main disadvantage of drying is uncontrolled shrinkage of the membrane material, leading to undesired changes in morphology of the membrane. To have a better and more realistic view of the membrane structure in its working environment we examined the membranes with cryo-SEM. The advantage of cryo-SEM is that samples are examined in their most natural state. Instead of drying, the samples are quenched in a liquid nitrogen slush (under cooled nitrogen containing liquid and solid nitrogen). The freezing speed is so high, almost doubled when compared with liquid nitrogen (up to 1200 K/s) [19] that the water solidifies in the amorphous state. This minimizes the deformation of the sample. After the samples were frozen, they were fractured and subsequently the ice was sublimated to reveal the membrane structure. Finally the samples were gold coated and analyzed.

Ultrafiltration

An Amicon model 8200 lab-scale filtration unit was used for the ultrafiltration experiments. Operating pressure was set and maintained at 1 bar to produce flux through the membranes. The volume of the filtration cell was 180 ml. The UF process was stopped when a concentration factor, (K) defined in equation (6.1), of six was reached.

The concentration factor is defined as:

$$K = \frac{V_i}{V_i - V_p} \quad \text{Eq. 6.1}$$

V_i = *initial volume (ml)*

V_p = *permeate volume (ml)*

Permeate was collected in 10 ml portions to determine changes of flux and polyphenol concentration with permeate volume. Afterwards the membranes were regenerated in 0.1 M NaOH solution for 30 minutes and rinsed with water. The adsorption capacity of regenerated membranes and water-flux rates were measured.

Analysis of Total Polyphenols

Total polyphenols concentrations in the samples were determined using the Folin-Ciocalteu method reported by Rentschler and Tanner [20].

Color

Color of apple juice samples was measured as the absorbance at 420 nm using a LKB Pharmacia model 4060 UV-VIS scanning spectrophotometer.

RESULTS AND DISCUSSION

Membrane characterization

Flux

Clean water fluxes of the membranes were 18, 104 and 140 l/m².h.bar for membranes A, B and C respectively. This order is in agreement with the polymer content or viscosity of the casting solution. And since membrane formation is related strongly to the viscosity of the original polymer solution also with this parameter. The amount of PVP, with a molecular weight about 9 times higher than PES, has a greater influence on the viscosity than the PES content. This explains the large difference in flux comparing membrane A and B (PVP-content) and the relative small difference between B and C (PES-content). For the commercial membranes the fluxes were 60 (10 kDa) and 725 (100 kDa) l/m².h.bar. At 1 bar no flux was obtained for membrane D that was made out of PES only. According to flux measurements and cryo-SEM photographs, PVP plays an important role in the membrane formation. In addition to the flux values (membrane D no measurable flux, membrane B 104 l/m².h.bar) this can also be deduced from the scanning electron micrographs.

Cryo-SEM

The cryo-SEM photograph (Figure 6.1D1) shows the morphology of a PES membrane, type D, a sub layer with large "fingerlike" cavities surrounded by closed cells. Figure 6.1D2 shows that this sub layer ends in a selective skin layer with a thickness of approximately 0.5 µm. Adding PVP to the polymer solution results in a drastic flux increase (membrane B). Figure 6.1B1 illustrates that the structure of the sub layer has been changed from a well defined sub-layer/skin part to a porous system with interconnective pores, its size decreasing gradually in the direction of the selective layer. Figure 6.1B2 shows that this sub-layer ends in a skin layer of approximately 3 µm thickness. As can be seen clearly this skin layer is less densified compared to the skin layer of the membranes containing PES only.

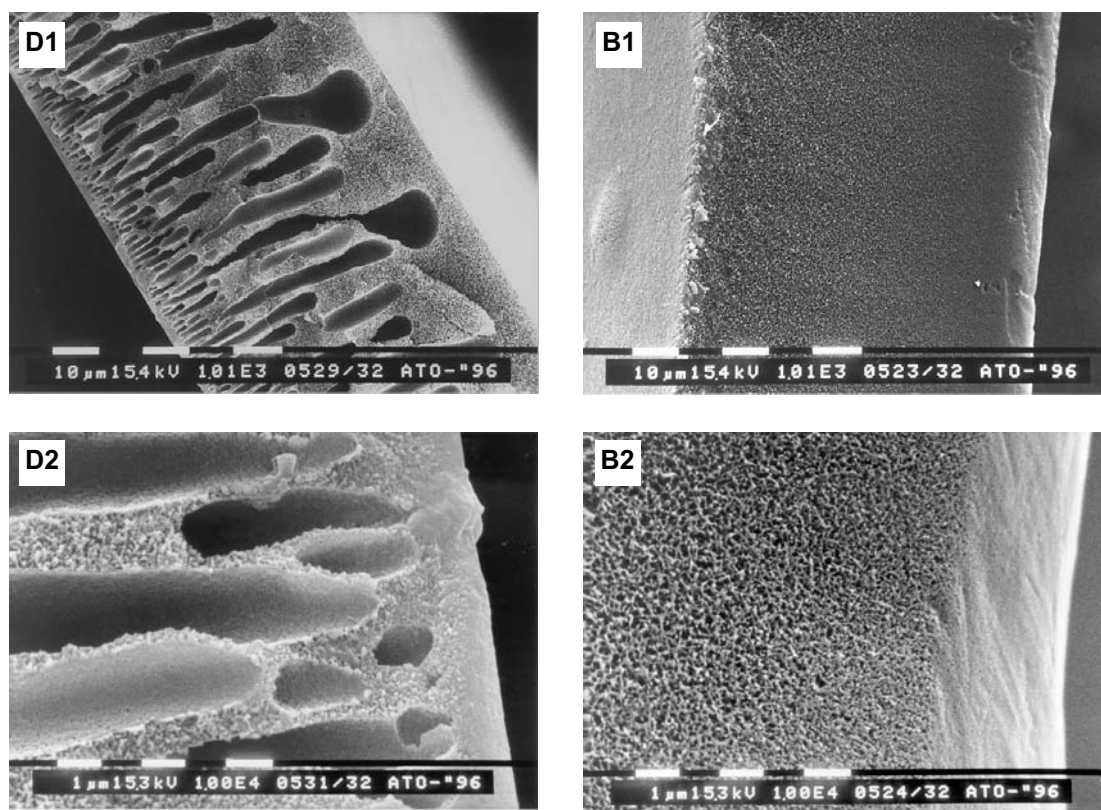


Figure 6.1 D1 and D2) are cross-sections of membrane D; B1 and B2) are cross-sections of membrane B.

Model solutions

Figure 6.2 shows the changes in flux and polyphenol concentration as a function of the permeate volume for model solutions. The concentration of polyphenols in the permeate streams collected as 10 ml portions increased as a function of permeate volume, whereas the flux rate slowly decreased. This indicated that adsorption of polyphenols caused fouling, leading to a decrease in permeate flux through the membranes.

Membrane C was the most effective with respect to the removal of polyphenols from model solutions. Using this membrane 40 % of polyphenols could be removed. The removal percentages of polyphenols for membranes A and B were 35 and 34 %, respectively. Polyphenol removal using custom made membranes was better than that of the commercial membranes. Although the 100 kDa membrane showed higher flux rates, it exhibited no retention. Both flux rates and polyphenol retention were lower for the 10 kDa membrane when compared to the custom-made membranes.

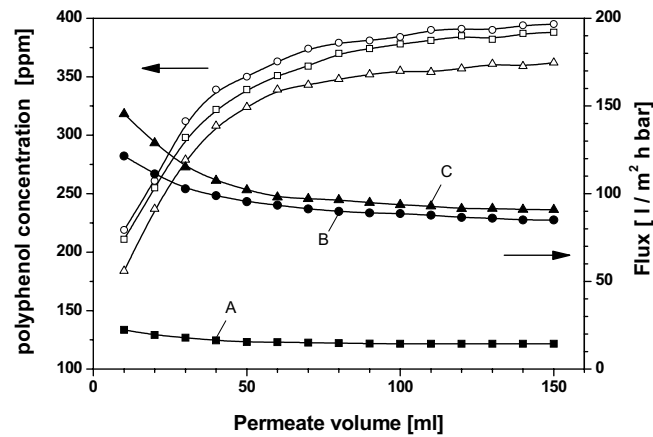


Figure 6.2 Change of flux and polyphenol concentration with permeate volume in the model solution. The symbols \blacksquare \bullet \blacktriangle are data of membrane A, B and C respectively. The open symbols are polyphenol data and the closed symbols are flux data.

Apple juice

Fluxes for membranes A, B and C reached a steady state after approximately 50 ml of permeate volume (figure 6.3). Flux decline was still continuing, but no drastic decrease was observed beyond this point. This is a well-known problem of dead-end filtration systems.

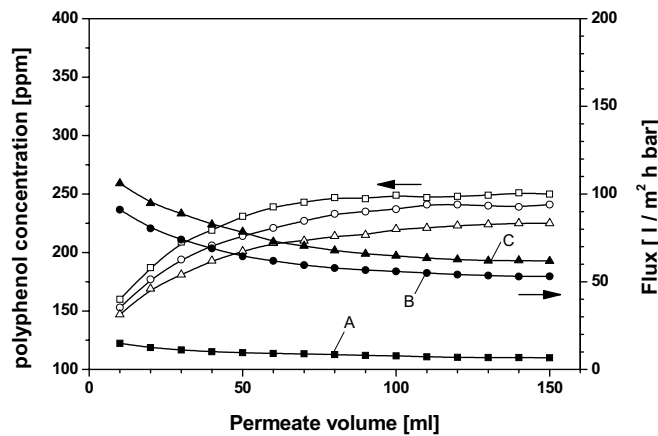


Figure 6.3 Change of flux and polyphenol concentration with permeate volume in apple juice. The symbols \blacksquare \bullet \blacktriangle are data of membrane A, B and C respectively. The open symbols are polyphenol data and the closed symbols are flux data.

It is well-known that UF membranes currently used by the juice industry do not cause any remarkable decrease in polyphenol content of apple juice during the clarification procedure. Giovanelli and Ravasini [10] have reported a 12 % reduction in phenolic

content of apple juice by UF only. This is in agreement with our measurements for the 10 kDa membrane. Padilla and McLellan [3] have shown that decreasing the molecular weight cut-off of the membrane causes a more profound reduction of the phenolic content of ultrafiltrated apple juice. Others used the UF process in combination with PVPP stabilization or recently discovered adsorber resins. Schobinger et al. [21] have shown that PVPP stabilization and adsorber resins caused approximately 20 and 40 % polyphenol reduction, respectively.

In this research polyphenol adsorption and flux decline were related during the filtration of apple juices. However, in comparison with the model solution, this relation was more distinct, as illustrated in figure 6.2 and 6.3. This was due to the additional adsorption of yellowish-brown color pigments.

All membranes were found effective for a sufficient discoloration with an acceptable final color of ultrafiltrated apple juice. Figure 6.4 shows the changes of color with permeate volume ranging from 28% for membrane A to 45% for membrane C.

Different authors use activated carbon and adsorber resins to improve color of apple juice. Maier et al. [22] have reported 25 to 65 % color reduction in apple juice using adsorber resins. Furthermore, a 22 to 47 % color reduction was obtained by Giovanelli and Ravasini depending on the amount of activated carbon [10].

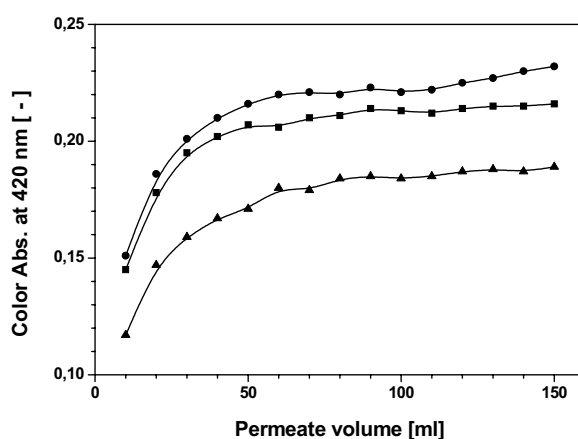


Figure 6.4 Change of color of apple juice with permeate volume. The symbols ■ ● ▲ are data of membrane A, B and C respectively.

Regeneration

The custom made membranes that were fouled by adsorption of polyphenols and yellowish-brown color components of apple juice could be regenerated very well. Even 0.1 M NaOH solution was able to remove the adsorbed polyphenols and deposits.

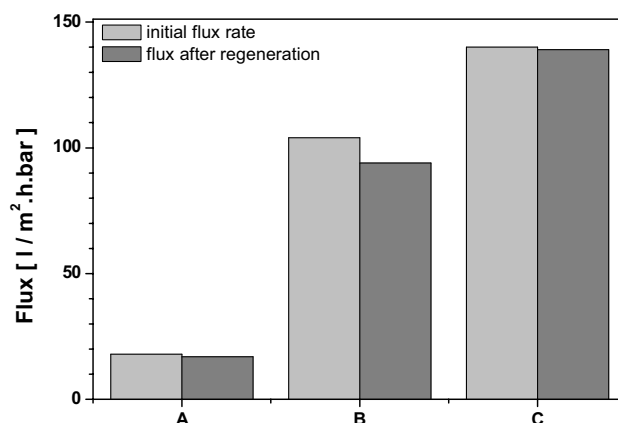


Figure 6.5 Regeneration of water flux rates of the membranes with 0.1 N NaOH solutions.

The membranes recovered their original white color and the flux was almost completely restored. Figure 6.5 shows water flux rates of regenerated membranes in comparison with their initial flux rates. It was also possible to restore the adsorption capacity completely. Table 6.2 shows the removal percentage of polyphenols in three consecutive filtration steps of the model solution through membranes.

Table 6.2 Regeneration of adsorption capacity of membranes in three consecutive filtration steps of model solution

Membrane type	Removal of polyphenols (%)		
	first UF	second UF	third UF
Custom-made "A"	37	37	31
Custom-made "B"	34	35	33
Custom-made "C"	42	39	40

Finally, figure 6.6 shows the overall results comparing custom-made membranes with the commercial regenerated cellulose membranes. As can be seen, the flux of the 100 kDa membrane is much higher than the flux of the other membranes. Additionally, the 100 kDa membrane shows no retention of color and polyphenols. The custom made membranes however have both a higher fluxes and retention values when compared with the 10 kDa membrane.

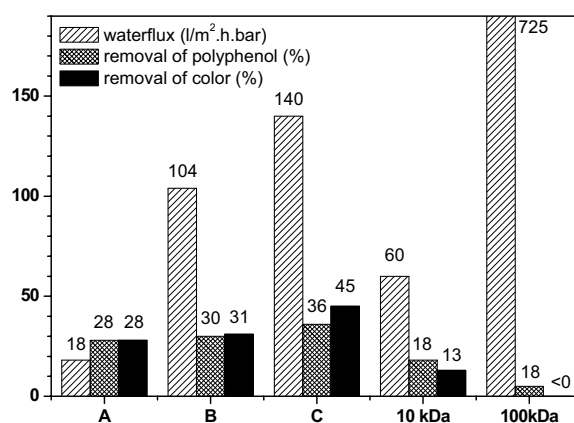


Figure 6.6 Summary of membrane performance in apple juice filtration

CONCLUSIONS

This study has shown that PES/PVP membranes are able to reduce both polyphenols and yellowish-brown color pigments in apple juices to a greater degree than the commercial regenerated cellulose membranes due to the adsorption capacity of PVP. They also exhibit an increase in the flux rate. Due to environmental regulations, the use of PVPP-stabilization and adsorption resin technologies is bound to be diminished. Therefore the selective adsorptive membranes described and tested in this study which are capable of simultaneously filtering and adsorbing undesired haze-forming components could very well replace the materials used in conventional processes.

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SIZE EXCLUSION CHROMATOGRAPHY

ABSTRACT

The Size Exclusion Chromatography (SEC) performance of skinned asymmetric fibers and mixed matrix fibers containing analytical grade SEC Sephadex G25-SF beads were compared with a packed bed containing Sephadex G25-C beads for process scale protein desalting. The skin of the asymmetric membrane should prevent that protein penetrates into the intra porous fiber structure whereas the salt ions should freely pass the skin and access the porous structure underneath the skin layer. The advantage of the mixed matrix system, when compared to process scale beads is the combination of both the low pressure drop originating from the membrane structure which allows a high throughput and the short diffusion length of the small beads for the salt ions

The net bed volume and the total void volume, as determined by NaCl injections were higher in the bead columns than in the fiber modules. Tracer solutes injections used to determine the different accessible volumes in the chromatographic column showed no Bovine Serum Albumin (BSA) and Dextran Blue access to the internal pore structure. For the fiber modules the accessibility is higher for BSA than for Blue Dextran. This indicates that the surface pores of the skinned asymmetric fiber are a little too big for BSA desalting applications. The pores in the mixed matrix fiber containing the analytical grade SEC beads are a little too small, since the pores of the matrix partly discriminate in accessibility for BSA and Dextran, which results in an additional diffusion resistance.

In BSA desalting experiments the asymmetric fiber showed a lower resolution compared to the benchmark but also a 2 to 3 times higher permeability. The fiber concept is therefore of interest for applications that require only a partial removal of lower molecular weight components from higher molecular weight solutes, e.g. adjustment of the ionic strength before an ion exchange separation step or in protein refolding.

INTRODUCTION

Biomolecules are separated in chromatographic processes by making use of discrimination in their physical interactions with the mobile and the stationary phases. The interaction can be either a difference in charge (ion exchange), hydrophobicity (hydrophobic interaction chromatography and reversed phase) or molecular recognition (affinity chromatography). When the separation is based on differences in size or shape (steric hindrance) one talks about Size Exclusion Chromatography (SEC), the simplest and mildest of all chromatographic techniques. It requires no adjustment of the eluted liquid by a salt or a pH gradient, the only condition is that the biomolecules remain in solution [1]. In this case the separation is due to a specific distribution of the solutes between the liquid outside the porous packing (mobile phase) and the solvent filling the pores of the stationary phase. The retention in SEC occurs because the small molecules can explore the column's void volume to a larger extent than the big molecules, which cannot access the small pores of the stationary phase [1]. Hence, the elution proceeds from the largest to the smallest solutes. An inherent characteristic of SEC is the fact that dilution is unavoidable; concentration of the solute of interest like in other interactive chromatography processes is not possible. Because of the high throughput and the big volumes to be treated, process scale SEC beads are bigger than the analytical grades in order to minimize their flow resistance. On analytical scale small sized beads are preferred since here resolution is more an issue than flow rate.

In this chapter we investigate two alternative stationary phases for the traditional packed bed systems with the applicability in process scale desalting of Bovine Serum Albumin (BSA).

Firstly a skinned asymmetric solid fiber membrane was prepared. The skin of this membrane should prevent the BSA penetration into the matrix whereas the salt ions can freely diffuse into the pore structure. This difference in accessible column volume results in different elution volumes and thus in separation of BSA / salt mixtures.

Secondly a mixed matrix fiber was developed. Small sized SEC beads (analytical grade) were embedded in an open porous structure. Both the BSA and the salt ions should be able to flow in a fast convective mode through the porous fiber wall to the embedded particles. The exclusion at the particle pore entrance should establish the separation. The advantage, when compared to process scale beads is the short diffusion length of the small beads for

the salt ions and the low pressure drop originating from the membrane structure which allows a high throughput.

The fibers are tested using a coiled fiber module (Figure 7.1 prepared by winding the fiber around a tube. When the thickness of the coiled layer is sufficient, the tube with the coiled fiber is inserted in a shell and potted to fix the fiber pack and to fill-up the dead volume between the fiber pack and shell. The flow performance of a coiled fiber module can be tailored by varying the layout spacing, the distance between two adjacent coils and the winding tension, the force exerted on the fiber during the coiling process. The coiled fiber modules are easily scalable by changing the package diameter or the module length.

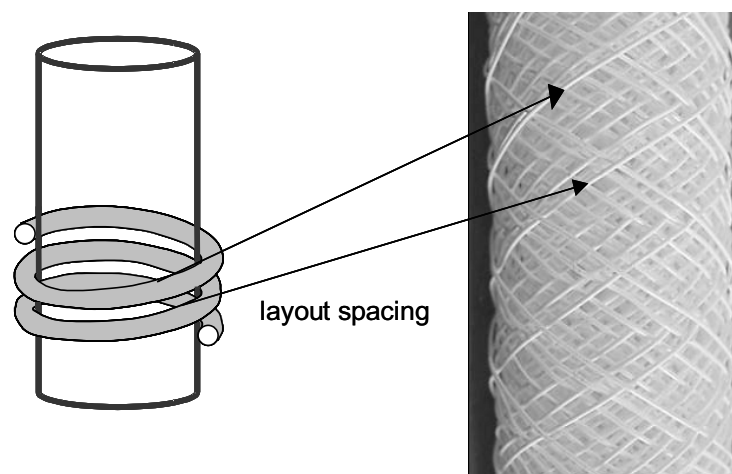


Figure 7.1 Schematic drawing and photo of a coiled fiber pack on the internal tube. The layout spacing is very big to visualize the concept of the coiled fiber module.

BACKGROUND

Chromatographic figures of merit

A chromatographic column is characterized by a number of parameters such as:

(a) column throughput, (b) resolution, (c) plate count and (d) void volumes.

(a) The column throughput is determined by its diameter and the maximum allowable pressure drop. The maximum attainable flow q_{flow} through a packed bed increases with increasing the diameter d_{bed} of the packed bed. The flow through a chromatographic column is therefore easily described via the linear fluid velocity (Eq. 7.1), which enables a fair comparison of the pressure drop for systems of different diameters.

$$u_{\text{superficial}} = \frac{q_{\text{flow}}}{A_0} = \frac{4q_{\text{flow}}}{\pi(d_{\text{bed}})^2} \quad \text{Eq. 7.1}$$

Where A_0 = the cross-sectional area of a packed bed or fiber column

The pressure drop over a SEC-column containing rigid beads follows Darcy's law, (Eq. 7.2) increasing linear with the flow rate. Assuming a solution with a viscosity of 1 cP then K_o the specific permeability depends on the particle size and the medium. For the Sephadex beads, very well suited for group separation, the specific permeability difference between the super fine beads (52 μm) and the coarse beads (320 μm) is theoretical more than a factor of 30.

$$u = \frac{K_o \cdot \Delta P}{L} \quad \text{Darcy's law} \quad \text{Eq. 7.2}$$

u = linear velocity [m/s]

K_o = specific permeability also called hydraulic coefficient [$\text{m}^2/(\text{s} \cdot \text{Pa})$]

ΔP = trans module pressure [Pa]

L = column length [m]

The moving liquid pumped through the column is called mobile phase or eluent. If a constant flow rate q_{flow} is applied then Eq. 7.3 can be used for converting the elution time t_R of a solute (also called retention time) to its elution volume V_R . The elution time is defined as the time difference between the injection of a small pulse of solute into the column and the appearance of its peak center on the chromatogram (Figure 7.2).

$$V_R = q_{\text{flow}} \cdot t_R \quad \text{Eq. 7.3}$$

V_R = retention volume [m^3]

q_{flow} = flow rate [m^3 / s]

t_R = retention time of a solute, at peak maximum [s]

(b) The resolution value R_s (Eq. 7.4) expresses the performance of a column with respect to the separation of two solutes 1 and 2 with retention volumes $V_{R,1}$ and $V_{R,2}$ and peak widths $V_{w,1}$ and $V_{w,2}$. For a complete separation the resolution should be equal to or larger than 1.2.

$$R_s = \frac{\text{divergence of peak centers}}{\text{average of peak widths}} = \frac{V_{R,2} - V_{R,1}}{0.5(V_{w,1} + V_{w,2})} \quad \text{Eq. 7.4}$$

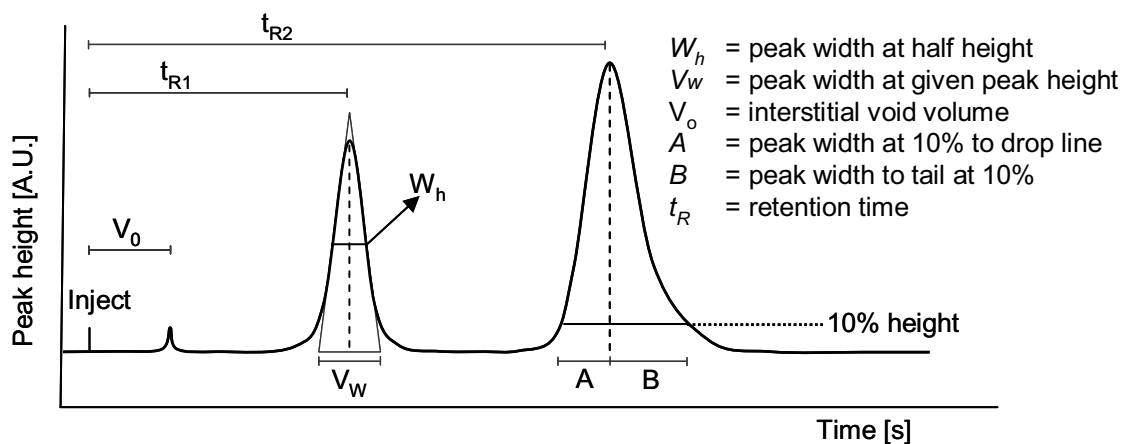


Figure 7.2 Example of a chromatogram, which explains the different column parameters

(c) Martin and Synge [2] were the first to translate the concepts used for describing fractional distillation and extraction into chromatographic systems. They defined each plate height equivalent to one theoretical plate (HETP) as ‘the thickness’ of the layer such that the solution issuing from it is in equilibrium with the mean concentration of the solute in the non-mobile phase throughout the layer. In the case of chromatographic columns, ‘the thickness’ of a layer translates to a portion of the bed height. The plate concept is used to provide a measure of the peak’s spreading relative to the distance it has migrated. The plate height is normally determined by injection of a small non-binding tracer such as NaCl or acetone. In ideal responses, the peak shapes can be modeled according to a Gaussian distribution function and the number of plates is given by Eq. 7.5. This equation is widely used, even if true Gaussian peaks are rarely observed.

A small plate height, or conversely, a large plate count indicates an efficient column. The HETP gives therefore a measure of the separation efficiency per unit length of column, when operated under a given set of conditions. HETP varies with the concentration, the nature and the volume of the test solute. The column plate number (N) can be experimentally determined by measuring the peak retention (t_r) and the width of the peak at half height (W_h).

Column efficiency or plate count is given by:

$$N = 16 \cdot \left(\frac{V_R}{V_{w,base}} \right)^2 = 5.54 \cdot \left(\frac{V_R}{V_{w,0.5}} \right)^2 \quad \text{Eq. 7.5}$$

The peak asymmetry is the ratio of peak width to the tail divided by the width to the drop line at 10 % peak height (Eq. 7.6).

Peak asymmetry is defined as:

$$A_s = \frac{B}{A} \quad \text{Eq. 7.6}$$

Martin and Synge [2] recognized that a column has an optimal flow rate, since HETP

- increases with decreasing flow rate, as axial diffusion becomes more important;
- is proportional to the flow rate and to the square of the particle diameter;
- increases with decreasing diffusibility of the solutes applied.

HETP is also influenced by the particle size distribution and the uniformity of the packing. Especially in the case of the fiber modules non-uniformity of the packing can be an important cause of peak broadening. The equation 7.5 may be used for Gaussian and symmetric peaks. In practical applications, peak tailing may occur due to intra and extra column dispersion effects. Foley and Dorsey [3] developed an exponentially modified Gaussian peak model (EMG) for skewed peaks. The peak asymmetry factor (A_s) and the retention volume at 10 % peak height ($V_{w,0.1}$) have been developed for accurate and precise calculations of both Gaussian and skewed chromatographic peaks. The resulting equation to determine the plate count N_{EMG} is presented in Eq. 7.7. This equation has an error limit of -1.5 to +1% when the peak asymmetry is below 2.76.

$$N_{EMG} = \frac{41.7 \cdot \left(\frac{V_R}{V_{w,0.1}} \right)^2}{\frac{B}{A} + 1.25} \quad \text{Eq. 7.7}$$

(d) A SEC column contains different levels of accessible void volumes. The most important ones are: the total column volume (V_t), which is the net cross-sectional area multiplied by the net length of the module (Eq. 7.8). In the case of fiber modules the volume of the internal tube and potting material should be subtracted. The column volume generally equals the bed volume since the column dead volume (V_D) such as tubings, connectors and detectors is normally negligible. Minimal dead volumes between the injection valve and the detector system are important in order to minimize the ratio of extra-column band spreading compared to the unavoidable intra-column peak broadening [4]. The volume of the stationary phase V_s is low for highly porous materials like well-designed SEC stationary phases materials and is therefore regularly neglected.

$$V_t = V_B + V_D = (V_0 + V_i + V_s) + V_D \quad \text{Eq. 7.8}$$

V_t = total column volume [m^3]

V_B = total bed volume [m^3]

V_D = sum of dead volumes in the column [m^3]

V_0 = interstitial void volume, also called extra particulate volume [m^3]

V_i = intra particulate void volume [m^3]

V_s = volume of the stationary phase [m^3]

Operational modes is size exclusion

SEC can be operated in two distinct ways:

- I. Group separation: the feed flow is split in two fractions, one containing the high molecular and the other one the low molecular components. Typical applications are desalting, buffer exchange and recovery of low molecular components from fermentation broths.

- II. Fractionating: the biomolecules present in the feed liquid are, based on their three-dimensional structure, separated from each other. Typical applications are determination of molecular weight distribution of macromolecules, isolation of multiple components from a mixture and separation of monomers from aggregates.

Figure 7.3 shows a graphic representation of the necessary pore size distribution to obtain the distinct operation modes. When the distribution coefficient K_{av} equals 1, all the molecules below that molecular weight can freely penetrate in all pores and vice versa. When K_{av} equals 0 all the molecules are excluded from the pores present in the stationary phase.

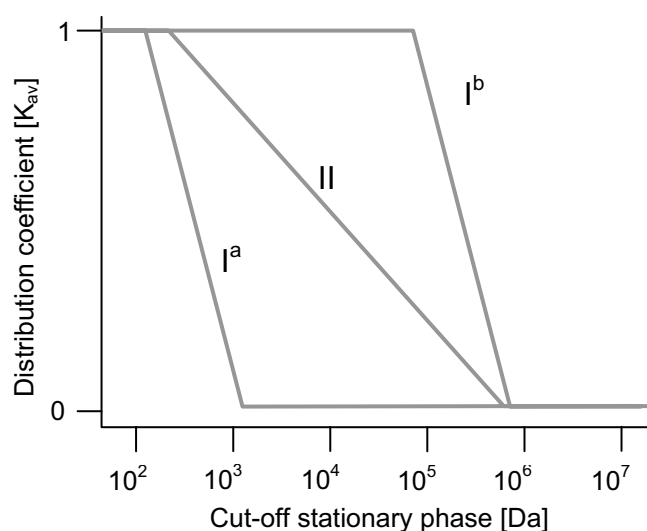


Figure 7.3 Distribution coefficients in the classes of stationary phases. Stationary phase I is most suitable for group separation. I^a separates the low molecular fraction and I^b splits off the high molecular fraction. Stationary phase II can be used for fractionating purposes and determination of molecular weight distributions.

Group separation is carried out using high flow velocities on short wide columns from which up to 30% of the volume can be loaded. In this system the big molecules are eluted just after the column void volume whereas the smaller molecules make use of the total column volume by diffusing in the pores of the beads (Figure 7.4 left). High resolution techniques are considered to separate multiple components either for determination of the molecular weight distribution or for the isolation of a specific component (Figure 7.4 right). The best results are obtained with pre-purified samples and injection volume of approximately 0.5% of the total column volume. The SEC can be carried out directly after the preceding process step since it will not be affected by buffer compositions

or ionic strength. Size exclusion is a robust technique well suited for bio-molecules that may be sensitive to changes in pH, concentration of metal ions or co-factors and harsh environmental conditions. The purified proteins can be collected in any chosen buffer and temperature in the presence of essential ions, cofactors or detergents.

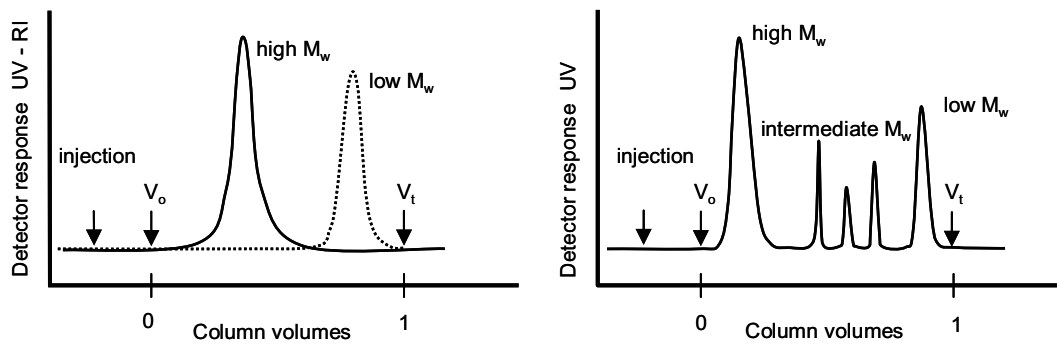
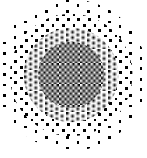
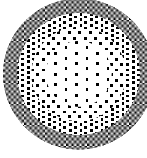
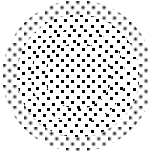
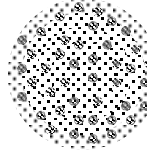


Figure 7.4 SEC recordings, to explain the two different operational modes. Left) group separation, macromolecules are separated from salt. Right) fractionating, a protein mixture is separated in different molecular weight fractions. V_0 is the extra particulate volume in the system; V_t is the total accessible volume in the system; M_w is molecular weight.

Possible fiber morphologies for SEC

Various fiber concepts can be defined for the different SEC operational modes. Table 7.1 gives an overview of possible morphologies and summarizes their weak and strong points. Since completely symmetric fibers and asymmetric fibers with a pore size that gradually decreases towards the centre of the fiber are difficult to prepare with the used wet-phase inversion process we selected the skinned (asymmetric-B membrane) and the more open mixed matrix fiber containing small scale SEC beads. All fibers were prepared using a wet-phase inversion fiber spinning process [5].

Table 7.1 Different matrix structures for SEC. Asymmetric A, the pore size is decreasing from outside in; asymmetric B, the pore size is increasing from outside in; symmetric, the pore size is constant over the whole fiber diameter and the mixed matrix fiber where small SEC beads are embedded in a coarse porous structure.

Morphology	Asymmetric-A	Asymmetric-B	Symmetric	Mixed matrix
Structure				
Application range	Fractionating	Group separation	Group separation	Determined by the embedded particles
Advantages	+ Broad range of application due to shallow selectivity curve	+ Highly selective + Easy preparation, only one skin determines the selectivity	+ Highly selective due to steep selectivity curve	+ Full utilization due to small sized particles + Reduced compressibility of beads due to embedding
Disadvantages	- Can only produced by inside out coagulation	- More open inside structure may decrease the efficiency	- Production of the structure by wet phase spinning is very complex	- Micro porous matrix decreases efficiency due to increase in mass transfer resistance

The benchmark material in this chapter is Sephadex G25-C from GE-Healthcare, a bead-formed gel prepared by cross-linking of dextran with epichlorohydrin. The degree of cross-linking determines the extent to which macromolecules can permeate into the beads. The size exclusion limit of Sephadex G-25 makes it suitable for group separations, such as for the removal of low molecular weight contaminants from molecules larger than 5000 Da. These size exclusion beads are normally applied in packed bed operation in columns of approximately 15 cm bed height. The coarse beads are especially preferred for process scale applications where high flow rates and low operating pressures are required. The fine and the superfine beads are, because of their shorter diffusion distance, more suitable for laboratory work. The high resolution of these small beads goes together with a high flow resistance. This means low flow rates and long operation times.

In this chapter we describe the development of two new size exclusion stationary phases that should possess both a high throughput and resolution. For this we are embedding analytical grade superfine size exclusion beads in coarse porous fibers to combine the low

diffusion resistance from the superfine beads with the low flow resistance of the coiled porous fibers. The second route is to develop skinned asymmetric fibers, which can also be applied in BSA desalting processes. Finally we compare the performance of the prepared structures with a packed bed benchmark containing the commercial successful Sephadex G25-C beads.

EXPERIMENTAL

Materials

Polyethersulfone (PES, Ultrason E6020P), a slightly hydrophilic engineering plastic with a Mw of 50 kDa kindly supplied by BASF-Nederland, was used as matrix forming polymer. Polyvinylpyrrolidone with different molecular weights (PVP K15, K30 and K90, Fluka) polyethyleneglycol (PEG400, Merck) and glycerol (Merck) were added to the dope solution as additives. N-methyl-2-pyrrolidone (NMP, 99% purity Acros Organics) was employed as solvent. The Coarse and Superfine Sephadex G25 beads (GE-Healthcare) were used as benchmark and embedded material respectively (Figure 7.4 and Table 7.2). The extra particulate void volume (V_0) in the column was determined using a high molecular tracer (Blue Dextran M_w 10^6 Da, Sigma). Solutions containing Bovine Serum Albumin (BSA, Fluka) and Sodium Chloride (NaCl, Merck) in a 50mM Tris buffer pH 8.0 (Sigma) were applied in the desalting experiments.

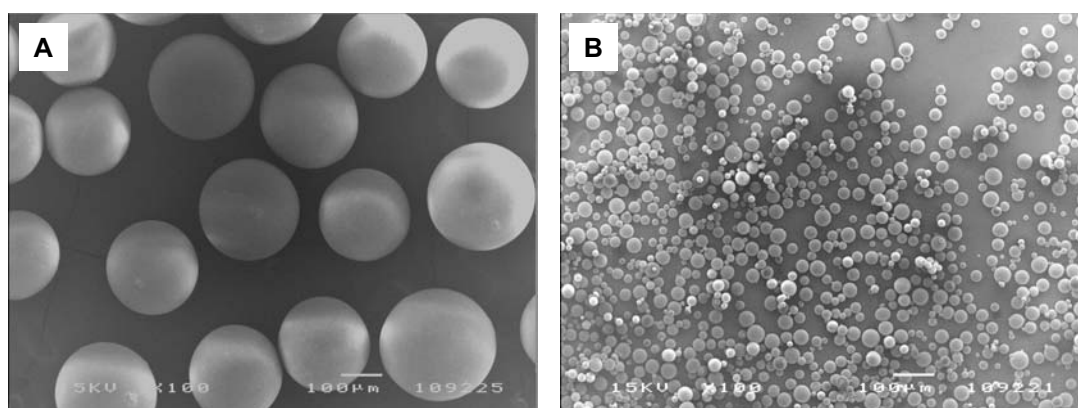


Figure 7.4 Electron microscope images from Sephadex G25 particles A) the coarse beads and B) the superfine beads. Magnification X100, bar indicates 100 μ m.

Table 7.2 *Sephadex G25 characteristics as presented by GE-healthcare in their data sheets.*

Grade	Dry bead size (μm)	Hydrated (μm)	Exclusion limit (Dalton)	Maximum flow rate* (cm/h)
Coarse	100 - 300	320	5000	200
Super Fine	20 - 50	52	5000	50

* according to the supplier using a 15 cm column height

Methods

Fiber preparation

The fibers were prepared by a wet phase inversion process. After the complete dissolution of 17 % PES and 9.0 % PVP in N-methylpyrrolidone at 50°C the solution was cooled down till room temperature where after 8.0 % Glycerol and 20 % PEG400 were added. After homogenization the solution was transferred into the polymer storage tank of the spinning equipment. The dope solution was allowed to degas for 20 hours before spinning by extrusion through a small spinning head into a water coagulation bath (Figure 7.5). To open the matrix structure of the particle loaded membranes low concentrations of polymer solution were used in which, in equal amounts to PES Sephadex G25-SF beads were dispersed.

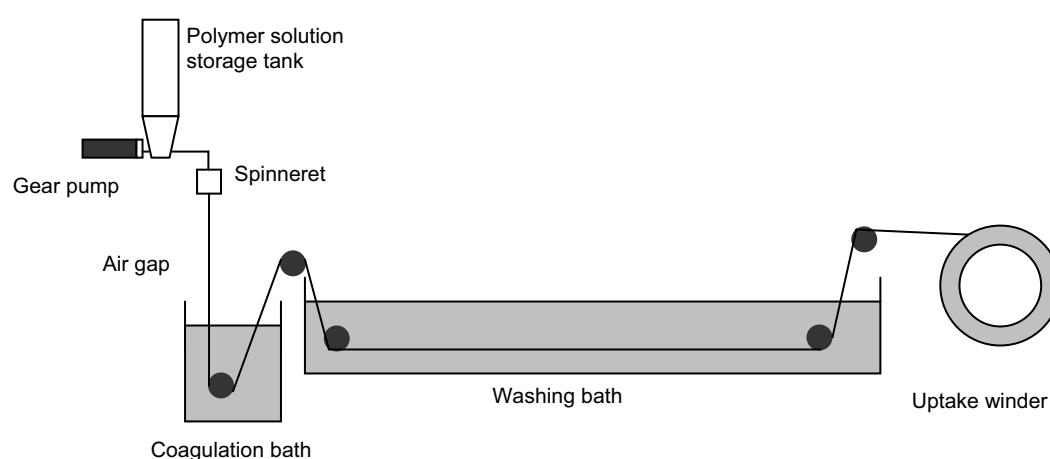


Figure 7.5 *Schematic representation of a wet phase inversion fiber spinning process.*

Scanning Electron Microscope

Cross sectional samples for characterization by scanning microscopy were prepared by cryogenic breaking of fresh wet fibers in liquid nitrogen. The samples were allowed to dry overnight under vacuum at room temperature and then coated with a thin platinum layer using a JEOL JFC-1300 auto fine coater. The cross sectional morphology was visualized by use of a Scanning Electron Microscope JEOL JSM 5600LV.

Module production

The Sahn 400E parallel winder, described in Chapter 4, was used for assembling the modules. The prepared fibers were coiled on a tube of 15 cm length till a package with a thickness of about 2.4 cm was obtained. End caps with flow distributors were attached and the whole assembly was inserted in a housing with an external diameter of 2.5 cm. The empty space between the housing and the fiber package was filled up with potting material to minimize the dead volume and to fix the end caps. After 24 hours of hardening the modules were ready to characterize.

Packed bed with Sephadex G25-C

For testing the benchmark material an empty column GE-Healthcare type XK-26/150 with an external diameter of 2.6 cm, a maximum height of 15.0 cm and a maximal operational pressure of 5 bar was used. The packed bed was prepared according to the “Instructions for Sephadex media” obtained from the supplier. The module containing the benchmark gel beads had a length of 15 cm and the one containing the superfine beads was 13.1 cm long.

Liquid chromatography working station

For BSA desalting and characterization experiments an Äkta Prime system obtained from Amersham Biosciences (Uppsala, SE) nowadays GE-Healthcare, was used. To reduce the back pressure, the system was operated without mixing chamber and flow restrictor. The Äkta Prime system pump is capable of delivering a flow rate of 50 ml/min at a maximum operating pressure of 10 bar. The system contains various detectors including a UV detector (wavelengths of 254 nm or 280 nm) and a conductivity meter. Figure 7.6 gives an overview of the flow path through the system from buffer to eluent container.

The Sephadex G-25 packed beds were operated in top-to-bottom flow mode following the instruction of the supplier. Unlike the gel bead beds, in fiber bed modules there is no risk of bed expansion as the coiled fibers occupy fixed positions in the bed. The latter modules were therefore operated in bottom-to-top flow mode which facilitates deaeration during column equilibration.

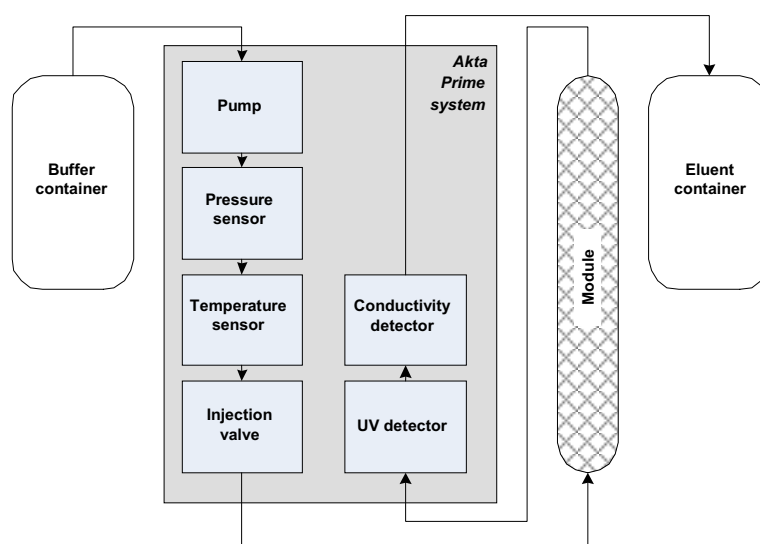


Figure 7.6 Configuration of the Äkta Prime system.

Permeability

The permeability values for the modules (coiled and packed bed) were calculated from the pressure drop over the modules only. The collected pressure profile data were corrected for the pressure drop over the entire Äkta Prime system located mainly in the 0.75 mm diameter connection tubing, the valves and the detectors. At the maximum flow rate of 50 mL min^{-1} the system produces a backpressure of approximately 2 bar, equivalent with about 20 % of maximum system operating pressure. The pressure drop at a flow rate of 100 cm/h was calculated from the slope of pressure drop versus the linear velocity. All pressure profile were recorded at $21 \pm 2 \text{ }^\circ\text{C}$, which means that pressure fluctuations because of the viscosity variations are less than 10%.

Group separation, desalting of BSA

The desalting of BSA solutions were performed as a ‘proof of concept’ to study the suitability of the coiled fiber bed modules in a practical group separation application. BSA is easily soluble in water and it is widely used as a testing standard protein. Desalting measurements were carried out with a solution of 5 g BSA/l and 1 M NaCl freshly prepared in a Tris buffer pH 8. This experiment was previously used by Hamaker et al. [6] as a test application to study the transport properties of rolled, continuous stationary phase columns. A benchmark was set by testing both the Sephadex G-25 Coarse and the Superfine packed beds. The chromatographic columns were equilibrated with at least 5 column volumes of Tris buffer at linear velocities between 100 cm h⁻¹. The effectiveness of the group separation is evaluated from the resolution values.

Determination of column void volumes and plate height

Sodium chloride (NaCl), Bovine Serum Albumin (BSA) and Blue Dextran were used for void volume characterizations. The internal void volume measurements ($V_i + V_0$) were performed with 1M NaCl dissolved in demineralized water. NaCl is assumed to freely diffuse into all the fluid volume inside the stationary phase without interactions and is easily detected using conductometry. The external void volume characterization (V_0) was performed with 0.1 or 0.2 w% Blue Dextran dissolved in demineralized water. Blue Dextran is a polymer of anhydroglucose frequently used in the void volume characterization of SEC columns since it is easily detected by UV-absorption at 280 nm [7]. Sample injection of 2.5 % of the bed volume at a superficial velocity of 100 cm h⁻¹ was set as a standard condition for all measurements.

The retention volumes were corrected for dead volumes to arrive at the real void volume values both for the packed gel bead and the coiled fiber modules. Typical dead volume (V_D) values are 5.4 ml for the fiber (mainly caused by the module inlet and outlet) and 1.4 ml for the gel beads columns. Since the connection tubings and the flow distributor volumes are an inherent part of the module design, their contribution to the dispersion processes between the injection valve and the detector system should also be taken into account, both for fiber and gel beads modules. Therefore no correction for the dead volumes was applied in plate number calculations based on the response peak after the NaCl injection.

RESULTS AND DISCUSSION

Fiber preparation

For the asymmetric-B type SEC fibers it is important that the skin has a sufficient low cut off value to avoid penetration of BSA, whereas the salt can pass freely through the skin layer. Since BSA has a molecular weight of 67 kDa the fiber surface pores should preferably have a cut-off value of about 10 kDa.

The strategy using mixed matrix fibers is completely different since the size exclusion effect should not originate from the fiber cut-off but from the embedded beads. The fiber should therefore have rather big pores that can be accessed by convection rather than by diffusion only. The benefit of this system is the short diffusion length inside the small sized beads, which in traditional packed beds is connected with a high pressure drop over the column. By embedding the small beads in a fiber, the flow resistance (pressure drop) is lower as it is now determined by the larger-sized fibers and fiber pores. This means that the developed system can operate at higher flow rates than conventional packed beds. Figure 7.7 shows the scanning electron microscope images of the two different fibers made by wet phase inversion spinning process.

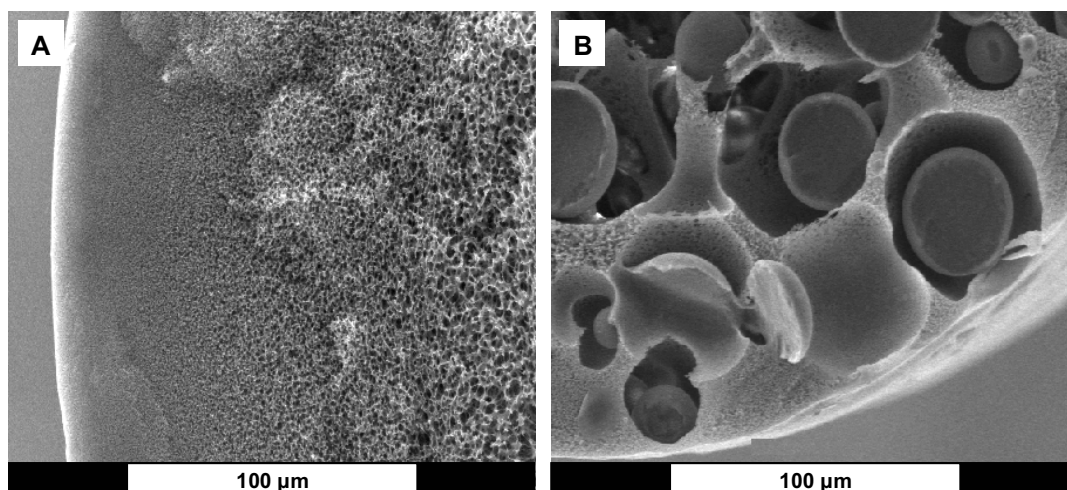


Figure 7.7 A) The asymmetric-B fiber, the skin layer should prevent intrusion from BSA where salt is able to penetrate into the structure and B) the mixed matrix fiber with embedded Sephadex G25-SF beads.

Module characteristics

All modules were prepared as previously described in Chapter 4. The asymmetric-B fiber with a wet thickness of about 680 μm was coiled around the internal tube in 20 layers using a layout spacing (distance between two adjacent coils) of 0.25 cm. The winding tension was 60 gram and the bed volume of the module 40.7 ml.

Meanwhile the mixed matrix fiber containing Sephadex G25-SF beads with a wet thickness of about 300 μm was coiled on the module in 80 layers using a layout spacing of 0.24 cm. The applied winding tension applied was 60 gram and the bed volume was 44.3 ml. The length of all the coiled fiber modules was kept constant at 15.0 cm.

Permeability

Decreasing the characteristic diameter of the stationary phase, either a fiber or a bead, will be advantageous for the efficiency of the separation as diffusion paths are shortened. However this comes at the expense of a higher pressure drop (lower permeability) of the column or module. For a given bed porosity the specific permeability (K_o in Eq. 7.2) is proportional with the characteristic squared stationary phase diameter (Blake-Kozeny equation). Figure 7.8 illustrates that the coiled asymmetric-B fiber module containing the biggest fiber diameter has by far the highest permeability. Analogue, the pressure drop over the column containing the small SEC-beads is by far the highest.

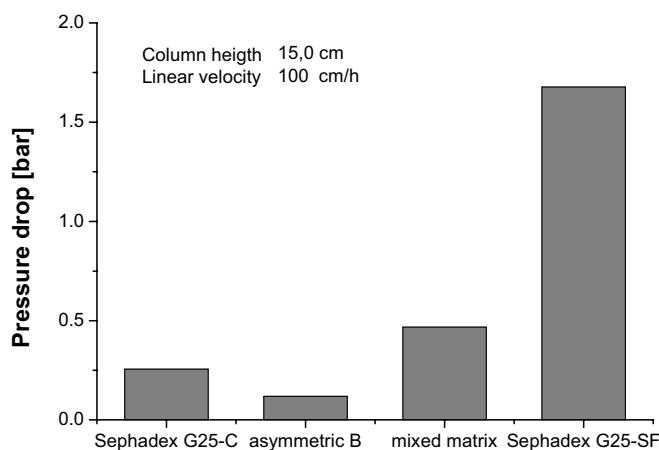


Figure 7.8 Pressure drops of different SEC modules, measured by eluting DI-water at 100cm/h

The fiber diameter controls not only the permeability of a module (like particles do in packed bed systems) but together with uniformity of the packing also the resolution of the separation. This means that similar to packed bed chromatography, there is a balance between fiber diameter, flow rate, pressure drop and separation power. The challenge with the particle loaded membrane adsorber is to reduce the flow resistance of the fiber material to such an extent that the coiled fiber module resembles a vitrified expanded bed.

Resolution

In an actual BSA desalting experiment we determined whether the resolution of the solid fiber modules was sufficient to demonstrate the separation power of the coiled fiber modules and benchmark it with packed bed columns. Figure 7.9 presents the BSA desalting chromatograms of all four systems. It clearly shows that both the packed bed containing the analytical grade as well as the packed bed with the process scale beads present a complete baseline separation. The fiber bed modules only partially separate BSA from NaCl. Further it is obvious that the peak broadening (peak tailing) of the fiber modules is bigger.

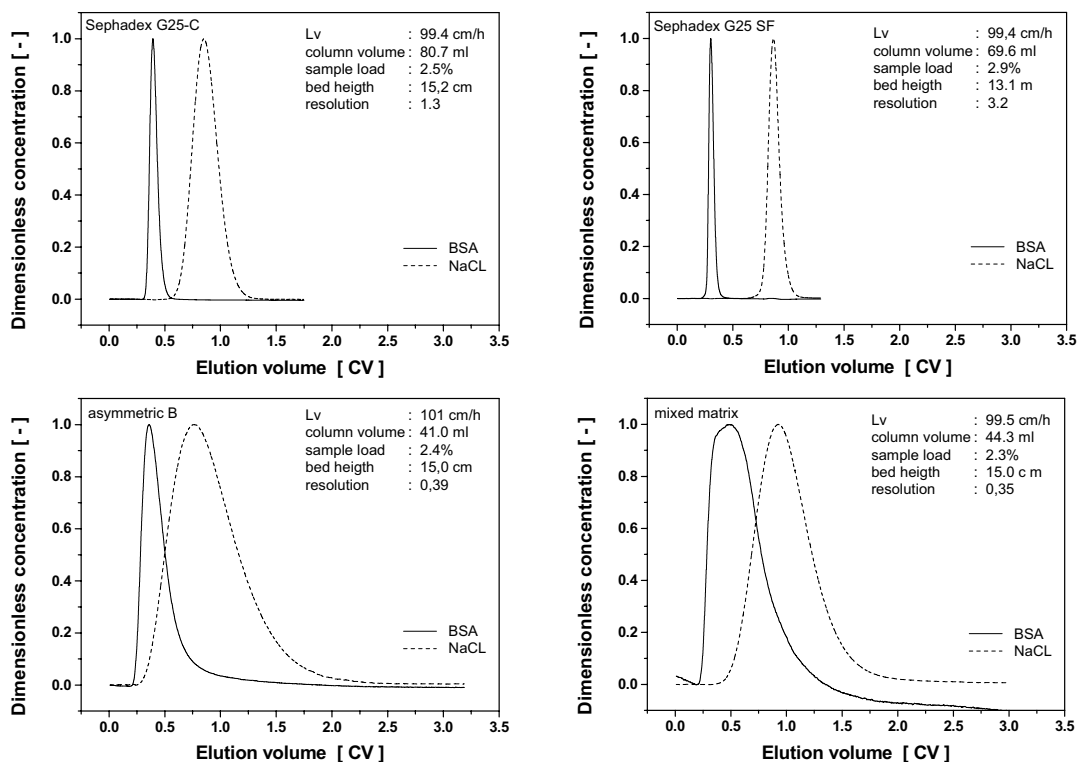


Figure 7.9 The BSA desalting chromatograms of the four different systems.

The results allow us to conclude that the prepared fiber modules are viable alternatives only for the partial removal of low molecular weight components. This is of interest for applications such as lowering the ionic strength before an ion exchange step or in protein refolding processes [8]. The thick asymmetric-B fiber shows the lowest resolution. The optimal structure for large-scale preparative SEC can therefore be estimated as a thin fiber coiled upon module using sufficient high lay-out spacing and a moderate winding weight to enable a biased orientation of the porous fiber in the bed (an angle of 45° with respect to the flow streamlines) [9].

Plate height

The resolution analysis for a BSA/salt mixture indicates already a superior separation performance of the beads over the solid fiber modules. The plate height calculated from Eq. 7.5 and 7.7 is a measure for the efficiency of the module. A low plate height is equivalent to minimal peak broadening due to dispersion processes. Figure 7.10 clearly illustrates that the fiber bed modules are at best five times less efficient when compared with the benchmark Sephadex G25 -C modules of similar bed height. Embedding of the Sephadex G25-SF gel beads into a porous polymer matrix shows a 25-fold decrease in efficiency compared to a packed bed containing the same beads of approximately equal bed height.

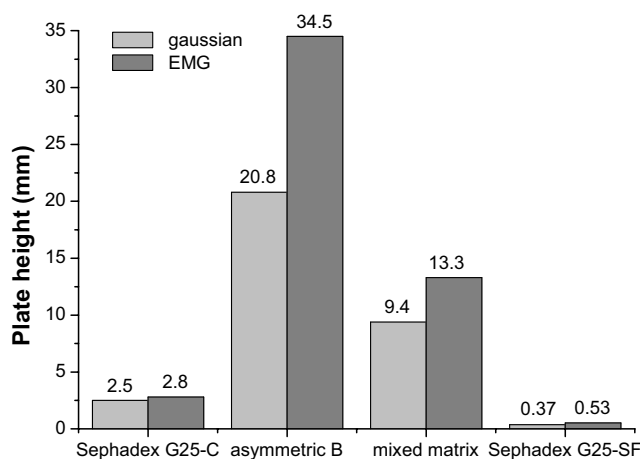


Figure 7.10 Plate height of Sephadex G25-C beads, mixed matrix materials, asymmetric-B type fibers and analytical grade Sephadex G25-SF beads.

The coiled fiber modules also show a significant difference between the Gaussian and the EMG plate height. The peak asymmetry for the packed bed is <1.1 and for the asymmetric-B and particle loaded coiled fiber modules respectively 2.0 and 1.5. The reason is found to be the significant tailing of the peaks which can be contributed to the large volumes of the inlet and outlet channels of the module and a non-uniform packing.

Void volume characterization

The objective of the void volume characterization is to determine the accessible volumes in the chromatographic column. We injected tracers solutes of known dimensions Blue Dextran, NaCl and BSA and the resulting void volumes from the experiments were reported as a percentage of the total column volume (V_t).

Table 7.3 contains an overview of the measured void volumes. The total bed volumes (V_B) of the coiled fiber bed modules are on average slightly smaller than those of the packed bed modules, which indicates that the coiled fibers contain more solids (V_S). This higher packing density of the coiled fiber modules can be explained by the force applied on the fiber pack during the winding process. Comparing the total bed volume (V_B) with the accessible void volumes for Blue Dextran (V_0) it is obvious that all the four systems exclude Blue Dextran from the interior void volume, since V_0 is much smaller than V_B . This exclusion of Blue Dextran from the internal fiber volume is in agreement with the design parameter for the asymmetric-B fiber. The mixed matrix fiber, however should have a free convective flow through the matrix since the SEC effects should just take place at the pore entrance of the embedded beads.

Table 7.3 Characteristics of the different module concepts at standard conditions. The void volumes are represented as percentage of the total void volume.

		Sephadex G25-C	Asymmetric-B	Mixed matrix	Sephadex G25-SF
Module length	(cm)	15.0	15.0	15.0	13.1
Module diameter	(cm)	2.6	2.5	2.5	2.6
V_t	(ml)	79.6	40.7	44.3	69.6
V_B (NaCL)	(%)	78	65	71	83
V_0 (Blue Dextran)	(%)	36	19	18	35
V_{BSA} (BSA)	(%)	36	23	36	28*

* bad peak shape

When, at standard conditions, the accessible void volumes for BSA are compared with that of Blue Dextran it can be concluded that the Sephadex beads are excluding BSA and Blue Dextran to the same extent from the internal void volume, (V_0 equals V_{BSA}).

Meanwhile, BSA has partly access to the interior of the porous fiber beds, V_{BSA} is larger than V_0 . This means that the surface pores of the asymmetric-B fiber are a little too big to fully exclude BSA from the fiber. The mixed matrix fiber also (partly) discriminate in accessibility for Dextran and BSA. This means that the pore size distribution of the mixed matrix fiber contain (some) pores that are too small to have an unhindered convection through the matrix. The additional diffusion resistance of the mixed matrix fiber causes a loss in capacity and resolution.

To improve the resolution performance one needs to optimize the morphology of the solid fibers. For the skinned fiber the skin must get smaller pore sizes, whereas the mixed matrix fibers require a much more open pore structure. This may be achieved by co-extrusion of a thin particle free outside skin.

CONCLUSIONS

In this chapter we investigated the use of two new stationary phases for large-scale Size Exclusion Chromatography (SEC): an asymmetric-B outside-in fiber with the selective skin on the outside and a mixed matrix fiber containing small Sephadex G25-SF beads. The commercial successful Sephadex G25-C beads were used as a benchmark material.

The accessible volumes in the chromatographic column were determined by injection of tracer solutes with known dimensions. The results show that BSA does not access the internal pore structure at all in the case of packed beds, while it partially penetrates the fiber beds. This means that the asymmetric-B fiber has a little too big surface pores, whereas the mixed matrix fiber has a pores size distribution that (partly) discriminates the accessibility for Dextran and BSA.

In the mixed matrix approach the fiber acts as an additional mass transfer resistance. Therefore its pore size should be enormously increased in order to avoid matrix effects and to obtain an unrestricted convective flow to the small beads pore entrance where the size exclusion effects determine the separation. An additional demand is that the beads volume fraction of the mixed matrix fiber is high to obtain sufficient separation capacity.

The major advantage of the coiled asymmetric-B fiber module is the high permeability, which is 2 to 3 times higher than that of Sephadex G25-C and more than 14 times exceeding the permeability of Sephadex G25-SF column.

The fiber concept is therefore of interest for applications that require only a partial removal of lower molecular weight components from higher molecular weight solutes, e.g. ionic strength adjustment before an ion exchange separation process or protein refolding.

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SUMMARY

Life sciences and biotechnology are widely recognized as the next wave of knowledge-based industrial sectors creating new opportunities for our societies and economies. The monoclonal antibody market develops with an annual growth rate of 20%, leading to an increased need for more cost effective, reliable and more productive separation processes. Despite the remarkable upstream efficiencies during the last decades and the quantum leaps made with protein titers in the fermentation, the same improvements in downstream processing have not manifested themselves yet. Chromatography and membrane filtration are the workhorses in downstream processing. Chromatography is mainly applied for isolation purposes, whereas the membrane systems have a more pre-eminent position in purification during the early stages (pre-purification) and the late stages (concentration, buffer exchange and sterile filtration).

In this thesis we combine the two techniques by embedding small sized chromatographic beads in macroporous membranes. The synergistic outcome is the development of particle loaded membranes (PLM's). The advantage of the PLM-adsorbers in protein applications is the combination of both a high flow rate and a high capacity. This originates from the fact that macroporous membranes, when compared to chromatographic beads, have a very low flow resistance. The big pores in the membrane structure ensure convective transport of the target molecules to the active binding sites located on the surface of the embedded particles. Meanwhile, the use of small particles creates a high adsorption/affinity area per unit of column volume. The embedding of particles in a macroporous matrix makes them insensitive for bed compression, solidification and less sensitive for plugging and fouling, which means that less intensive pretreatments are necessary.

The thesis begins with the introduction and background. The first chapter presents the development of the biologics market followed by the technical progress in bead technology and packed bed systems to fulfill the increasing market requests. After that the new stationary phases developed in the last two decades are described. The chapter finalizes with an overview of membrane chromatography applications using separation modes.

In Chapter 2 we focus on the effect of particle dispersing into polymer solutions on the membrane forming process. Not only by the fact that particles dispersed in a polymer solution are affecting the viscosity but also the selective uptake of solvent, non-solvent or low molecular additives is influencing the membrane forming process. Further is shown that not all particle-polymer-solvent combinations result in activated structures. Deactivating of embedded particles can take place by particle dissolving, particle coating or by confining particles in closed cell.

In Chapter 3 two routes to increase the adsorption capacity of membrane chromatography systems are presented. The first one is grinding the adsorptive particles to obtain a higher external surface area. The second is the increase of the particle load in the membrane structure. The adsorption capacity of the embedded particles is in agreement with the Langmuir adsorption isotherm for free particles, which means that all the embedded particles are accessible for the target proteins. This fact is also proven by confocal laser scanning microscopy using labeled proteins.

In Chapter 4 we investigated the process performance of a new type of adsorber module. The coiled fiber module is prepared by winding a particle loaded fiber around a tube. When the thickness of the winded layer is sufficient the tube with the fiber is inserted into a shell and potted to fix the fiber pack and to fill-up the dead volume. The modules with winded adsorptive fibers can be easily tailored to fulfill the demands of a given separation process. Combining wide layout spacing with a low winding tension, modules with a very high permeability can be produced. These modules can be applied in product stabilization and standardization applications especially when large volumes have to be treated. A narrow layout spacing combined with a high winding tension favors resin utilization and minimizes product loss. These settings are especially useful in pharmaceutical applications where the components of interest are very valuable and the product loss should be minimized. The coiled fiber modules are easily scalable by changing the package diameter or the module length.

The aim of Chapter 5 was to develop a new type particle loaded adsorptive hollow fiber membrane module, additional to the coiled fiber module. A drawback of hollow fiber membranes is flow maldistribution caused by variations in wall thickness or porosity. Furthermore the pressure drop in the bore side declines the perfusion rate in the axial direction. The preferred flow creates early local saturation of the adsorption sites, which results in product binding and breakthrough occurring simultaneously, destroying the

resolving power of the module. In this chapter we described that we were able to cancel out the effects of flow maldistribution by linking up together series with a different number of hollow fibers. We proved that by connecting series of 3 to 5 hollow fibers, a very steep breakthrough curve could be obtained. The dynamic lysozyme adsorption capacity using an elution rate of 17 bed volumes per hour exceeded 200 mg/g fiber, similar with the value obtained in 24 hours of incubation. The adsorptive hollow fibers were also applied for the isolation of LZ from a crude mixture of fresh chicken egg white. The particle loaded membranes offer high fluxes up to $1500 \text{ l m}^{-2} \text{ h}^{-1}$ combined with a high dynamic capacity, selectivity and purity. The obtained dynamic capacities equal to 60 mg LZ/ml membrane are similar to the static values and belong to the highest values reported in the literature. Because of the high frontal area to volume ratio and the low contribution of diffusive pores, the hollow fiber adsorber can operate at much lower residence times than the packed bed systems. This often translates to simpler equipment and safer operations.

Clear fruit juices and concentrates processed using ultrafiltration are not always stable during storage. Unfavorable changes, e.g., haze formation and coloration may occur during storage causing loss of product quality. Polyphenols have been found to be responsible for these problems, since they are relatively small molecules that can easily pass through the ultrafiltration membranes. Adsorption techniques are normally used to stabilize fruit juices. Due to environmental regulations, the use of filtering aids is bound to be diminished. Chapter 6 describes the use of custom-made adsorptive membranes out of different compositions of polyethersulfone (PES) and polyvinylpyrrolidone (PVP), to reduce the total amount of polyphenols and the yellowish-brown color in model solutions and apple juices in a combined filtration/adsorption step.

Chapter 7 describes the development of two alternative size exclusion chromatography (SEC) stationary phases with applicability in process scale desalting of Bovine Serum Albumin (BSA). Firstly a skinned asymmetric fiber was prepared. The skin of this membrane should prevent the BSA penetration into the matrix whereas the salt ions can freely diffuse into the pore structure. Secondly a mixed matrix fiber was developed. Small sized SEC beads were embedded in an open porous structure. Both the BSA and the salt ions should be able to flow freely in convective mode to the embedded particles whereas the exclusion at the particle pore entrance should establish the separation. However the obtained resolution with the newly developed stationary phases was lower when compared to the packed bed benchmark. The major advantage of the coiled fiber modules is their

high permeability, 2 to 3 times higher than the packed bed. The fiber concept is therefore of interest for applications that require only a partial removal of lower molecular weight components from higher molecular weight solutes, e.g. ionic strength adjustment before an ion exchange separation process or protein refolding.

SAMENVATTING

Life sciences en biotechnologie worden algemeen erkend als nieuwe kennisgedreven industriële sectoren die nieuwe mogelijkheden creëren voor de samenleving en de economie. Deze nieuwe markten ontwikkelen zich met een jaarlijkse groeisnelheid van 20%. Deze groei zorgt voor een toenemende vraag naar kosteneffectieve, betrouwbare scheidingsprocessen met een hoge productiecapaciteit. Aan de productiekant van het totale proces zijn de afgelopen tientallen jaren opzienbare efficiëntieverbeteringen behaald met een enorme toename aan productconcentraties. Aan de opwerkingskant (isolatie en zuivering) moet deze verbetering nog worden bewerkstelligd.

Chromatografie en membraanscheidingen zijn de werkpaarden van de opwerkingsindustrie. Chromatografie wordt met name ingezet voor de isolatie van gewenste componenten uit bulkoplossingen door specifieke binding aan de adsorberende chromatografische deeltjes. Membraanprocessen hebben een belangrijke positie in de opvolgende zuiveringsstappen en in de latere processtappen waarbij het product geconcentreerd, in de juiste formulering gebracht en gesteriliseerd wordt.

In dit proefschrift worden beide genoemde technieken gecombineerd door het inbouwen van kleine chromatografiedeeltjes in macroporeuze membraansystemen. De synergistische uitkomst is een deeltjes bevattend membraan (PLM, particle loaded membrane). Het voordeel van deze PLM-adsorbers in de zuivering- en isolatieprocessen van biomoleculen is de combinatie van een hoge doorzet en een hoge capaciteit. Dit wordt veroorzaakt door het feit dat macroporeuze membranen, in tegenstelling tot chromatografische deeltjes, een lage doorstromingsweerstand hebben. De grote poriën in de membraanstructuur garanderen convectief transport van de doelmoleculen naar de actieve groepen die zich op het oppervlak van de ingebedde chromatografiedeeltjes bevinden. Door gebruik te maken van kleine deeltjes wordt een hoog actief adsorptieoppervlak per kolomvolume bereikt, wat een hoge adsorptiecapaciteit betekent. Door de deeltjes in te bouwen in een macroporeuze membraanstructuur zijn ze, in tegenstelling tot deeltjes die gestort worden in een kolom (gepakt bed), ongevoelig voor samendrukbaarheid en verdichting. Daarnaast is het PLM-

systeem minder gevoelig voor verstopping en vervuiling hetgeen betekent dat een minder intensieve voorzuivering volstaat.

Het proefschrift begint met de motivatie voor en de achtergrond van het onderzoek. In hoofdstuk 1 wordt de marktontwikkeling beschreven gevolgd door de in de literatuur beschreven technische ontwikkelingen van gepakte bedden (chromatografiekolommen) om te kunnen voldoen aan de toenemende marktvraag. Hierna worden de ontwikkelingen van alternatieve stationaire fases beschreven. Het hoofdstuk eindigt met een overzicht van verschillende membraanchromatografieapplicaties.

In hoofdstuk 2 wordt aandacht besteed aan het effect dat in polymeeroplossingen gedispergeerde chromatografiedeeltjes hebben op het membraanvormingsproces. Het is gebleken dat niet alleen de invloed die gedispergeerde deeltjes hebben op de viscositeit van de polymeeroplossing, maar ook de selectieve opname van oplosmiddel of niet-oplosmiddel uit de polymeeroplossing het membraanvormingsproces beïnvloedt. Daarnaast laten we zien dat niet alle deeltjes-polymeer-oplosmiddel combinaties leiden tot bruikbare membraanstructuren. De ingebouwde deeltjes kunnen worden gedeactiveerd door op te lossen in de gebruikte vloeistoffen, ze kunnen worden ingevangen in gesloten cellen of worden voorzien van een polymeercoating waardoor ze niet meer actief meedoen aan het scheidingsproces.

In hoofdstuk 3 worden 2 manieren gepresenteerd om de verhoging van de adsorptiecapaciteit in chromatografische systemen te bewerkstelligen. De eerste manier is het verkleinen van de adsorptieve deeltjes waardoor er een groter extern oppervlak per volume aan deeltjes wordt gecreëerd. De tweede manier is het verhogen van de belading aan actieve deeltjes in de membraanstructuur. Er is bepaald dat de adsorptiecapaciteit per gewicht aan chromatografiedeeltjes gelijk is aan de adsorptiecapaciteit van vrije deeltjes. Dit betekent dat alle ingebouwde deeltjes volledig toegankelijk zijn voor de doelcomponenten en niet worden gedeactiveerd tijdens het membraanbereidingsproces. Dit feit is geverifieerd door confocale lasermicroscopiemetingen waarbij gebruik gemaakt is van eiwitten met een fluorescentie label.

In hoofdstuk 4 hebben we een nieuw type PLM-adsorptiemodule gekarakteriseerd. De module is gemaakt door een kernbuis te omwikkelen met een chromatografiedeeltjes bevattende membraanvezel. Wanneer de vezellaag voldoende dik is wordt het geheel in een behuizing gebracht waarna met lijm het vezelpak wordt gefixeerd en het dode volume tussen het vezelpakket en de behuizing wordt opgevuld. Het voordeel van dit type modules

is dat ze eenvoudig kunnen worden aangepast voor een bepaalde applicatie. Modules gewikkeld met een lage wikkelspanning en een grote wikkelhoek hebben een lage doorstromingsweerstand. Deze modules zijn bijzonder geschikt voor stabilisatie en standaardisatie van bulkproducten. Modules gemaakt met een hoog wikkelgewicht en een kleine wikkelhoek zijn meer geschikt voor farmaceutische toepassingen waar de doelcomponenten erg duur zijn en productverlies zo veel mogelijk moet worden beperkt. De gewikkelde vezelmodule is eenvoudig opschaalbaar door tot grotere pakketdiameters te wikkelen of door het vezelpakket langer te maken.

Het doel van hoofdstuk 5 was om naast de gewikkelde vezelmodule een nieuwe, chromatografiedeeltjes bevattende holle vezelmodule te ontwikkelen. Het nadeel van een holle vezelmodule is de voorkeurstroming die ontstaat door variaties in wanddikte en porositeit en door de drukval die tijdens permeatie ontstaat in het inwendige kanaal van de vezel. Door deze drukval in de stromingsrichting zal de permeatiesnelheid aan de entreekant van de vezel hoger zijn dan verderop in de vezel. De voorkeurstroming zorgt ervoor dat chromatografiedeeltjes die in de voorkeurstromingsgebieden liggen eerder met doelcomponenten verzadigd raken dan de deeltjes in de overige gebieden. Hierdoor treedt er gelijktijdig adsorptie en doorbraak op, hetgeen betekent dat er productverlies optreedt of, wanneer het proces vroegtijdig wordt gestopt, onvolledige benutting van de adsorptiecapaciteit van de deeltjes. In dit hoofdstuk beschrijven we dat we in staat zijn deze negatieve effecten op te heffen door het aaneenschakelen van meerdere modules. Door 3 tot 5 modules in serie te schakelen worden steile doorbraakcurven verkregen, wat een goede benutting van de adsorptiecapaciteit van de ingebouwde chromatografiedeeltjes en minimaal productverlies betekent. De dynamische lysozyme adsorptiecapaciteit, bij een doorstroming van 17 bedvolumes per uur overstijgt 200 mg/g vezel, vergelijkbaar met de waarde die verkregen wordt na 24 uur incubatie. De holle vezels modules zijn met succes toegepast voor de winning van lysozyme uit het eiwit van verse kippeneieren. Het chromatografiedeeltjes bevattende holle vezelmembraan combineert een goede doorstroombaarheid, $1500 \text{ l m}^{-2}\text{h}^{-1}$ met een hoge dynamische adsorptiecapaciteit en productzuiverheid. De dynamische adsorptiecapaciteit is bepaald op 60 mg lysozyme/ml membraan hetgeen hoger is dan hoogste waarden die in de literatuur zijn gerapporteerd.

Heldere vruchtensappen en concentraten die gemaakt worden met behulp van ultrafiltratie zijn tijdens opslag niet stabiel. Ongewenste kleurverandering en troebeling, ontstaan tijdens de opslag, verlaagt de productkwaliteit. Polyphenolen, kleine moleculen die niet worden

tegegehouden door de ultrafiltratiemembranen worden algemeen erkend als de veroorzaker van deze problemen. Het is daarom dat adsorptietechnieken worden aangewend voor de stabilisatie van vruchtensappen opdat de houdbaarheid ervan wordt verlengd. Door strengere milieumaatregelen is het gebruik van deze hulpstoffen (adsorptiemiddelen) die na gebruik worden gedumpt, op termijn echter verboden. Hoofdstuk 6 beschrijft het gebruik van adsorptieve membranen die uit polyethersulfon (PES) en polyvinylpyrrolidon (PVP) bestaan waarmee de polyphenolconcentratie in appelsap kan worden verlaagd in een gelijktijdige adsorptie- en filtratiestap. Op deze manier wordt de houdbaarheid van de fruitsappen verlengd.

In hoofdstuk 7 wordt de ontwikkeling beschreven van twee alternatieve “size exclusion chromatography” (SEC) matrices voor gebruik in grootschalige eiwitontzoutingapplicaties. “Size exclusion chromatography” (uitsluitingschromatografie) maakt gebruik van het feit dat in een kolom het toegankelijk volume voor kleine ionen groter is dan dat voor grote moleculen. Hierdoor is de verblijftijd in de module van kleine ionen groter dan die van grote moleculen. Als eerste is een asymmetrische vezel met een selectieve toplaag gemaakt. De selectieve toplaag van dit membraan moet voorkomen dat het eiwit (runderalbumine) in de matrix doordringt terwijl het de zoutionen vrijelijk moet doorlaten. De tweede structuur die is ontwikkeld is een open macroporeuze structuur waarin kleine SEC chromatografiedeeltjes zijn ingebouwd. In dit systeem moeten zowel de zoutionen als ook de eiwitmoleculen vrijelijk door de structuur tot aan het grensvlak van de ingebedde deeltjes kunnen stromen. Aan dit grensvlak vindt de werkelijke ontzouting plaats. Het zout kan in de chromatografiedeeltjes binnendringen waar de eiwitmoleculen worden buiten gesloten. Doordat het ontzoutingsproces met de nieuwe matrices niet volledig is, zijn de gemaakte vezels met name geschikt voor partiële zoutverwijdering en voor herstructurering van eiwitmoleculen. Het voordeel van de gewikkelde vezelmodules is de, in vergelijking met de gepakte chromatografiebedden, 2 á 3 keer hogere doorzet hetgeen de gewikkelde module met name geschikt maakt voor de behandeling van grote hoeveelheden vloeistof.

behorend bij het proefschrift

Particle Loaded Membrane Chromatography

1. Het stapelen van minimaal 30 vlakke membranen om de membraanheterogeniteit uit te middelen is niet de beste oplossing. Het in serieschakelen van holle vezelmodules is effectiever. (H.C. Liu, AIChE Journal 1994. 40 (1): p. 40; dit proefschrift hoofdstuk 5)
2. De stelling dat in de polymeeroplossing gedispergeerde deeltjes de membraan-karakteristieken niet beïnvloeden zoals beschreven in het patent DD2333850 van 26 februari 1986 is onjuist. (Dit proefschrift hoofdstuk 2)
3. Het feit dat de adsorptiecapaciteit van ionenwisselaars veelal wordt bepaald door het meten van UV-absorptie leidt al sinds de eerste publicaties tot verwarring tussen de pendante grootheden adsorptie en absorptie. (J.T. Way, Journal of the Royal Agriculture Society England 1850. 11:p. 313)
4. Omdat gepakte chromatografiebedden en membraanchromatografiesystemen een heel verschillende aspectratio hebben mag voor een onderling vergelijk van de dynamische capaciteit niet zondermeer van dezelfde lineaire snelheid worden uitgegaan.
5. Een snelle doorbraak in membraanchromatografie betekent geen doorbraak voor membraanchromatografie.
6. Pleegzorg doe je vanuit het beste in jezelf maar het haalt soms het slechtste uit jezelf naar boven.
7. De sociale status van de leerkracht wordt verhoogd door het ontvangen van onderwijs BTW-plichtig te maken.
8. Omdat sponsorgelden voor een groot gedeelte het aanzien van een sport bepalen en gezien het beperkte aantal palindrome bedrijfsnamen verdient het aanbeveling om schaatsers rechtsom te laten rijden.

Zandrie Borneman
Universiteit Twente
Enschede, 15 november 2006